

Governance and Ethics Committee

Wednesday, 14 June 2023 at 10:30

County Hall, West Bridgford, Nottingham, NG2 7QP

AGENDA

- 1 To note the appointment by Full Council on 11 May 2023 of Councillor Philip Owen as Chairman and Councillor John Lee as Vice-Chairman of the Committee for the 2023-24 municipal year
- 2 To note the membership of the Committee for the 2023-24 municipal year as follows: Councillors, Richard Butler, Samantha Deakin, Errol Henry, Andy Meakin, Michael Payne, Sue Saddington, Helen-Ann Smith, Nigel Turner, Roger Upton
- 3 Minutes of last meeting held on 3 May 2023 3 - 6
- 4 Apologies for Absence
- 5 Declarations of Interests by Members and Officers:- (see note below)
(a) Disclosable Pecuniary Interests
(b) Private Interests (pecuniary and non-pecuniary)
- 6 Local Government & Social Care Ombudsman Decisions March to May 2023 7 - 26
- 7 Assurance Mapping Annual Report -2022-23 27 - 46
- 8 Head of Internal Audit's Annual Report 2022-23 47 - 68
- 9 Follow-up of Internal Audit Recommendations 69 - 82

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| 10 | Use of the Councillors' Divisional Fund 2022-23 | 83 - 130 |
| 11 | Work Programme | 131 - 134 |

Notes

- (1) Councillors are advised to contact their Research Officer for details of any Group Meetings which are planned for this meeting.
- (2) Members of the public wishing to inspect "Background Papers" referred to in the reports on the agenda or Schedule 12A of the Local Government Act should contact:-

Customer Services Centre 0300 500 80 80

- (3) Persons making a declaration of interest should have regard to the Code of Conduct and the Council's Procedure Rules. Those declaring must indicate the nature of their interest and the reasons for the declaration.

Councillors or Officers requiring clarification on whether to make a declaration of interest are invited to contact Kate Morris (Tel. 0115 804 4530) or a colleague in Democratic Services prior to the meeting.
- (4) Councillors are reminded that Committee and Sub-Committee papers, with the exception of those which contain Exempt or Confidential Information, may be recycled.
- (5) This agenda and its associated reports are available to view online via an online calendar - <http://www.nottinghamshire.gov.uk/dms/Meetings.aspx>



Meeting **GOVERNANCE AND ETHICS COMMITTEE**

Date **Wednesday 3 May 2023 (commencing at 10.30am)**

membership

COUNCILLORS

Philip Owen (Chairman)
John Lee (Vice-Chairman)

Richard Butler
Errol Henry JP
Andy Meakin
Michael Payne - **Apologies**
Sue Saddington

Helen-Ann Smith - **Apologies**
Nigel Turner
Roger Upton

OFFICERS IN ATTENDANCE

Sue Batty - Adult Social Care & Public Health
Glen Bicknell - Chief Executives
Catherine Haywood
Simon Lacey
Kate Morris
Marjorie Toward
Nigel Stevenson
Peter McConnochie - Children and Families
Lynda Poole

OTHERS IN ATTENDANCE

Andrew Smith - External Auditor, Grant Thornton.

1. MINUTES

The Minutes of the last meeting held on 22 February 2023, having been previously circulated, were confirmed and signed by the Chairman.

2. APOLOGIES FOR ABSENCE

Apologies for absence were received from:

- Councillor Helen-Anne Smith (Other Reasons)
- Councillor Michael Payne (Other Reasons)

3. DECLARATIONS OF INTEREST BY MEMBERS AND OFFICERS

None.

4. UPDATE ON LOCAL GOVERNMENT AND SOCIAL CARE OMBUDSMAN DECISIONS (FEBRUARY 2023 TO MARCH 2023)

The report set out information about two complaints against the Council where fault was found by the Local Government and Social Care Ombudsman. Members were given the opportunity to ask questions of officers and seek assurance about actions put in place from the relevant departments regarding those complaints.

Whilst Members acknowledged that work was underway to mitigate issues around capacity, they raised concerns around the Authority's ongoing ability to complete and review Education Health and Care Plans within statutory timescales, and the impact that this would have on an ongoing basis.

RESOLVED: 2023/18

That members note the findings of the Local Government and Social Care Ombudsman and welcome the lessons learned and actions taken to the findings.

5. GOVERNANCE & ETHICS COMMITTEE ANNUAL REPORT 2022-23

RESOLVED: 2023/19

That the Governance and Ethics endorses the Annual Report and recommend that Council considered the achievements of the Governance and Ethics Committee and endorses its intended areas of focuses for the current year

6. LOCAL CODE OF CORPROATE GOVERNANCE 7 ANNUAL GOVERNANCE STATEMENT 2022-23

RESOLVED: 2023/20

(1) That the committee approves the Annual Governance Statement 2022-23

(2) That the Committee keeps the content of the Local Code of Corporate Governance under review through the in-year governance update reports, to ensure the impact of the Cabinet/Scrutiny model of governance is accurately reflected in the Code

7. STATEMENT OF ACCOUNTS 2022-23 ACCOUNTING POLICIES

RESOLVED: 2023/21

That members approve the Authority's 2022-23 Accounting Policies.

8. INFORMING THE AUDIT RISK ASSESSMENR 2022-23

RESOLVED: 2023/22

That the Committee accepts the "Informing the Audit Risk Assessment for Nottinghamshire County Council for 2022-23" document supplied by the External Auditors

9. EXTERNAL AUDIT – ANNUAL AUDIT REPORT 2021-22

RESOLVED: 2023/23

That the Committee accepts the External Auditors' Annual Report 2021-22

10. WORK PROGRAMME

RESOLVED: 2023/24

That the work programme be agreed.

The meeting closed at 10:54am

CHAIRMAN

14 June 2023**Agenda Item: 6****REPORT OF THE SERVICE DIRECTOR FOR CUSTOMERS, GOVERNANCE
AND EMPLOYEES****LOCAL GOVERNMENT & SOCIAL CARE OMBUDSMAN DECISIONS
MARCH 2023 TO MAY 2023****Purpose of the Report**

1. To inform the Committee about Local Government & Social Care Ombudsman's (LGSCO) decisions relating to the Council since the last report to Committee was completed and therefore any decisions after 27th March 2023.

Information

2. Members have asked to see the outcome of Ombudsman investigations regularly and promptly after the decision notice has been received. This report therefore gives details of all the decisions received since the last report to this Committee which was held on 3rd May 2023.
3. The LGSCO provides a free, independent and impartial service to members of the public. It looks at complaints about Councils and other organisations. It only looks at complaints when they have first been considered by the Council and the complainant remains dissatisfied. The LGSCO cannot question a Council's decision or action solely on the basis that someone does not agree with it. However, if the Ombudsman finds that something has gone wrong, such as poor service, a service failure, delay or bad advice and that a person has suffered as a result, the LGSCO aims to get the Council to put it right by recommending a suitable remedy.
4. The LGSCO publishes its decisions on its website (www.lgo.org.uk/). The decisions are anonymous, but the website can be searched by Council name or subject area.
5. A total of seven decisions relating to the actions of this Council have been made by the Ombudsman in this period. Appendix A to this report summarises the decisions made in each case for ease of reference and Appendix B provides the full details of each decision.
6. Full investigations were undertaken into two complaints. Appendix A provides a summary of the outcomes of the investigation. Where fault was found, the table shows the reasons for the failures and the recommendations made. If a financial remedy was made the total amount paid or reimbursed is listed separately.

7. There was fault found in both cases. The first case is in Adults. The complaint is about the Council not paying Ms Y's son correctly to allow him to commission his own care, as well as delaying the care and not backdating any payments that were due. The Council was found at fault and as a result has apologised to Ms Y and her son, adjusted the direct payments, and personal budget, as well as payment for frustration. The social worker involved in the case was absent due to sickness. On their return after several months, it was noticed the commissioning hours were wrong and the incorrect rate had been applied. The increase that should have applied hadn't been implemented, this was a human error and one that was recognised as soon as the previous social worker returned, apologised for and rectified as soon as they realised. There were further delays in the provider paying the PA and also due to Ms Y being unable to use the payment card which did have the correct amount on. The money was there to be used. £1304 shortfall is to be reimbursed alongside 2 x £100 payment for distress. A clear reminder of the process and guidance has also been communicated to all staff in the most recent Practice newsletter as an extra action.
8. The second complaint is in Childrens. The complaint is about the way the Council handled Mrs X's daughter's Education, Health and Care Plan. Fault found with the Council for delay, failing to communicate with Mrs X and failing to secure the provision specified, for example Speech and Language therapy. Mrs X also had to pay privately for an occupational therapy report which was needed for the annual review which wasn't sourced by the Council within timescales. The EHCP was also issued late. The Council will apologise to Mrs X. Service improvements and financial remedies totalling £4500 are to be made.

Other Options Considered

9. The other option considered was not bringing regular reports to the Committee detailing the decisions made by the Local Government and Social Care Ombudsman. This option was rejected as by not having oversight of this report the Committee would not receive assurances that the learnings from Ombudsman cases were leading to improvements in services.

Reasons for Recommendation/s

10. To enable members to scrutinise complaints dealt with by the Council that went to the Ombudsman and to inform them of the service improvements being made for the benefit of residents as well as colleagues.

Statutory and Policy Implications

11. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

Data Protection and Information Governance

12. The decisions attached are anonymised and will be publicly available on the Ombudsman's website.

Financial Implications

13. The details of any financial payments are set out in Appendix A. £1504 will come from Adults Social services and £4500 will come from Childrens services.

Implications for Service Users

14. All of the complaints were made to the Ombudsman by service users, who have the right to approach the LGSCO once they have been through the Council's own complaint process.

RECOMMENDATION/S

- 1) That members note the findings of the Local Government and Social Care Ombudsman and welcome the lessons learned and actions taken in response to the findings

Marjorie Toward

Monitoring Officer and Service Director – Customers, Governance and Employees

For any enquiries about this report please contact:

Richard Elston Team Manager – Complaints and Information Team

Constitutional Comments (HD (Standing))

15. Governance & Ethics Committee is the appropriate body to consider the content of this report. If the Committee resolves that any actions are required, it must be satisfied that such actions are within the Committee's terms of reference.

Financial Comments (SES 23/05/2023)

16. The financial implications are set out in paragraph 13 of the report.

17. The details of the financial payments are set out in Appendix A.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

APPENDIX A

DECISIONS NOT TO INVESTIGATE FURTHER

| DATE | LGO REF | PROCEDURE | COMPLAINT SUMMARY | REASON FOR DECISION |
|----------|----------|-----------|--|---|
| 03.05.23 | 22018131 | Corporate | Complaint about noise and vibrations caused by alleged defects in the highway outside the complainant's house. This is because the complaint does not meet the tests in our Assessment Code on how we decide which complaints to investigate. There is not enough evidence of fault in the way the Council considered the complainant's concerns, and it is reasonable to expect him to use the alternative court remedies which are available | Ombudsman will not investigate because there is not enough evidence of fault in the way the Council considered the complainant's concerns, and it is reasonable to expect him to use the alternative court remedies which are available |
| 28.4.23 | 23001135 | Corporate | complaint about the conduct of a councillor | Ombudsman cannot investigate complaints from public bodies |
| 24.04.23 | 22017761 | Corporate | Mr X complained the Council misrepresented his psychological state in its presentations to court 13 years ago. He says this impacted his life significantly, and he chose not to have further children due to events. He wants an apology and compensation. | The Ombudsman cannot investigate what happened in court 13 years ago. |
| 04.05.23 | 22000894 | Corporate | Miss X says her car suffered damage due to a pothole on a road maintained by the Council. She would like the Council to reimburse her for the new wheels purchased to remedy the damage.. | Ombudsman will not investigate this claim for damages as it is reasonable to expect Miss X to go to court to determine the Council's liability. |
| 24.04.23 | 22017904 | Corporate | Miss B's complaint is that the school admission appeal panel unfairly decided her appeal regarding a place for her son at her preferred primary school. | The Ombudsman do not have reason to investigate Miss B's complaint. This is because the Council has now taken satisfactory action to deal with this matter by agreeing to hold a fresh appeal. |

THERE WERE NO FULL INVESTIGATIONS WHERE NO FAULT FOUND

FULL INVESTIGATIONS WHERE FAULT FOUND

| DATE | LGO REF ANNEX PAGE NO | PROCEDURE | COMPLAINT SUMMARY | DECISION | RECOMMENDATION | FINANCIAL REMEDY | STATUS OF AGREED ACTION |
|---------|-----------------------------|-----------|---|--|--|------------------|---|
| 24.4.23 | 22012177 | Adults | Ms Y complained the Council failed to pay her son, Mr X, the correct amount of money to allow him to commission his care through direct payments and delays to care provided. | Fault found in how the Council administered the direct payments and as a result underpaid Mr X | The Council should write to Mrs C apologise to Mr X and Ms Y; pay them £100 each in recognition of the frustration they experienced due to the Council's faults; pay Mr X the amount of personal budget he should have received between 1 April 2022 and now; It should pay Mr X any shortfall; for when Mr X should have been receiving 19 hours rather than the documented 18hours and amend Mr X's care and support plan. Also the Council must remind relevant staff that when there is a change to a person's care arrangements, including the amount | £1504 | Actions completed waiting for Ombudsman to sign off |

| | | | | | | | |
|----------|----------|-----------|--|--|---|-------|---|
| | | | | | of care they receive or the value of their personal budget, they should update the care and support plan without delay | | |
| 26.04.23 | 22013536 | Childrens | Mrs X complains about the way the Council handled her daughter's Education, Health and Care Plan and caused delays | The Council was at fault for the delays, failing to communicate with Mrs X and failing to secure the provision specified. This caused frustration and distress to Mrs X and meant her daughter did not get the educational provision she should have | The Council must pay for the 15months of provision lost as well as distress payment and ensure the SALT and OT provisions included in the EHCP are in place. A review of issuing review letters within 4 weeks and issuing final EHCPs within timescales is to be completed | £4500 | Actions are underway and the one month actions will be completed on time along with the required actions after 2 months |

The Ombudsman's final decision

Summary: Ms Y complained the Council failed to pay her son, Mr X, the correct amount of money to allow him to commission his care through direct payments. The Council was at fault. This caused Mr X and Ms Y frustration and meant Mr X was underpaid. The Council will apologise to Ms Y and Mr X, pay Mr X the money he should have received, and pay Ms Y and Mr X £100 each in recognition of the injustice they experienced. The Council will also carry out training to ensure staff are aware they should update care and support plans without delay, so people know what money is available to them to commission their care.

