Nottinghamshire Pension Fund Breaches Log

Key – RAG Status 1

Red; Breach is Material

Amber; Breach could become material if actions being taken are not effective

Green; Breach is not material as per tPR guidance

Key – RAG Status 2

Amber; Resolution plan in place – monitoring continuing

Green; Resolved – continued monitoring to ensure early id of recurrence

Blue; Closed

Breach Number	Date of Breach	Failure Type	Nature of the Breach	A description of the breach it is, or is not, believed to be of material significance	Has the issue been reported to Pension Committee	Has the issue been reported to Pension Board	Actions taken to rectify the breach	RAG Status 1 (further to immediate action)	Report to tPR Recommendation of Pension Admin Manager	Recommendation approved by 151 Officer and the Monitoring Officer	Preventative actions to prevent reoccurrences	RAG Status 2 (current Status)