

**SUMMARY OF WORK CARRIED OUT BY THE GOVERNANCE & ETHICS COMMITTEE AGAINST ITS TERMS OF REFERENCE - 2022/23**

| Apr 2022   | June 2022  | July 2022                                      | Sept 2022 | Nov 2022   | Jan 2023                       | Feb 2023 | Mar 2023   |
|--|--|--|-----------|--|--------------------------------|----------|--|
| <b>Council-wide Governance &amp; Ethics</b>                                |  |  |           |  |                                |          |  |
| Local Code of Corporate Governance and Annual Governance Statement 2021/22 |  |  |           | Governance update  |                                |          | Governance update                                      |
| Gov & Ethics Cttee annual report 2021/22                                   |  |  |           |  |                                |          |  |
|  | Assurance Mapping Annual Report 2021/22                                |  |           |  |                                |          |  |
| <b>Annual statement of accounts</b>  |  |  |           |  |                                |          |  |
| Statement of Accounts 2021/22: Accounting policies                         |  | Statement of Accounts – Infrastructure Assets  |           | Statement of Accounts 2021/22  |                                |          |  |
| <b>Internal control &amp; external audit</b>                               |  |  |           |  |                                |          |  |
|  | Follow-up of Internal Audit Reports – implementation of agreed actions |  |           | Follow-up of Internal Audit Reports – implementation of agreed actions |                                |          |  |
|  | Interim Chief Internal Auditor’s Annual Report 2021/22                 | Internal Audit Term 3 progress report and plan |           | Internal Audit Term 1 progress and plan                                | Internal Audit Charter Refresh |          | Internal Audit Term 2 progress and Term 1 2023-24 Plan |

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|--|---------------------------------------|--------------------------------------|---|---|---|---|---|
| External Audit – Annual Report 2020/21                 |                                       |                                      |   |   |   |   | External Audit – Annual Audit Findings Report 2021/22 |
| External Audit – Informing the risk assessment 2021/22 | External Audit - 2021/22 Plan         |                                      |   |   |   |   |   |
| <b>Financial Regulations and counter-fraud</b>         |                                       |                                      |   |   |   |   |   |
|  |                                       | Annual Fraud Report 2021/22          |   |   | Counter Fraud progress report                               |   |   |
|  |                                       |                                      | Financial regulations waivers 2021/22             |   | Regulation of Investigatory Powers Act 2000 – annual report | Whistleblowing update                       |   |
| <b>Risk management</b>                                 |                                       |                                      |   |   |   |   |   |
| Russian invasion of Ukraine – implications for NCC     |                                       |                                      |   |   |   |   |   |
| <b>Legal, democratic, complaints</b>                   |                                       |                                      |   |   |   |   |   |
| Local Government Ombudsman decisions                   | Local Government Ombudsman decisions  | Local Government Ombudsman decisions | Local Government Ombudsman decisions              | Local Government Ombudsman decisions                            | Local Government Ombudsman decisions                        | Local Government Ombudsman decisions        | Local Government Ombudsman decisions                  |
| Learning from complaints in Adult Social Care          | Bassetlaw Community Governance Review |                                      | Local Government Ombudsman – Annual Review Letter | Officer Code of Conduct Interests, Gifts & Hospitality Register |   | Local Government Ombudsman meeting feedback | Councillor Code of Conduct Review                     |
|  |                                       |                                      | Attendance at Key National Conferences            |   |   | Attendance at Key National Conferences      | Update on Communication improvement's                 |

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|---|---|-----------|---|----------|----------|----------|----------|
| Rushcliffe Community Governance Review                    | Review of Petitions Scheme                            |           | Rushcliffe Borough Council Electoral Review |          |          |          |          |
| <b>Councillors' divisional funds and use of resources</b> |   |           |   |          |          |          |          |
|   | Update on the use of the Councillor's Divisional Fund |           | Update on Use of Resources by Councillors   |          |          |          |          |
| <b>Training &amp; development</b>                         |   |           |   |          |          |          |          |
|   | Membership of the Committee and Work Plan             |           |   |          |          |          |          |