The complaint

1. Ms Y complained on behalf of her adult son, Mr X. She said the Council failed to pay Mr X the correct amount of money for his personal care. Ms Y said this meant Mr X did not receive the money he was entitled to, which caused him frustration.
2. In addition, Ms Y complained the Council:
 - a) delayed in assessing Mr X's need for care and support after his discharge from hospital;
 - b) did not give her adequate information about her employment status when she became Mr X's personal assistant;
 - c) discharged Mr X from the support of a worker helping him to increase his independence;
 - d) delayed in arranging Mr X's care;
 - e) delayed in arranging Occupational Therapy equipment;
 - f) wanted Ms Y to attend a meeting to discuss whether to approve her as a personal assistant based on her DBS check;
 - g) initially treated Ms Y as an employee paid through PAYE rather than as self-employed;
 - h) refused to backdate Ms Y's status as a self-employed employee once it accepted she was not PAYE; and
 - i) placed the responsibility for the issues in setting up Mr X's direct payments on him.

What I have and have not investigated

3. I have investigated the issue set out in paragraph one. I have not investigated the issues in paragraph two. I have set out my reasons below.
4. We cannot investigate late complaints unless we decide there are good reasons. Late complaints are when someone takes more than 12 months to complain to us about something a council has done. The events in points (a) to (c) occurred in early 2021 but Ms Y did not complain to the Ombudsman until December 2022. I have seen no good reason to exercise discretion to look at those issues.
5. The Ombudsman investigates complaints about 'maladministration' and 'service failure', which we call 'fault'. We must also consider whether any fault has had an adverse impact on the person making the complaint, which we call 'injustice'. We provide a free service, but must use public money carefully. We do not start or may decide not to continue with an investigation if we decide there is not enough evidence of fault to justify investigating. The Council sent Ms Y a comprehensive response to her complaint and addressed points (d) to (i) in detail. I have reviewed that response and there is insufficient evidence of fault to justify investigation.

The Ombudsman's role and powers

6. We investigate complaints about 'maladministration' and 'service failure'. In this statement, I have used the word fault to refer to these. We must also consider whether any fault has had an adverse impact on the person making the complaint. I refer to this as 'injustice'. If there has been fault which has caused an injustice, we may suggest a remedy. (*Local Government Act 1974, sections 26(1) and 26A(1), as amended*)
7. If we are satisfied with an organisation's actions or proposed actions, we can complete our investigation and issue a decision statement. (*Local Government Act 1974, section 30(1B) and 34H(i), as amended*)
8. We cannot investigate late complaints unless we decide there are good reasons. Late complaints are when someone takes more than 12 months to complain to us about something a council has done. As set out in paragraph 4, Ms Y complained about issues dating back to early 2021. I have seen no reason to exercise discretion to investigate that far back. I am therefore investigating the period from January 2022 to February 2023, when my investigation began.

How I considered this complaint

9. I have considered:
 - all the information Ms Y provided and discussed the complaint with her;
 - the Council's comments about the complaint and the supporting documents it provided; and
 - the Council's policies, relevant law and guidance and the Ombudsman's guidance on remedies.
10. Ms Y and the organisation had an opportunity to comment on my draft decision. I considered any comments received before making a final decision.

What I found

Relevant law and guidance

11. The Care Act 2014 gives councils a legal responsibility to provide a care and support plan for an adult with eligible needs for care and support. The plan sets out what needs the person has, what they want to achieve, what they can do by themselves or with existing support and what care and support the council will provide. The support plan must include a personal budget, which is the money the council has worked out it will cost to arrange the necessary care and support for that person.
12. Direct payments are monetary payments made to individuals who ask for them to meet some or all of their eligible care and support needs. They enable people to arrange their own care and support to meet those needs. The amount of money a person will receive as direct payments is set out in their personal budget.

What happened

13. The Council pays Mr X direct payments, which he uses to pay his mother Ms Y to provide his care. There have been several changes to Mr X's care and support plan and personal budget since he began receiving direct payments.
14. Since 2021, the Council paid Mr X money, in the form of direct payments, so that he could receive 17.5 hours of care per week from Ms Y, who had employed status. She received £8.91 per hour for the care she provided to her son between Monday and Saturday and £10.50 on Sundays. This meant she was paid £187.24 a week.

Increase in care package- 18 March 2022

15. On 18 March 2022, the Council reviewed Mr X's care package and decided he now needed 19 hours of care per week.
16. However, the Council did not reissue Mr X's care and support plan and personal budget to reflect the increase in his care package. It continued to pay him £187.24 a week.

Ms Y became self-employed

17. On 28 March 2022, Ms Y provided evidence she was self-employed (and Ms Y became responsible for paying her own tax and national insurance). This meant her hourly rate changed to £15. For a care package of 19 hours a week, this totalled £285.
18. The Council did not reissue Mr X's care and support plan and personal budget until late May. It did not change the amount it paid Mr X in direct payments until at least mid-July. By the time the Council updated Mr X's care and support plan and direct payments, they were no longer accurate as there had been further changes.

Ms Y's hourly rate increased to £16

19. On 1 April 2022, the Council increased Ms Y's hourly rate to £16. It did not update Mr X's care and support plan and personal budget.

Ms Y's hourly rate increased to £17.50

20. The Council increased Ms Y's hourly rate to £17.50 on 24 August 2022. The Council sent me a copy of a new care and support plan with personal budget dated 10 January 2023.

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21. That plan showed that for the period 1 April 2022 to 24 August 2022, Mr X's direct payments were for 18 hours of care a week at £16 an hour. From 24 August 2022 the direct payments were also for 18 hours at a cost of £17.50 an hour.
 22. Mr X was not happy with how the Council was managing his direct payments so he complained to the Council. Ms Y also made a complaint. The Council made a back-payment in June 2022 and February 2023 to remedy some of its errors. Ms Y remained dissatisfied, so she complained to the Ombudsman on Mr X's behalf.

Findings

Direct payments

23. The Council's administration of Mr X's direct payments has been poor. Direct payments are used by people who need greater flexibility in their care. They allow the person to directly commission care to meet their needs. They can be complex to manage and it is therefore vital that councils implement changes to a person's direct payments promptly and accurately. The Council did not do this; it did not increase Mr X's direct payments when it increased his care package from 17.5 to 19 hours a week, delayed increasing the payments when Ms Y became self-employed and from 1 April, paid Mr X for one hour less than it should have. This failure to properly administer Mr X's direct payments was fault.
24. The fault meant the Council underpaid Mr X. This caused him frustration and impacted Ms Y because she continued to provide care to Mr X without being appropriately compensated. This caused her frustration.
25. Mr X and Ms Y's frustration was compounded by the fact that when they complained, the Council made some back-payments but did not properly identify all of its errors.
26. The Council underpaid Mr X's personal budget by one hour per week for the period between April 1 2022 to present. It made direct payments for 18 hours of care each week rather than for the 19 hours of support he required and received. The Council has confirmed it will amend Mr X's current direct payment amount, but it should also pay him the budget he missed out on, so that he can pay Mrs Y for the care he received. I have made a recommendation below to ensure this happens.
27. The Council did not pay Mr X correctly for the period between 18 March 2022 and 27 March 2022. It had decided to increase Mr X's care package by 1.5 hours to 19 hours a week, but continued to make direct payments for 17.5 hours. This was fault and meant Mr X did not receive the correct personal budget and Ms Y was not paid appropriately for the hours of support she provided. I have therefore recommended the Council consider this issue, determine what the shortfall was and pay Mr X that amount.

Personal budgets

28. The purpose of personal budgets within care and support plans is to ensure the person receiving care is aware of the cost of their care and what the council will contribute towards it. For people receiving direct payments, they are the main way the person knows what funds are available to them. As such, if a council is making a change to a personal budget, they should update it and reissue the care and support plan without delay.
29. The Council was at fault for not doing this. The Council delayed issuing some of Mr X's care and support plans and did not issue others after changes to his personal budget. This meant neither Mr X nor Ms Y had a clear idea of what care

package the Council had assessed Mr X as needing, or what money was available for him to arrange that care. It also meant he lost timely opportunities to identify the errors with the direct payments. This caused him and Ms Y further avoidable frustration.

Agreed action

30. Within one month of the date of my final decision, the Council will:
- apologise to Mr X and Ms Y;
 - pay them £100 *each* in recognition of the frustration they experienced due to the Council's faults;
 - pay Mr X the amount of personal budget he should have received between 1 April 2022 and now;
 - determine what the Council would have paid Mr X for the period between 18 March 2022 and 27 March 2022, when he should have received 19 hours of care from Ms Y on an employed rate of pay. It should pay Mr X any shortfall; and
 - amend Mr X's care and support plan and associated personal budget to show his care package is for 19 hours of support at £17.50 per hour. It should update Mr X's direct payments to reflect this also.
31. Within three months of the date of my final decision, the Council will:
- remind relevant staff that when there is a change to a person's care arrangements, including the amount of care they receive or the value of their personal budget, they should update the care and support plan without delay.
32. The Council should provide us with evidence it has complied with the above actions.

Final decision

33. I have completed my investigation. I have found fault leading to personal injustice. I have recommended action to remedy that injustice and prevent reoccurrence of this fault.

Investigator's decision on behalf of the Ombudsman

The Ombudsman's final decision

Summary: Mrs X complains about the way the Council handled her daughter's Education, Health and Care Plan. We find fault with the Council for delay, failing to communicate with Mrs X and failing to secure the provision specified. This caused frustration and distress to Mrs X and meant her daughter did not get the educational provision she should have. We have agreed service improvements and financial remedies for the injustice caused.

The complaint

1. Mrs X complains the Council failed to secure the special educational provision specified in her daughter (J's) EHCP from May 2021, failed to communicate satisfactorily, delayed the final EHCP after the Annual Review, and have not responded properly to her complaints.
2. Mrs X would like the Council to provide the specialist provision to J urgently, with extra provision so J can catch up with her developmental progress.

The Ombudsman's role and powers

3. We investigate complaints about 'maladministration' and 'service failure'. In this statement, I have used the word fault to refer to these. We must also consider whether any fault has had an adverse impact on the person making the complaint. I refer to this as 'injustice'. If there has been fault which has caused an injustice, we may suggest a remedy. (*Local Government Act 1974, sections 26(1) and 26A(1), as amended*)
4. We cannot investigate late complaints unless we decide there are good reasons. Late complaints are when someone takes more than 12 months to complain to us about something a council/care provider has done. (*Local Government Act 1974, sections 26B and 34D, as amended*)
5. If we are satisfied with an organisation's actions or proposed actions, we can complete our investigation and issue a decision statement. (*Local Government Act 1974, section 30(1B) and 34H(i), as amended*)

How I considered this complaint

6. I have considered:
 - The information provided by Mrs X and in discussion with her;

- The Council's comments on the complaint and the supporting information it provided; and
 - Relevant law and guidance.
7. Mrs X and the Council had an opportunity to comment on my draft decision. I considered any comments received before making a final decision.

What I found

Legislation and Guidance

Education, health and care plan

8. A child with special educational needs may have an Education, Health and Care Plan (EHCP). This sets out the child's needs and what arrangements should be made to meet them.
9. The Council is responsible for making sure that arrangements specified in the EHCP are put in place. We can look at complaints about this, such as where support set out in the EHCP has not been provided, or where there have been delays in the process.

Arrangements for reviewing an EHC Plan

10. The procedure for reviewing and amending EHC plans is set out in legislation and government guidance.
11. Within four weeks of a review meeting, a council must notify the child's parent of its decision to maintain, amend or discontinue the EHC plan. (*Section 20(10) Special Educational Needs and Disability Regulations 2014 and SEN Code paragraph 9.176*)
12. Where a council proposes to amend an EHC plan, the law says it must send the child's parent or the young person a copy of the existing (non-amended) plan and an accompanying notice providing details of the proposed amendments, including copies of any evidence to support the proposed changes. (*Section 22(2) Special Educational Needs and Disability Regulations 2014 and SEN Code paragraph 9.194*)
13. The Special Educational Needs and Disability Code states if a council decides to amend the plan, it should start the process of amendment "without delay". (*SEN Code paragraph 9.176*)
14. Following comments from the child's parent or the young person, if the council decides to continue to make amendments, it must issue the amended EHC plan as soon as practicable and within eight weeks of the date it sent the EHC plan and proposed amendments to the parents. (*Section 22(3) SEND Regulations 2014 and SEN Code paragraph 9.196*)
15. Parents have a right of appeal to the SEND Tribunal if they disagree with the special educational provision or the school named in their child's EHC plan. The right of appeal is only engaged when the final amended plan is issued.

Failure to secure provision

16. The council has a duty to secure the specified special educational provision in an EHC plan for the child or young person (Section 42 Children and Families Act). The Courts have said this duty to arrange provision is owed personally to the child and is non-delegable. This means if a council asks another organisation to make the provision and that organisation fails to do so, the council remains responsible. (*R v London Borough of Harrow ex parte M [1997] ELR 62*, *R v North Tyneside Borough Council [2010] EWCA Civ 135*)

Key transfers

17. An EHCP must be reviewed and amended in sufficient time prior to a child or young person moving between key phases of education, to allow for planning for and, where necessary, commissioning of support and provision at the new institution.
18. The move between schools, especially at the key phase transfers from nursery to infant, primary to secondary and then to post-16 education, is an important moment for any child and especially those with SEN. The Code says that advance planning for these moves is essential. The year 5 review should provide a clear recommendation for the type of provision the child will need at secondary school.
19. The new Code identifies all the key phase transfers and states that an EHCP
“must be reviewed and amended in sufficient time prior to a child or young person moving between key phases of education, to allow for planning and, where necessary, commissioning of support and provision at the new institution” (9.179 of the January 2015 Code)

Discretion to investigate

20. Events in this complaint begin in May 2021 on completion of J’s EHCP. Throughout that academic year and according to J’s EHCP, it was intended that she receive occupational therapy and her teachers have specialist training. As in paragraph 4, we cannot investigate late complaints unless we decide there are good reasons. Although part of Mrs X’s complaint is late, she says the lack of provision continues, I have used my discretion to investigate the events from May 2021.

What happened

21. I have included a summary of some key events below. This is not intended to be a comprehensive account of everything that took place.
22. J is Autistic, has Attention Deficit Disorder and other special needs. She had her first EHCP in May 2021. This specified that she needed to have Occupational Therapy (OT) and an OT assessment for her Annual Review (AR).
23. It also said her school teachers should have training with a Speech and Language Therapist (SALT), to help with J’s education.
24. Mrs X says J had not received any of the specialist provision provided for in her EHCP. As the AR was approaching Mrs X made a complaint to the Council in April 2022 chasing the OT assessment.
25. The Council responded to Mrs X saying having reviewed two OT reports, the language in the EHCP was wrong, and only continuing interventions from the school should have been included.
26. Mrs X responded pointing out the Council had failed to look at a further OT report written specifically for the EHCP assessment. She said most need and provision identified was for J’s sensory and physical health, and J had not had any of this provided to her in the last year. The Council did not respond.
27. Mrs X chased the Council after the AR in May as it had not responded within the statutory time frames to say whether it will maintain or amend the EHCP.
28. The Council issued the amended draft EHCP a month late. It responded to Mrs X apologising for the delay and saying it had recently appointed an AR Service Organiser to address these issues.

-
29. Mrs X paid privately for an OT report in October as the Council had failed to arrange one for the previous AR.
 30. Mrs X made a formal complaint in October as the failure to provide the relevant provision has had an impact on J's education and well being. She was supposed to be in receipt of the final EHCP by the end of August but the Council still had not issued it. She was also concerned that all the provision had been removed from the plan.
 31. J is in a transition year from primary to secondary school, and Mrs X wanted to make sure the Council would carry out the AR in time to ensure the statutory time limits are met.
 32. In the Council's response it apologised that Mrs X had to make a complaint and that it had not resolved her previous complaint properly. It explained the OT report she referred to had been misfiled which was why her previous complaint response came to the wrong conclusion. The Council has since located the report and it has remained in J's EHCP.
 33. The Council admitted fault for not securing the provision in the EHCP and said records show Mrs X had made repeated requests for it. The Council said it would refund Mrs X for the cost of the independent OT assessment she got for the AR.
 34. The Council apologised for the delay in breach of the statutory timescales for issuing the final EHCP in November. A system error had removed the provision from the EHCP and the Council sent an email to Mrs X to let her know in October.
 35. It apologised for "falling below the levels of service and communication the Council strives to provide", as records show that Mrs X sent many requests for information and updates.
 36. It went on to say the Council has consulted with the preferred school for the transfer process. The Council made a new role in the team to report to senior management on issues arising from complaints and any lessons learned. The Senior Practitioner for Performance and Complaints will give feedback directly to colleagues involved in J's case, and the wider team.
 37. The complaint response says the next steps will be to ensure the Senior Practitioner keeps oversight of J's case to ensure prompt responses and to address any issues. The school and Council will contact therapy services, and the AR in November will consider the recent OT assessment, to agree any amendments needed to the EHCP.
 38. Mrs X made a stage two complaint to the Council in December as she said she wanted the OT provisions secured immediately, not after the AR. It was supposed to be in place since May 2021 but the Council first tried to secure it in October 2022.
 39. She said while it may have been an administrative oversight the OT report was misfiled, it was listed as "additional contributions" in the EHCP and should not have been overlooked. When Mrs X brought this to the Council's attention, she got no response. She also wanted the SALT provision to start immediately.
 40. Mrs X says the Council should have told her sooner the provision had been removed from the EHCP in error. This had caused her much distress over the summer months.
 41. The Council responded in December 2022 with a further apology. It said the case worker will continue to follow up the outstanding school consultation process so

the Council can name her preferred school for September 2023. The case worker is also in touch with the OT and SALT providers, to look at therapists which have availability to start immediately. The Council signposted Mrs X to the Ombudsman.

42. Mrs X brought her complaint to the Ombudsman as she was concerned about all the missed provision having a damaging effect on J, and wants to ensure a smooth transition to secondary school with all provisions in place. She is angry that J still has not had any provision recommended in the May 2021 EHCP.

Analysis

43. The Council is at fault for not providing the provision contained in J's May 2021 EHCP. This has caused distress and frustration to Mrs X, and means that J has not progressed as she should in her final years of primary school.
44. The Council's response to Mrs X's April 2022 complaint was wrong, and when she provided correct information she did not get any response. This is fault, failing to adhere to the complaint procedure.
45. The Council issued the amended draft EHCP a month late which is fault. It did not adhere to statutory time limits.
46. The final EHCP was three months late. This is fault by the Council, again not adhering to statutory time limits.
47. When communicating with Mrs X the Council failed to follow the rules of good administrative practice by being service user focused, which is fault.
48. The complaint response from the newly appointed Senior Practitioner for Performance and Complaints to Mrs X's October complaint was very thorough. The Council were right to apologise and to refund Mrs X for the OT assessment she had obtained privately. However Mrs X felt that the Council needed to do more to ensure J gets the provision needed to progress.
49. In the complaint response the Council does seem to be addressing concerns raised, by hiring further staff.

Agreed action

50. Within one month the Council should:
- write to Mrs X and apologise for the distress and anxiety caused to her by the faults identified above;
 - pay Mrs X £200 for the frustration and distress caused by the faults above; and
 - pay Mrs X £300 per month to recognise the injustice caused to J by the Council failing to provide the specified provision between May 2021 and March 2023. Excluding holidays J lost 15 months of provision so the Council should pay a total of £4500 for lost provision.
51. Within two months of the final decision the Council should ensure J starts receiving OT and SALT special educational provisions included in her amended EHCP.
52. After our investigation of other complaints we have made recommendations to the Council about improving its services and we ask that compliance with our decisions be monitored.
53. Within three months of the final decision the Council should ensure the SEN staff:

-
- send post-review letters within four weeks from the review meeting, notifying of the Council's position;
 - issue final EHCP's within eight weeks from the date of the Council sending proposed EHCP amendments to the parents/young people, and
 - check the provision in new and amended EHCP's is being delivered.

54. The Council should provide us with evidence it has complied with the above actions.

Final decision

I find fault with the Council for delay, lack of provision and failure in communication causing injustice to J and Mrs X. I have suggested remedies to ensure this does not continue.

Investigator's final decision on behalf of the Ombudsman

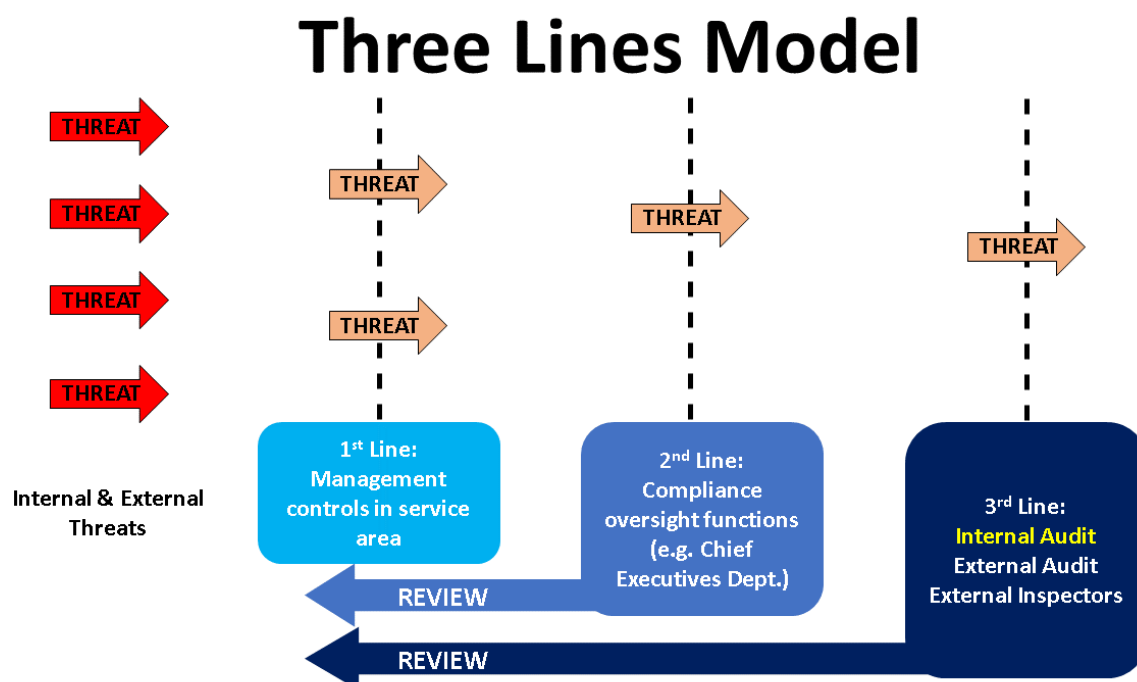
14 June 2023**Agenda Item: 7****REPORT OF SERVICE DIRECTOR – FINANCE, INFRASTRUCTURE &
IMPROVEMENT****ASSURANCE MAPPING ANNUAL REPORT 2022-23****Purpose of the Report**

1. To present the outcomes from the assurance mapping work carried out during 2022-23, and to consider the approach for 2023-24.

Information

2. This is the fifth annual assurance mapping report. The benefits of undertaking an annual assurance mapping exercise are:
 - To provide annual assurance to the Council (both the Corporate Leadership Team (CLT) and the Governance & Ethics Committee) that effective governance arrangements are in place in the areas that matter most to the Council
 - To provide a sound and comprehensive basis for the Annual Governance Statement (AGS)
 - To identify any overlaps or gaps in assurance from all available sources, allowing improvements to be made in co-ordinating efforts in the coming year
 - To inform the Governance & Ethics Committee's work programme
 - To inform the Internal Audit Termly planning process..
3. The remit of the assurance mapping exercise includes the following eight areas:
 - Financial management
 - Risk management
 - People management
 - Commissioning and procurement
 - Performance management
 - Asset management
 - Information governance
 - Transformation and change

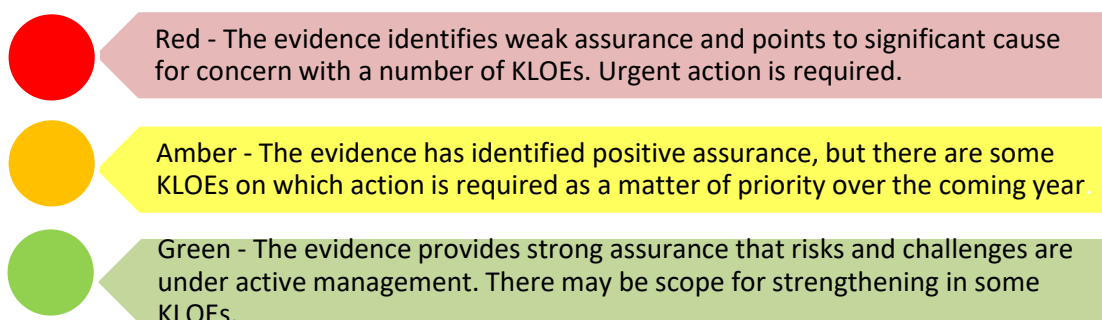
4. For each of these areas, Key Lines of Enquiry (KLOEs) were determined and used to map the sources of assurance available to the Council across its Three Lines Model.



5. This fifth annual report covers the following elements:
- Reporting the evidence gathered from the assurance sources across the three lines model and assessing what assurance can be taken from it about the Council's arrangements for the eight areas of governance.
 - Proposing actions to be taken in 2023/24 to address any concerns identified.
 - Considering the progress made and consolidation of assurance areas for 2023/24.

Assurance mapping outcomes in 2022/23

6. **Appendix 1** presents details of the evidence gathered for each of the eight areas. The evidence for each KLOE is presented across the three lines model. A simple 'Red-Amber-Green (RAG)' rating has been applied, based around the following principles:



Appendix 1 shows the key evidence to support the three lines model and identifies the current status based on the above RAG rating, along with any developments and actions. The key conclusions that may be drawn from this evidence is presented below:

- The Council continues to be fully aware of financial pressures and takes appropriate and effective action to meet them
- There continues to be strong arrangements in place for the effective management of our people
- The Council have sound arrangements in place for commissioning and procurement
- The arrangements in place to manage property assets are sound
- The Council have sound arrangements for information management and information governance
- The approach to corporate risk management continues to be developed but resourcing remains a challenge.

Use of the outcomes from Assurance Mapping

7. One of the primary aims of assurance mapping is to provide annual assurance to the Council that effective governance arrangements are in place in the areas that matter most to the Council
8. Beyond this, the assurance assembled through this process can be put to effective use to inform and direct wider governance activity in the Council. The outcomes of this report have been used as set out below:
 - a) The Council's Annual Governance Statement (AGS) for 2022/23 – the findings from this year's assurance mapping process have been used as a source of assurance for the statutory AGS.
 - b) Governance & Ethics Committee's work programme – this assurance mapping report provides an important steer for the Committee regarding the areas in which it may wish to seek further assurance in 2023/24.
 - c) Internal Audit Plans – assurance mapping has delivered a clear view of the relative areas of strength and weakness in the governance processes covered by the map. The Team has updated its approach to audit planning and incorporates intelligence gathered from assurance mapping to identify priority needs. The intelligence gathered from this assurance mapping exercise to inform future plans include:
 - considering performance monitoring in relation to the new Council Plan
 - development of the workforce models across all departments
 - delivery of action plans to ensure information management accreditation
 - delivery of objectives for transformation projects.

Proposals for assurance mapping in 2023/24

9. This report represents the fifth year of the assurance mapping exercise and during 2022/23, work on the eight assurance areas has been consolidated by gathering further evidence and representations to support the assurance mapping exercise. The 2022/23 exercise focused on continuing to gather evidence to support the assurance exercise, using the eight assurance areas previously agreed with members.

10. During 2023/24 consideration will be given to how existing and potentially additional assurance areas can be updated and reviewed. This review will take into account the current governance opinion requirements and build on the insight and assurance provided from the newly implemented and developed continuous assurance and risk management processes. Consultation will take place with members and senior officers and proposals for change will be brought back to this committee during the autumn.

Other Options Considered

11. Other options considered included discontinuation of the assurance mapping process. This was rejected as it would deny the Council the benefits of the approach highlighted in this report.
12. Another option considered was to retain the existing scope of the assurance map to cover the eight aspects of governance covered in 2022/23. This was rejected as it would preclude the measured widening of the scope to allow extended benefits to accrue.

Reasons for Recommendations

13. To recognise the benefits that the assurance mapping approach has brought to the Council, along with the improvements that may be made to it. Further, to consolidate the benefits of the approach to the current scope for the 2023/24 assessment.

Statutory and Policy Implications

14. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.
15. The assurance map aims to deliver a comprehensive assessment of the effectiveness of the Council's governance, risk and control framework. This will provide assurance relating to many of the considerations listed above.

RECOMMENDATIONS

- 1) That the Committee endorse the continued use of assurance mapping process in 2023/24.
- 2) That committee endorse the consolidation of the of the scope of the assurance map for 2023/24 to focus on the existing eight assurance areas.
- 3) That progress against proposed actions to address the issues identified be reported to the Committee as part of quarterly update reports on the Annual Governance Statement.

Nigel Stevenson

Service Director – Finance, Infrastructure and Improvement

**For any enquiries about this report please contact:
Simon Lacey, Chief Internal Auditor**

Constitutional Comments (CH 18/05/2023)

16. Governance and Ethics Committee has the remit to receive and consider this report.

Financial Comments (XXX XX/XX/XXXX)

17. There are no specific financial implications arising directly from the report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

PEOPLE MANAGEMENT – SAFE WORKING ENVIRONMENT

1st Line

Health & safety procedures in place.

Health & Safety Management System Arrangements were set out in a new document issued in December 2022.

Actions to meet BSI ISO 45003 standard on psychosocial risks to address mental health impacts such as social isolation following the pandemic, with some challenges such as accounting for external non-workplace influences.

2nd Line

Incidents have risen as staff returned from remote working but are still only about 70% of 2019/20 levels.

Reduction in the number of RIDDOR reportable incidents.

74.5% of staff satisfied with their health, safety and wellbeing at work, in 2022 survey.

A further fall in employer liability claims and related costs.

3rd Line

BSI ISO 45001 certification for the Occupational Health & Safety Management system renewed in Oct 2022 effective for the next 3 years.

BSI inspections identified one major issue and 12 minor issues, which is more than last year. Issues identified are being addressed, including the major issue.

No HSE fines or enforcement notices.



Opinion:
Green

Rationale:

Strong assurance is provided by the good processes within each line of assurance.

Developments & Actions:

- Continue with work on BSI ISO 45003 standard to address mental health impacts such as social isolation following the pandemic.

PEOPLE MANAGEMENT – STAFFING CAPACITY & STAFFING AFFORDABILITY

1st Line

Procedures in place including the Personnel Handbook.

Online training is well developed, with high usage (over 30,000 modules completed per year).

In progress are: Nottinghamshire Way (and Leadership Development Programme) for staff and managers, for a positive and inclusive culture.

Workforce Review to inform resourcing strategy to address recruitment and retention issues.

Hybrid Working Model to align with the Property Strategy.

2nd Line

Sickness levels have risen slightly (average 11.8 days in 2022, from 10.38 days in 2021).

Staff turnover at 12.2% has returned to pre-Covid levels.

Apprentices have increased further to 263. Five more graduate trainees were recruited in 2022.

Agency Staff costs as a % of Payroll peaked at 5.6% in 2021/22 and have now fallen to 5.0%. 21.4% of our established posts are vacant due to a number of recruitment issues. On top of that an average 10% of the employed workforce is unavailable due to leave or sickness absence.

3rd Line

3rd line assurances appear in the next slide below.



Opinion:
Amber

Rationale:

Different aspects of Resourcing and Retention Strategy need to be fully developed and implemented in order to address recruitment and retention issues across the Council.

Developments & Actions:

- Work is underway to develop Resourcing Strategy to have a workforce with the capability, capacity and ambition to deliver the Nottinghamshire Plan.

PEOPLE MANAGEMENT – STAFF SATISFACTION

1st Line

Positive feedback from Corporate Directors' assurance statements on effective induction for all staff.

Feedback from Corporate Directors' assurance statements on undertaking regular and effective EPDRs was Reasonable.

EPDR completion can only be measured if done on-line. This has increased notably but is still low compared to the number of staff with online access. The process is being revised to increase uptake.

2nd Line

2022 employee wellbeing survey reported positive satisfaction levels, similar to last year, with positive responses to new questions on hybrid working.

Improvement in gender pay gap, and between pay of highest and lowest/median paid employees, continuing a trend of recent years.

Diversity has increased, with the proportions declaring as BME, LGBT and Disabled rising, and the gender imbalance narrowing.

3rd Line

Disability Confident Leader awarded until September 2024.

Signed the Race at Work charter, as a public commitment to improving equality of opportunity in the workplace.

616 staff have signed the pledge to be an anti-racism ally.

299 staff have signed up to be Proud Allies, supporting LGBT colleagues.



Opinion:
Green

Rationale:

Positive assurance across several key areas.

Developments & Actions:

- Continue with the revision of the process to widen adoption of online EPDRs and gather qualitative and quantitative data from this.
- Undertake further wellbeing surveys to monitor progress. [Page 35 of 134](#)
- An Action Plan is being developed to improve race equality corporately based on the nine measures in the national Social Care Workforce Race Equalities Standards.

FINANCIAL MANAGEMENT – BUDGETARY CONTROL

1st Line

Corporate Directors' Annual Assurance Statements provide strong assurance that budgetary control procedures are complied with.

On 31st March 2022 Full Council approved a revised model of governance which was implemented on 12th May 2022.

Overview Committee undergo scrutiny and development of the Council's Budget proposals each year.

The 2022/23 Budget was approved by Full Council Feb 2022

2nd Line

Close in-year, monthly budget monitoring with due focus on volatile budgets. Net underspend (all departments) at period 11 of £1.5m. Forecast underspend in capital programme of £4.8m. Balanced budget agreed for 2023/24 and 2024/25.

Medium Term Financial Strategy (MTFS) shows cumulative budget shortfall of £31.7m over the next 4 years which is similar to that previously predicted.

3rd Line

Reasonable assurance from Internal Audit on budget forecasting procedures and financial resilience.

Substantial assurance from Internal Audit on Compliance with the CIPFA Financial Management Code (FM Code) – March 2022.

Budget consultation undertaken November 2022 – used to shape budget priorities.



Opinion:
Green

Rationale:

Significant budget challenge facing the Council. Budgetary control procedures are strong and focus on key priorities.

Developments & Actions:

- Significant savings will need to be identified in 2025/26 and 2026/27 based on current assumptions.

FINANCIAL MANAGEMENT – FINANCIAL COMPLIANCE

1st Line

Strong assurance that the Council's Standing Orders and Financial Regulations are complied with.

Changes to Governance arrangements has resulted in Finance reviewing and updating Financial Regulations in May 2022.

The Authority's constitution and financial regulations provide the framework of financial control over the Council's financial affairs.

2nd Line

Provision of timely and effective professional advice to front-line services.
Strong culture of compliance.

Monthly budget monitoring to CLT and Cabinet Member for Finance confirms good levels of compliance with core financial procedures, including budget monitoring.

3rd Line

Substantial assurance from continuous assurance reports carried out monthly by IA.

Substantial assurance from Internal Audit of FM Code March 2022.

Unqualified opinion from the External Auditor 2021/22.



Opinion:
Green

Rationale:
Strong assurance available from all three lines of defence.

Developments & Actions:

- An annual report to confirm continued compliance with the FM Code in light of the change in governance arrangements is now due.

FINANCIAL MANAGEMENT – FINANCIAL PRUDENCE

1st Line

High degree of assurance of compliance with the Treasury Management Framework and Investment Strategy.

Members consider treasury management planned and actual performance at least three times per financial year at Cabinet, firstly in the Strategy Report before the start of the year, Mid-Year Report and the Outturn Report after the year-end.

Performance against the Council's Prudential Indicators is regularly monitored to ensure that external debt remains within both the operational boundary and the authorised limit.

2nd Line

Strong compliance with the Council's Treasury Management Strategy. Monthly reporting to Finance Committee. Period 11 updates in the capital programme and cashflow forecasts identified reduced borrowing required.

Strong cashflow management and bank reconciliation procedures. Returns on prudent investments achieved in an increasingly challenging environment. Borrowing managed within the limits of prudential indicators. Monthly Pensions Fund Committee provide strategic insight to Pension Fund.

3rd Line

Internal audit review of Treasury Management function in 2018 provided reasonable assurance.

Positive assurance from Internal Audit of Financial Resilience and Continuous Audit.

Pension Fund Investments Audit 2021-61 – Feb 2023 – Substantial Assurance provided.

Independent Pensions Advisor reports identify vulnerabilities for pension fund.



Opinion:
Green

Rationale:

Prudent approach to addressing known financial challenges.

Developments & Actions:

- The Pensions Regulator performance report July 2022 has raised concerns across the LGPS Funds relating to data quality and the need for improvement.

FINANCIAL MANAGEMENT – VALUE FOR MONEY (VFM)

1st Line

Corporate Director assurance statements provide reasonable assurance that service provision is under ongoing review and opportunities to collaborate and aid VFM are being sought.

The MTFS includes approved savings options. In total £9.2m of savings have been identified.

VFM remains an integral part of the financial management processes adopted by the council.

2nd Line

The Council's Leadership Team control the delivery of the savings programme through a robust monthly budget management process. The focus has shifted to longer term changes.

Budget savings and efficiencies are reported monthly to Full Council.

The Capital Programme is driven by the desire to provide high quality, VFM public services, monitored by the Corporate Asset Management Group. (CAMG). Plans are approved through Cabinet.

3rd Line

External Auditors VFM opinion for 2021/22 was reported to G&E Committee on 3rd May 2023 and provides positive assurance.



Opinion:
Amber

Rationale:

Achievability of savings and VFM at a time when there are significant impacts from high inflation is challenging. The procurement vision to work in partnership needs to develop for VFM to be achieved.

Developments & Actions:

Procurement visions is to work together with colleagues, partners, local residents and suppliers to develop imaginative Commissioning, Procurement and Contract Management solutions that bring quality, value for money goods and services for the residents of Nottinghamshire and which also aim to deliver broader economic social and environmental outcomes.

[Page 39 of 134](#)

The Council have committed to publishing a full review of all actions and measures to save money, and the impact/outcome achieved, at the end of 2022/23 in the Annual Report.

PERFORMANCE MANAGEMENT

1st Line

Performance and management benchmarking processes in place.

A Performance Management Policy and Procedure is in place.

The Planning & Performance Management Framework was refreshed and approved by Policy Committee in April 2019 but is currently being updated.

Reporting has changed to a Cabinet system.

2nd Line

The new Nottinghamshire Plan (2021-2031) has been introduced, objectives are monitored against the new performance management indicators and under the cabinet system.

All actions agreed on the Annual Delivery Plan are either completed or on track for completion. Performance is positive against the majority of key measures.

3rd Line

From the COVID Phase 1, 2 and 3 Internal Audit reports, 18/21 actions have now been implemented.



Opinion:
Amber

Rationale:

Less performance reports are now reported to cabinet, as with the previous committee system. Planning and Performance Management Framework is still being updated.

Developments & Actions:

- A Quality Assurance Framework is being developed for Adult Social Care and a Local Authority Self-Assessment and Information Return (LASAIR) for Quality Assurance, this was submitted for peer review by ADASS (East Midlands) in February 2023.
- The Performance Management Framework is in development, with the implementation of vital signs reports. Vital signs reports replace the performance reports to CLT and will also be shared with Cabinet.

COMMISSIONING & PROCUREMENT – STRATEGY AND RESOURCES

1st Line

Key Decisions – Strategic Commissioning Framework approved (Cabinet 9 March 2023)
Next 4 years joined up approach across Council services. Focus on meeting priority outcomes as set out in the Nottinghamshire plan - long-terms needs of population and coproduction.

Finance Cabinet Member briefings are held every two weeks.

Contracts register is available on procurement intranet page.

Online contract management toolkit provides guidance.

2nd Line

The Strategic Commissioning Framework includes eight principles to support the delivery of effective and efficient services over the short, medium, and longer-term.

A Cross-Programme Board has been established to challenge and steer the content and development of the Framework.

Strategic Insight Unit to analyse and report on the effectiveness of commissioning decisions.

3rd Line

Strategic commissioning was identified as an area of improvement by Newton Europe with a subsequent strategic commissioning implementation plan put in place. 85% of recommendations made have now been implemented with a further two recommendations in relation to training still in progress.



Opinion:
Amber

Rationale:

Early development of Strategic Commissioning Programme, Phase 2 has not yet started and 2024-2027 Strategy is not yet completed.

Developments & Actions:

- New procurement strategy for 2024 – 2027 not yet issued. Central Government is developing new regulations to become an Act by the end of the year, after which new strategy will be published.
- Phase 2 of the of the Strategic Commissioning Programme will be scoped and developed later in 2023.
- Developing a sustainable procurements policy statement to encourage a diverse base of suppliers, maximise community benefits through social contributions, promoting greater environmental sustainability, increase training and employment opportunities.

COMMISSIONING & PROCUREMENT – CONSISTENCY AND EFFECTIVENESS

1st Line

Standardised procurement templates and guidance are available on the shared drive and sign posted appropriately.

Commissioning tools and procurement best practice documents are centralised and available on the shared drive.

2nd Line

Strategically commissioned services – aligned to the Council Plan.

A self-assessment against Strategic commissioning competencies has been undertaken by departments which has informed the high-level training plan.

Financial Waivers are reported to Governance and Ethics Committee Annually. The last waiver report went to Committee in September 2022.

3rd Line

The Regional Commissioning Leads Group for Children's services meets fortnightly, and consists of operational and strategic matters.

ASCH commissioning has brought an external body in to look at contract management, this was linked with procurement to ensure consistency.

The last full Ofsted inspection of Nottinghamshire children's services resulted in a "Good" inspection outcome.



Opinion:
Amber

Rationale:

Commissioning tools and procurement best practice documents are available, However, e-learning, and other developments still in progress.

Developments & Actions:

- The Strategic Commissioning Framework will be rolled out with a supporting e-learning and development offer to ensure consistency of high standards in commissioning activity and compliance with revised Fin Regs.
- Use of the Strategic Insight Unit (SIU) to analyse and report on the effectiveness of our commissioning decisions.
- The internal audit of financial waivers is in progress.
- Introduction of electronic e-tendering portal Pro-Contract to embed e-procurement across the end-to-end process.

INFORMATION MANAGEMENT

1st Line

Corporate Directors' Annual Assurance Statements provide reasonable assurance around compliance with data protection requirements and substantial assurance in the Chief Exec's Dept. Mandatory Information Governance training is in place. Training is below 95% attainment rate required to achieve NHS Digital's DSP Toolkit.

There is a framework in place to help the 1st line, including Information Security Policies & Standards and the IGCSB.

There are detection processes in place which are based upon the application of appropriate industry standard practices.

There are regular reports to the Board and Committee.

2nd Line

Information management is monitored through the Information Governance & Cyber Security Board (IGCSB). The KPIs presented provide an overall assurance picture.

NCSC Self-assessment has identified a training need for RSEMB members, around Cyber Incident response.

Back-up and restoration processes have been implemented and regular testing of the completeness and effectiveness of restores process is in place.

IGCSB – Overarching options for improvement and suggested action plan have several remedial actions to improve areas with Amber or Red rated levels.

The Cyber Security Incident Response Plan (CIRP) and annual testing exercise process is in place.

3rd Line

NCC IA ICT Patching & Change Management report has provided positive assurances in relation to the maintenance of network and associated services.

Re-accreditation for Public Services Network (PSN) and Cyber Essentials (CE) are in progress.

The ICT Externally Hosted Security Questionnaire process is documented and in place. This provides a IT Security Audit of our suppliers and their supply chain with regular checks on Accreditation and company changes.



Opinion:
Amber

Rationale:
Mixture of positive and negative assurance in areas.

Developments & Actions:

- Cyber Essentials and PSN certification submission processes are in progress following the annual Cyber Security Penetration Testing
- A new Cyber Security Strategic Plan 2022-2025 is due to be introduced shortly
- Plans are in progress to address issues in recruiting and retaining specialist ICT Staff

PROPERTY ASSET MANAGEMENT

1st Line

New Property Services Policy Draft in place.

Corporate Property Vision and Corporate Property strategies established

Transformation Programme has strengthened the corporate landlord approach to the governance of the property estate.

Economic Development and Asset Management Committee has overall responsibility for the assets and the service delivery.

Property risks included in the Corporate Risk Register and managed by the Governance Team.

2nd Line

Asset Improvement Board in place.

Stock Condition Surveys are applying new specifications and KPIs for Legionella, Fire, Asbestos are all within targets

Repair response time below the 85% target (at 77%), but 83% completed on time

No reportable accidents and incidents and 9 safety inspections noted no significant issues

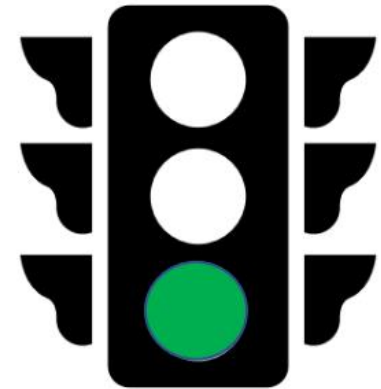
A Property Compliance Action Plan applies planning and monitoring to all property compliance and safety matters and a Compliance Dashboard is in place.

3rd Line

Recertification by BSI under ISO 45001

Independent External Valuations are being completed.

External Audit have tested the land and property valuation processes and have concluded in the Annual Accounts that they are appropriate.



Opinion:
Green

Rationale:
Positive assurances in areas.

Developments & Actions:

- Programme Board providing ongoing review and challenge to the use of Council Office Buildings following a Scrutiny committee review.
- Service Asset Management Plans to be started once staff have the capacity.
- Carbon Reduction Plan Being Progressed.
- Corporate Asset Strategy is being progressed.

TRANSFORMATION AND CHANGE

1st Line

Two new programmes were added to the existing four in the year – Adult Social Care Reform, and Adult Social Care Improvement.

Positive feedback from Corporate Directors' assurance statements on engagement with stakeholders for service changes and developments.

2nd Line

Of the six current programmes, two are on target, three are experiencing obstacles (delivery is probable), and one is at risk (delivery is feasible).

In addition, an underpinning programme on introducing a Strategic Commissioning Framework was completed this year.

Staff turnover in the Group is high at 34% and a Recruitment and Retention Task and Finish group has been set up to address this.

3rd Line

An internal audit review assessing the arrangements for Transformation and Change against NAO guidance was completed in the early stages of the current transformation model, and provided reasonable assurance.

Proposals for the approach to transformation were informed by work with the Council's transformation partner.



Opinion:
Amber

Developments & Actions:

- In 2023/24 efficiencies agreed in budget setting to be monitored through highlight reporting; and a method to be developed and introduced to monitor financial impacts, including budget pressure mitigation.

Rationale:

The portfolio of programmes has been added to in 2022/23. One has completed, and the others are in progress, although at varying levels of risk. Staff turnover is high. Financial reporting is to be developed.

RISK MANAGEMENT

1st Line

Assurance is provided that risk management procedures are being complied with.

A new risk reporting template is being developed to inform RSEMB on the movement of risk for each department.

Work is in progress to address the issues raised in the Zurich Municipal Risk Health Check

Risk Management Strategy and Policy are in the process of being updated and there is overall assurance on frontline compliance.

2nd Line

The Corporate risk register is being maintained to capture the latest risks and will be presented to RSEMB in a revised format.

Risk, Safety and Emergency Management Board (RSEMB) meet quarterly to capture key risks.

Six monthly updates on risk management to Governance & Ethics Committee need to be re-introduced.

3rd Line

British Standards Institution (BSI) awarded the Council ISO 45001 Safety Certification remains in place.

The External Health check by the Council's insurers identified scope for improvement in corporate risk management and risk maturity. However, further work is required to address these actions.



Opinion:
Amber

Rationale:

The Zurich Municipal Health Check has identified gaps in risk management, but actions are being taken to mitigate them.

Developments & Actions:

- Progress continues to be made to address necessary improvements identified by the Zurich Municipal Risk Health Check.
- Departmental risk registers are currently under review and need to be confirmed by RSEMB and CLT.
- Work to develop the risk reporting template is progressing
- Work is continuing to address the need for additional staffing to oversee corporate risk management.
- Revision of the Council's Risk Management Strategy and Policy to be completed

14 June 2023**Agenda Item: 8****REPORT OF SERVICE DIRECTOR FOR FINANCE, INFRASTRUCTURE &
IMPROVEMENT****CHIEF INTERNAL AUDITOR'S ANNUAL REPORT FOR 2022/23****Purpose of the Report**

1. To inform Members of the Chief Internal Auditor's Annual Report for 2022/23 and his opinion on the adequacy of the County Council's arrangements for governance, risk management and control.

Information

2. The Internal Audit Service fully complied with the Public Sector Internal Audit Standards (PSIAS) during 2022/23. One requirement of the standards is that the Chief Internal Auditor should provide an annual, written report to those charged with governance. The report must:
 - a) deliver an annual internal audit opinion
 - b) conclude on the overall adequacy and effectiveness of the organisation's framework of governance, risk management and control
 - c) incorporate the opinion, a summary of the work that supports that opinion, and a statement on conformance with PSIAS and the results of the quality assurance and improvement programme.
3. The Annual Report for 2022/23 is set out in **Appendix 1**. Key points to note are the following:
 - a) An independent External Quality Assessment (EQA), in accordance with the Public Sector Internal Audit Standards, found that the Internal Audit Service fully complies with the 14 Standards, with the need for no statutory recommendations.
 - b) Internal Audit's work contributed to the objectives of the Council and delivered beneficial impacts for the citizens of Nottinghamshire and the direct users of the County Council's services
 - c) The Chief Internal Auditor has provided his opinion on the arrangements in the Council for governance, risk management and control:

Governance

**REASONABLE ASSURANCE**

- Good evidence supporting the Annual Governance Statement and annual assurance mapping
- Assurance from external inspectors

Risk Management



REASONABLE ASSURANCE

- Corporate risk management structure remains in place and is actively responding changes in approach and reporting
- Assurance from external review progress reports

Control



REASONABLE ASSURANCE

- Predominantly 'reasonable assurance' delivered from audit assurance work
- Continuous assurance work provides a high level of positive assurance

Other Options Considered

4. The only other option considered was to not produce this report. This was rejected as the Internal Audit Section works to the Public Sector Internal Audit Standards and failure to do so would leave the Council in breach of the Public Sector Internal Audit Standards (PSIAS).

Reason for Recommendation

5. To set out the Chief Internal Auditor's Annual Report for 2022/23, providing Members with the opportunity to comment on its content and to make suggestions for future audit coverage.

Statutory and Policy Implications

6. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

Financial Implications

7. The Local Government Act 1972 requires, in Section 151 that the Authority appoint an officer who is responsible for the proper administration of the Council's financial affairs. The Service Director for Finance, Infrastructure & Improvement is the designated Section 151 officer within Nottinghamshire County Council. Section 6 of the Accounts and Audit Regulations 2011 requires Local Authorities to undertake an adequate and effective internal audit of its accounting records and of its system of internal control. The County Council has delegated the responsibility to maintain an internal audit function for the Authority to the Service Director for Finance, Infrastructure & Improvement and Section 151 Officer.

RECOMMENDATIONS

- 1) That the contents of the Chief Internal Auditors Report and the successful achievement of the External Quality Assessment the be noted.

- 2) That a further report will be brought to the committee in 12-months' time.

Nigel Stevenson

Service Director for Finance, Infrastructure & Improvement and Section 151 Officer

For any enquiries about this report please contact:

Simon Lacey

Chief Internal Auditor

Constitutional Comments (GR – 17/05/2023)

8. Pursuant to the Nottinghamshire County Council Constitution this committee has the delegated authority to receive this report.

Financial Comments (SES – 17/05/2023)

9. There are no specific financial implications arising from this report.
10. The financial implications are set out in paragraph 6 of the report which states that the Local Government Act 1972 requires, in Section 151 that the Authority appoint an officer who is responsible for the proper administration of the Council's financial affairs. The Service Director for Finance, Infrastructure & Improvement is the designated Section 151 officer within Nottinghamshire County Council. Section 6 of the Accounts and Audit Regulations 2011 requires Local Authorities to undertake an adequate and effective internal audit of its accounting records and of its system of internal control. The County Council has delegated the responsibility to maintain an internal audit function for the Authority to the Service Director for Finance, Infrastructure & Improvement and Section 151 Officer.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

Internal Audit Annual Report 2022/23

Simon Lacey – Chief Internal Auditor

SUMMARY OF INTERNAL AUDIT'S WORK IN 2022/23

- 1) The Authority has a statutory responsibility to undertake an adequate and effective internal audit of the County Council's operations. This responsibility is discharged by the Internal Audit Service which has unrestricted access to all activities undertaken by the County Council.
- 2) The work carried out by Internal Audit involves reviewing and reporting on the governance, risk management and control environment established by management to:
 - determine and monitor the achievement of the Authority's objectives
 - identify, assess and appropriately manage the risks to achieving the Authority's objectives
 - facilitate policy and decision making
 - ensure the economical, effective and efficient use of resources
 - ensure compliance with established policies, procedures, laws and regulations
 - safeguard the Authority's assets and interests.
- 3) Internal Audit's work is planned to cover these areas and to provide an independent assessment of whether the Authority's systems and procedures are working appropriately. The work of Internal Audit is carried out in compliance with the Public Sector Internal Audit Standards (PSIAS). The essential roles set out in the

standards for effective internal audit are delivered at this Council as follows:

| PSIAS Role | Delivered at NCC by: |
|------------------------------------|-------------------------------|
| Chief Audit Executive (CAE) | Chief Internal Auditor |
| Senior Management | Corporate Leadership Team |
| Board | Governance & Ethics Committee |

- 4) Throughout 2022/23, Internal Audit continued to operate independently within the organisation. No impairments to its independence arose during the year.
- 5) The pandemic presented a challenge of adjustment for all internal audit teams, potentially putting the delivery of planned internal audit work at risk. In recognition of this, the Chartered Institute of Public Finance & Accountancy (CIPFA) issued guidance for Heads of Internal Audit to assess the impact locally, and to determine whether a 'limitation of scope' should be applied to the year-end opinion. My self-assessment against this guidance confirmed that a limitation of scope is not required, as I have sufficient sources of evidence available to me to determine my opinion.

SUMMARY OF INTERNAL AUDIT'S WORK IN 2022/23

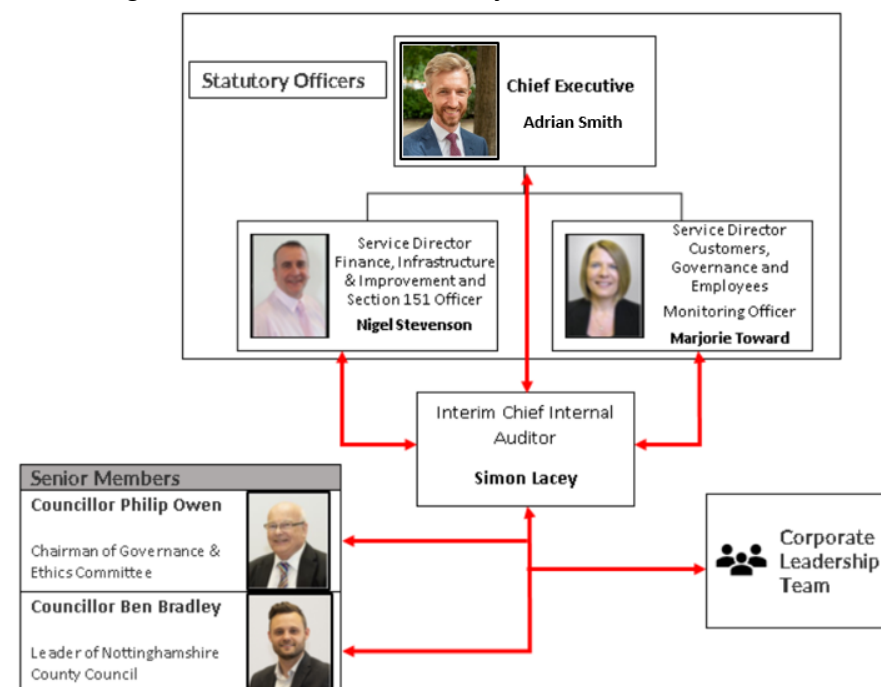
- 6) Internal Audit is positioned within the Internal Audit & Risk Management Group of the Chief Executive's Department. In my role as Chief Internal Auditor, I report directly to the Service Director – Finance, Infrastructure & Improvement, a member of the Corporate Leadership Team.



- 7) My role initially provided cover for only the Internal Audit Service, however since November 2022 I have undertaken the management of the Risk and Insurance Service. I must recognise that this structure presents a potential impairment to my independence in respect of internal audit reviews of the Risk and Insurance Service. To address this, fully independent, external providers of

internal audit services are engaged to carry out such reviews, when they become due.

- 8) The arrangements for the Internal Audit Team's organisational independence remained unchanged, with the exception of a newly appointed Chief Executive, and are depicted in the diagram below. I have continued to have direct reporting lines open to me with the principal officers and members of the Council responsible for governance, risk management and control. These lines of access to senior members remained unchanged following the local elections in May 2021.



SUMMARY OF INTERNAL AUDIT'S WORK IN 2022/23

- 9) A wide range of audit work was completed during the year, comprising formal reports and written advice, covering the following key types of Internal Audit input:
 - Assurance audits, for which an audit opinion is issued
 - Advice and consultancy – often relating to key developments and initiatives
 - Counter-fraud – including the investigation of suspected fraud
 - Certification audits – generally small jobs to sign off returns and accounts.
- 10) Most of Internal Audit's assurance work results in the issue of an opinion on the internal controls and procedures in place, categorised as follows:
 - *Substantial Assurance* – there are no weaknesses or only minor weaknesses
 - *Reasonable Assurance* – most of the arrangements for financial management are effective, but some weaknesses have been identified
 - *Limited Assurance* – there is an unacceptable level of risk which requires the prompt implementation of the recommendations made to correct the weaknesses identified.
- 11) Internal Audit continued to operate in an agile way to respond to changing demands during 2022/23. Its termly planning approach proved well suited to the need for audit priorities to be kept under timely review. As the

Council developed its organisational objectives to respond to challenges, Internal Audit flexed its plans to provide timely assurance in support. This approach was especially important for assurance to front-line services, with efforts focussed on helping managers maintain an appropriate balance between speed of response and proportionate control.

- 12) Those inputs were complemented with the more established approach for assurance that the Council's core processes have remained resilient through the pandemic.
- 13) The outcomes from the work in each of three Termly Plans during the year have been reported to the Governance & Ethics Committee, as follows:
 - Term 1 November 2022
 - Term 2 March 2023
 - Term 3 June 2023 (as part of this report)

The key issues arising in these reports are summarised below in the 'annual opinion' section.
- 14) Internal Audit was involved with a limited number of irregularity investigations during the year. Details of this work is incorporated in the Annual Fraud Report for 2022/23, which is the subject of a separate report to the Governance & Ethics Committee in July 2023.

SUMMARY OF INTERNAL AUDIT'S WORK IN 2022/23

- 15) Internal Audit's ultimate objective is to bring beneficial impacts and add value from its work for the citizens of Nottinghamshire and the direct users of the County Council's services. Arising from its work in 2022/23, the service can point to several positive impacts:



Comprehensive phased reviews have been carried out of the Council's response to National pressures. These have delivered positive assurance for the people of Nottinghamshire that the Council has mounted an effective response, having due regard to the speed of delivery and proportionate control. Achieving this balance was also the focus of Internal Audit's input to the roll-out of the Council's Homes for Ukraine Fund, UK Community Fund and Household Support Fund.



For Council Taxpayers, helping the organisation to strengthen its stewardship of public funds. Audits of several the Council's core systems and procedures have identified opportunities to strengthen further the organisation's grip on its finances, as well as its wider approach to governance. Similarly, the service's key contribution towards promoting a counter-fraud culture in the Council plays an important role in helping minimise losses to fraud.



For the protection of the Nottinghamshire public, our audit of Trading Standards activity and work with Public Health assessed how resources are put to best use to ensure effective deterrent and protection is delivered.



For adults and children's, there has been a similar focus on the use of resources, especially in relation to delivering workforce planning, ombudsman complaints, direct payments and routine school & establishment visits. Such work places the best interests of vulnerable residents at the heart of the review.

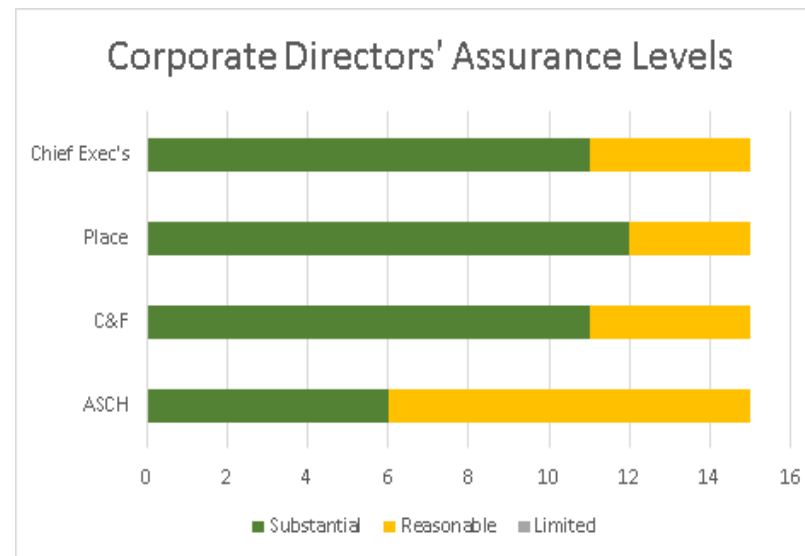
ANNUAL OPINION OF THE CHIEF INTERNAL AUDITOR

Governance



Basis for opinion in 2022/23

- 16) The Annual Governance Statement (AGS) for 2022/23 assesses compliance with the Council's Local Code of Corporate Governance, which is based on the seven core principles of good governance as recommended in the CIPFA/SOLACE Framework for 'Delivering good governance in Local Government' (2016 Edition).
- 17) In support of the AGS, Corporate Directors provided their annual assurance statements for 2022/23, concerning the application of key governance controls in their areas of service. Directors' assurance levels for 15 aspects of the Local Code of Corporate Governance is set out in the following chart, analysed by department.
- 18) The AGS generates an annual Governance Action Plan, progress against which is tracked throughout the year. Updates to the Corporate Leadership Team and to the Governance & Ethics Committee have shown progress.



- 19) Assurance mapping for eight aspects of corporate governance has been reported to the Governance & Ethics Committee on 14 June 2023. The areas covered by the mapping and ratings in 2022/23, are the following:

| Aspect | Rating | Aspect | Rating |
|------------------------|--------|-------------------------------|--------|
| Financial management | Green | People management | Green |
| | Green | | Amber |
| | Green | | Green |
| | Amber | Information governance | Amber |
| Performance management | Amber | Transformation | Amber |
| Risk management | Amber | Commissioning and procurement | Amber |
| Asset management | Green | | Amber |

- 20) The Governance and Ethics Committee received an annual Whistle-blower report in February 2023. Few complaints have been received through the policy in the

ANNUAL OPINION OF THE CHIEF INTERNAL AUDITOR

past few years, and a full review of it will be carried out to understand the reasons for that more closely.

- 21) Other, important sources of assurance were delivered from external inspections during the year, as set out in the following extracts.

Local Government &
Social Care
OMBUDSMAN

- 22) The Ombudsman's annual report for the year ending March 2022 provided assurance that the outcomes of complaints received by this Council had the lowest uphold rate of all the statistically significant Councils.



- 23) The Council's Legal Services Team retained the Law Society's legal practice quality mark for practice management and client care.

bsi.

Certificate of Registration

- 24) Following continual assessment by the British Standards Institute the Council continues to receive accreditation to ISO45001 for its Health and Safety Management System.

Risk Management



Basis for opinion in 2022/23

- 25) In support of the Annual Governance Statement, Corporate Directors provided positive levels of assurance that the actions detailed in the Corporate Risk Register to mitigate the identified risks continue to be taken by designated officers. However, there is no routine process in place to evidence that these actions are taken.

Corporate risk register:

Mitigations carried out

| Dept | Assurance Level |
|--------------|-----------------|
| ASCPH | Green |
| C&F | Green |
| Place | Green |
| Chief Exec's | Amber |

ANNUAL OPINION OF THE CHIEF INTERNAL AUDITOR

- 26) Since the outcome of the Zurich Municipal Healthcheck external review in 2021 progress has been made with delivering actions in the plan, but further embedding work is required.
- 27) Zurich Municipal have been working with the Council, through the Risk, Safety & Emergency Management Board (RSEMB) to develop a new approach to Risk Management.
- 28) A forward-looking strategic risk register is being developed using a PESTLE analysis and insight from workshops held with all four departments to identify future threats and opportunities across the Council.
- 29) A new approach to the risk reporting regime is being developed through RSEMB that will enable routine reporting to CLT to provide a concise view of current risk levels and the movements in those risk levels.
- 30) The Chief Internal Auditor attends meetings of the Council's Risk, Safety & Emergency Management Board during the year. This provides ongoing assurance that the Council's over-arching framework for risk management continued to be applied. The Board met frequently in 2022/23, to provide a strong focus on operational risks.
- 31) Given the development of the new risk management approach routine reports have not been provide to the

Governance & Ethics Committee. The most recent report in March 2022 identified the current risk levels and progress with the implementation of the action plan and it is intended that routine reporting will be restored once the new approach has been completed.

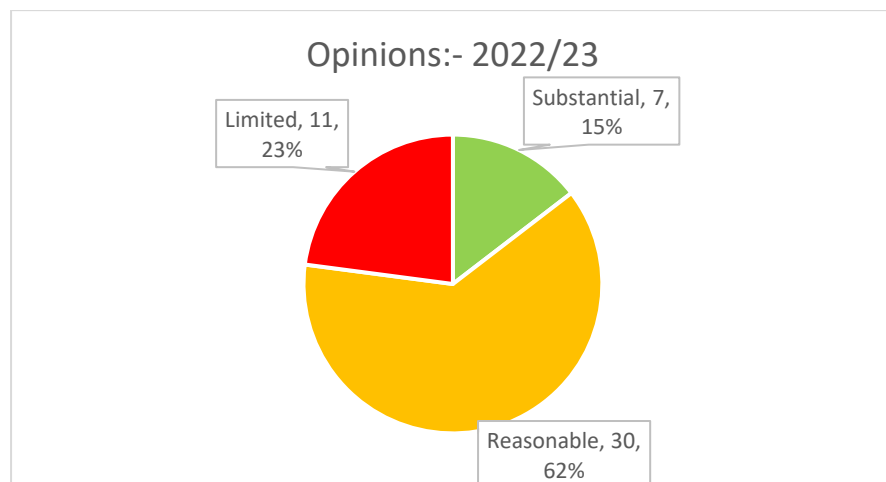
ANNUAL OPINION OF THE CHIEF INTERNAL AUDITOR

Control



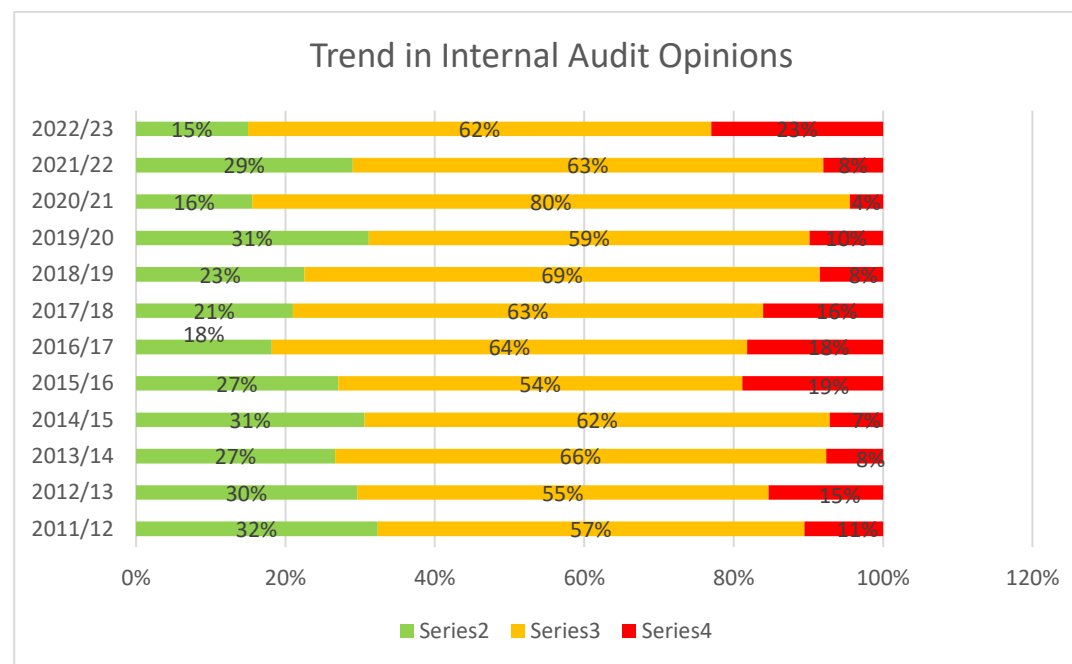
Basis for opinion in 2022/23

- 32) Analysis of the opinion-based assurance work shows the following distribution of opinions issued during 2022/23.



- 33) The trend in the incidence of audit opinions over the past few years is illustrated in the graph below. This shows a

significant increase limited opinions in 2022/23, returning to 2015/16 levels which is due to findings from school audit visits. The main reason for the Limited Assurance on school audits is the breakdown of the internal controls in relation to separation of duties and authorisation. Guidance and training is available to staff undertaking such functions from the Schools Finance Team.



ANNUAL OPINION OF THE CHIEF INTERNAL AUDITOR

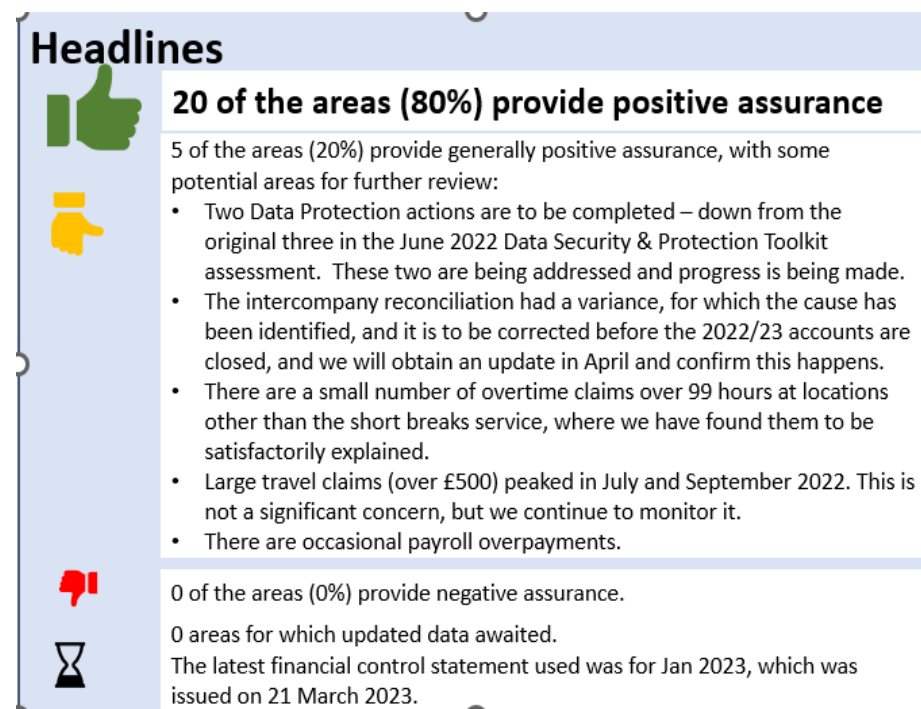
34) The Annual Governance Statement for 2021/22 recognised continued pressure on core systems of internal control and, in particular, the impact the pandemic had on capacity to implement agreed recommendations. Pro-active work by the Internal Audit Team during the year has focused on the implementation of such actions and the rates for Priority 1 actions is now at target levels.

35) The Information Governance Board, and the relevant teams in the Chief Executive's Department, have a continuing focus on the challenge that cyber security poses to all organisations, across all sectors.

36) The outcome of the annual assurance mapping process (see paragraph 19, above) is also relevant to the assessment of the control framework. In addition to this, Internal Audit continued the development of a feed of continuous assurance about the effectiveness of many of the Council's key, corporate processes, embracing:

- Accounting & budgetary control
- Procurement
- Income and debtors
- Purchasing
- Payments
- Payroll
- Pensions
- Health and safety
- Constitutional decisions
- Information governance

37) The following sets out an extract of metrics and charts to illustrate some key assurance indicators for 2022/23 that are reported to statutory officers monthly to provide high level assurance. These metrics have been used to identify where subsequent deep dive activity is required to ensure the desired level of assurance is maintained.



PERFORMANCE OF THE INTERNAL AUDIT TEAM

Key Performance Indicators

- 38) Progress against the Section's performance indicators is reported on a termly basis to the Governance & Ethics Committee. A summary of what has been achieved, and what has fallen short, is provided below.

| Risk-aware Council | |
|----------------------------------|--|
| Completion of Termly Plans | ✓ Termly plans are flexed to provide an agile respond to the changing assurance requirements |
| Regular progress reporting | ✓ Termly reporting in place |
| Fraud awareness updates | ✓ 6-monthly reporting to the Governance & Ethics Committee |
| Influential Audit Section | |
| Recommendations agreed | ✓ 100% agreement |
| Improved internal control & VFM | |
| Implementation of agreed actions | ✓ Priority 1 actions achieving target levels |
| Quality measures | |
| Compliance with standards | ✓ High degree of compliance |
| Customer feedback | ✓ High levels of satisfaction |

Benchmarking

- 39) The anticipated progress with the emerging, alternative benchmarking approaches through the following regional and national groups was restricted by capacity issues:
- the National Chief Auditors' Network
 - the Midland Counties Heads of Internal Audit Group.
- 40) However, it is hoped that developments will resume in the current year.

Public Sector Internal Audit Standards (PSIAS)

- 41) The Chief Internal Auditor carries out an annual self-assessment of compliance against the PSIAS. This incorporates the requirements of the Local Government Application Note (LGAN), which provides additional advice and guidance to providers of internal audit services in a local government setting.
- 42) In addition to the self-assessment, the service is subject to an External Quality Assessment (EQA) once every five years. The most recent EQA was carried out in March 2023 to inform this report.
- 43) The EQA is a robust evidence-based review of the whole Internal Audit Service comprising
- PSIAS review of the accuracy of the HOIA self-assessment and supporting evidence
 - Interviews with Members and Senior Officers regarding the service provision

PERFORMANCE OF THE INTERNAL AUDIT TEAM

- A survey of service recipients capturing view on professionalism and quality of services
 - A review of the professional standards applied to the individual engagements undertaken by the staff within the service
- 44) The EQA confirmed the accuracy of the self-assessment and concluded that the Internal Audit Service fully conforms to the requirements of the PSIAS and LGAN.
- 45) The EQA contained no statutory recommendations and only included five advisory issues for the Chief Internal Audit to consider for the development of the service. For 2022/23, the self-assessment and outcomes from the EQA have been used to enhance the basis of the annual Quality Assurance and Improvement Programme (QAIP) for the service.
- 46) The outcome from the self-assessment and EQA provides significant assurance to the Council that the Internal Audit service conducts its work with due professional care and confirms the following in respect of the work carried out by the service in 2022/23:
- a) The service applied a systematic, risk-based approach to the assurance work it delivered
 - b) Internal Audit staff performed their duties with due regard to the code of ethics set out in the standards
- 47) The QAIP continues to capture scope for improvements in service compliance, with an action plan to provide a focus for continuous improvement in 2023/24 (see **Annex 1** below). Key themes for further improvement centre around the following:
- A review of the Team's resources against its foreseeable workloads and capabilities in the deployment of specialist ICT audit resources.
 - Continuous update of our Audit Manual, to keep pace with professional changes and ensure compliance with the application of core audit quality processes.

REVIEW OF THE STRATEGIC AUDIT PLAN 2022-2025

- 48) In December 2021, Governance & Ethics Committee approved a Strategic Audit Plan for the period 2022 to 2025, along with a commitment to keep this under review on an annual basis.



Purpose of the Strategic Internal Audit Plan

- The purpose of the Strategy remains valid and unchanged.



Delivery model

- The Strategy remains to deliver the service through the in-house team, and to continue its outward-looking approach, notably through its collaboration with Assurance Lincolnshire.



Understanding the Council's assurance needs

- The termly, 4-monthly audit plans will continue, and should prove of particular benefit to maintain the

relevance of Internal Audit's work in the recovery from the pandemic.

- The balance of Internal Audit's work between advisory input and risk-based assurance reviews tipped significantly towards advice during the pandemic. Opinion-based assurance work will increase through this period, embracing the possibilities offered by a 'continuous assurance' approach and to facilitate the conduct of 'value for money' type reviews.
- The service's pro-active counter-fraud programme is key to heightening awareness of potential fraud vulnerabilities. Pro-active assurance work will be carried out with a sharpened focus on fraud detection, to provide assurance that the Council has not been the target for attacks.



Deploying Internal Audit resources

- The internal audit apprentices are now established members of the Team and making productive contributions to the delivery of the service's Plans alongside progress with their professional audit studies. Internal Audit will also continue to exploit opportunities to bring in graduate and other developmental placements to the service, which provides a mutually beneficial opportunity for both the Team and the learner.

REVIEW OF THE STRATEGIC AUDIT PLAN 2022-2025

- 'Continuous auditing' will be progressed further, building on the impetus given to this approach to support statutory officers.
- The service will review options for bringing in the specialist resources needed, for example more technical ICT risk



Maintaining quality and VFM

- The service remains committed to progressing its Quality Assurance & Improvement Programme.
- The experience of delivering the internal audit service through the hybrid working approach continues to be reviewed to inform the Team's approach going forward.



Reviewing and reporting progress

- Internal Audit's reporting schedule to the Corporate Leadership Team and to the Governance & Ethics Committee remains appropriate and timely.

ANNEX 1: QUALITY ASSURANCE & IMPROVEMENT PROGRAMME

Update on progress for 2022/23 – arising from the Head of Internal Audit's annual self-assessment

| | Audit Standard | Gap in meeting standard | Action Required | Officer responsible | Timeline | (Progress – updated for 2022/23) |
|------------|--|--|---|------------------------|---------------------|---|
| 22/23 1 | Adequate resources for the Team | The extent of non-audit duties for the Team increased in 2021/22 | Progress options for more permanently resourcing the delivery of: - Corporate risk management - Assurance mapping - Continuous assurance Quarterly governance updates | Chief Internal Auditor | September 2022 | Under development To be finalised in 2023/24 as part of the latest restructure of the Group roles |
| 22/23 2 | Effective communication | Potential scope to make audit reports more concise and engaging | Review the format of the standard audit report | Chief Internal Auditor | September 2022 | Implemented New reporting formats have been adopted during 2022/23 |
| 22/23 3 | Skills, knowledge and competence of audit resource | Competence to carry out some ICT audits | Procurement of external provider to carry out the more complex ICT assignments in the Termly Plans | Chief Internal Auditor | From Term 1 2022/23 | Under development Current termly plans include an assessment of the appropriate ICT resource from the in-house team or external specialist which has developed during 2022/23 |

ANNEX 1: QUALITY ASSURANCE & IMPROVEMENT PROGRAMME

| | Audit Standard | Gap in meeting standard | Action Required | Officer responsible | Timeline | (Progress – updated for 2022/23) |
|------------|---|--|--|-------------------------------|------------|---|
| | | | | | | |
| 22/23 4 | Maintain audit procedures | Audit Manual needs to be continually updated | Update the Audit Manual for developments auditing approach during 2022/23 | Team Manager – Internal Audit | March 2023 | Continuous The contents have been reviewed and updated but this will continue to be an ongoing item for the QAIP |
| 22/23 5 | Documentation of findings and supervision | Some inconsistent use of audit automation system | Scope to ensure the consistent use of the audit automation system for all types of assignment, especially for the more agile, advisory pieces of work. | Team Manager – Internal Audit | Immediate | Continuous The use of the automated system for all work has been review and agile approaches identified. Compliance will remain an ongoing item for the QAIP through quality assurance reviews. |

ANNEX 1: QUALITY ASSURANCE & IMPROVEMENT PROGRAMME

New actions for 2023/24 – arising from the External Quality Assessment and Head of Internal Audit's annual self-assessment

| | Audit Standard | Gap in meeting standard | Action Required | Officer responsible | Timeline | (Progress – to be updated for 2023/24) |
|------------|--|---|--|------------------------|---------------------|---|
| 23/24 1 | Adequate resources for the Team | The extent of capacity within the team to continue to provide assurance, advice and consultancy work in 2023/24 | Progress options for more permanently resourcing the delivery of: - Assurance mapping - Continuous assurance Quarterly governance updates Provision of advice and consultancy work | Chief Internal Auditor | September 2023 | Under development To be finalised in 2023/24 as part of the latest restructure of the Group Roles |
| 23/24 2 | Effective communication | Potential scope to make audit reports more concise and engaging | Review the format of the standard audit report and explore the potential for automation within the Pentana system | Chief Internal Auditor | September 2023 | Under development New reporting formats have been adopted and will continue to be developed in 2023/24 |
| 23/24 3 | Skills, knowledge and competence of audit resource | Skills and competence to continue to deliver assurance and complex ICT audits | Continual professional development of staff. Recruitment of experienced internal audit staff. Continuation of external procurement for complex ICT assignments | Chief Internal Auditor | From Term 1 2023/24 | Under development Current termly plans include an assessment of the appropriate audit resource, including ICT requirements. |

ANNEX 1: QUALITY ASSURANCE & IMPROVEMENT PROGRAMME

| | Audit Standard | Gap in meeting standard | Action Required | Officer responsible | Timeline | (Progress – to be updated for 2023/24) |
|------------|--|--|--|-------------------------------|----------------|---|
| 23/24 4 | Maintain audit procedures | Audit Manual and Charter need to be continually updated | Routine updates to the Audit Manual and Charter for developments in audit approach and roles and responsibilities. | Chief Internal Auditor | September 2023 | Continuous The contents will continue to be reviewed and updated. |
| 23/24 5 | Documentation of supervision within the automated system | Some inconsistent use of the automated audit system | Scope to ensure the consistent use of the audit automation system for all types of assignment, especially for the more agile, advisory pieces of work. | Team Manager – Internal Audit | Immediate | Continuous The use of the automated system for all work has been review and agile approaches identified. Compliance will remain an ongoing item for the QAIP through quality assurance reviews. |
| 23/24 6 | Development of the International Professional Practice Framework and PSIAS | Skills and competence to continue to deliver the service | Active engagement with the development of new standard and the application within the public sector. Continual professional development of staff. | Chief Internal Auditor | September 2023 | Under development The Chief Internal Auditor has been actively engaged with CIPFA and other Internal Audit Networks to be engaged in the consultation. |

14 June 2023

Agenda Item: 9

**REPORT OF SERVICE DIRECTOR, FINANCE, INFRASTRUCTURE &
IMPROVEMENT****FOLLOW-UP OF INTERNAL AUDIT RECOMMENDATIONS****Purpose of the Report**

1. To report progress with the implementation of agreed management actions to address Internal Audit recommendations.

Information

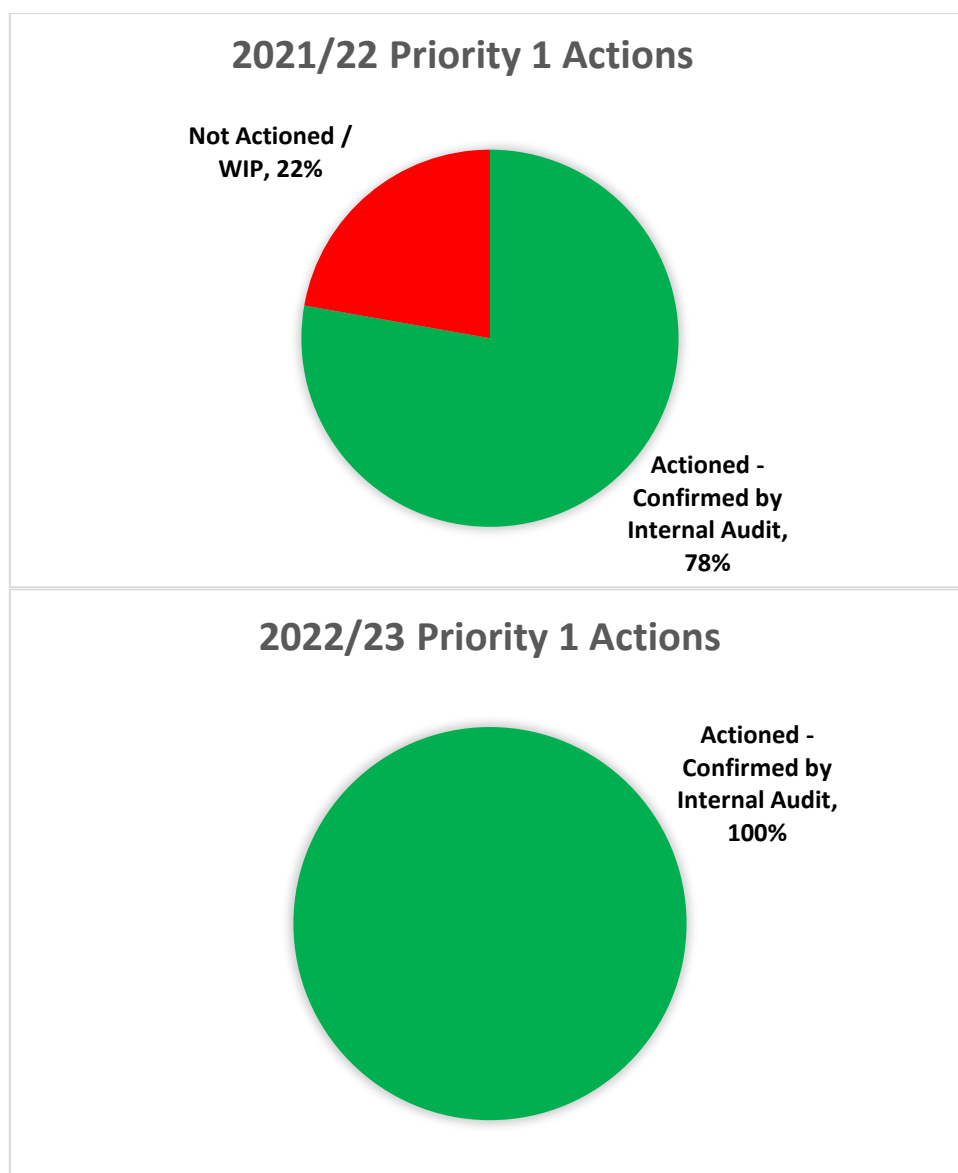
2. Internal Audit carries out follow-up work every six months, as follows:

| Priority rating of recommendation | Management assurance | Internal Audit assurance |
|-----------------------------------|--|---|
| Priority 1 | Updates sought from action owners about implementation | Testing to confirm management assurances about implementation |
| Priority 2 | | Sense-checking of updates, but no testing required |

3. The charts, tables and text in this report, and its appendix, describe the progress made by action owners in implementation, and the outcomes of testing for Priority 1 actions.

Priority 1 Actions

4. The degree of progress made with implementing and testing the more recent Priority 1 actions are summarised in the pie charts below, which cover the latest two financial years:



5. The green segments of the pie charts confirm that the majority of Priority 1 recommendations from both years have been actioned. We have successfully tested these actions and confirmed that they are implemented. Those that have been confirmed in this latest 6-monthly exercise are summarised in the table in paragraph 6 below.
6. Our testing has confirmed satisfactory implementation for the following Priority 1 actions:

| Priority 1 Actions – Cleared by Internal Audit following recent testing | |
|---|--|
| Audit | Cleared following enquiry and testing |
| Pre-2021/22 | |
| Ethical Framework | An electronic form for staff to declare interests and gifts & hospitality was developed and has been implemented. The new approach was included in a revised Officer Code of Conduct (in the Personnel Handbook) which was approved by the G&E Committee in November 2022, and the Full Council in May 2023. |
| External Day Care Providers | A tendering exercise for Nottinghamshire Day Opportunities was advertised during January and February 2023. The contracts are expected to be awarded in Summer 2023. The contract |

| Priority 1 Actions – Cleared by Internal Audit following recent testing | |
|---|--|
| Audit | Cleared following enquiry and testing |
| | documentation includes a range of Quality Assurance criteria and Key Performance Indicators, with arrangements for these to be monitored. |
| 2021/22 | |
| Section 106 Agreements | A process has been introduced to ensure that the team is notified, and can monitor, when developments have commenced, and so ensure that section 106 developer contributions are received. |
| Ethnicity Data | <p>Our audit of Ethnicity Data had an action to develop the use of ethnicity data in service development and commissioning. In an earlier action tracking exercise we had already confirmed that this had been actioned in the Children & Families department. Reports including ethnicity data are now considered business as usual. Ethnic characteristics are available for all cohorts to allow targeted interventions.</p> <p>In the ASC&PH department we have now obtained assurance that all commissioned services ask for ethnicity data to be captured routinely and that this is presented in a way that can be interrogated. We have obtained evidence that for commissioned services the needs of ethnic minorities are included in strategies, priorities within strategies, data to inform the strategies, contractual service specifications, key performance indicators, and data used to monitor the provision of the services.</p> <p>Also corporately, the Strategic Commissioning Toolkit was launched earlier this year, and this prompts commissioners to consider relevant factors including inequalities in the population by group or geography, the mitigation of unintended consequences including by equality impact assessments (EQIAs), and service user feedback which may identify relevant issues</p> |
| 2022/23 | |
| Grow Our Own (Social Worker) Programme | Relevant officers were made aware of the requirement to seek approval of the Section 151 Officer for the write-off of any debt. |
| Counter-Fraud Learning & Responsiveness - School Fraud | A monthly checklist has been created for schools which includes checks by two persons (usually the School Business Manager and Head Teacher) of overtime, payroll and bank payments. The Spring 2023 School Finance Newsletter included a reminder to schools to raise formal purchase orders. Schools also use an invoice stamp that is initialled to confirm that goods or services were received, the invoice is arithmetically correct, and it is approved for payment. |
| Counter-Fraud Learning & Responsiveness – Claims for Payment from Care Providers | <p>The Adults department check that all provider claims agree to what has been commissioned and what has been delivered. The team's guidance notes have been revised to clarify the process.</p> <p>The Children's department contract for short breaks now includes a requirement for providers to submit a monthly statement of activity, together with a verification of the activity signed by the parent or carer, to support their claims for payment. These are checked by the Commissioning Team before payment, which also includes a check for duplicate claims.</p> |

7. Where management provides assurance that Priority 1 actions have been implemented, Internal Audit tests them before confirming they are implemented (in paragraph 6 above). We have obtained evidence to test all implemented Priority 1 actions.
8. However, despite the positive messages above, our work continues to identify Priority 1 actions where implementation is overdue, including some earlier ones which were delayed by the pandemic. These are set out in **Appendix 1**, and summarised by year, and by department, below:

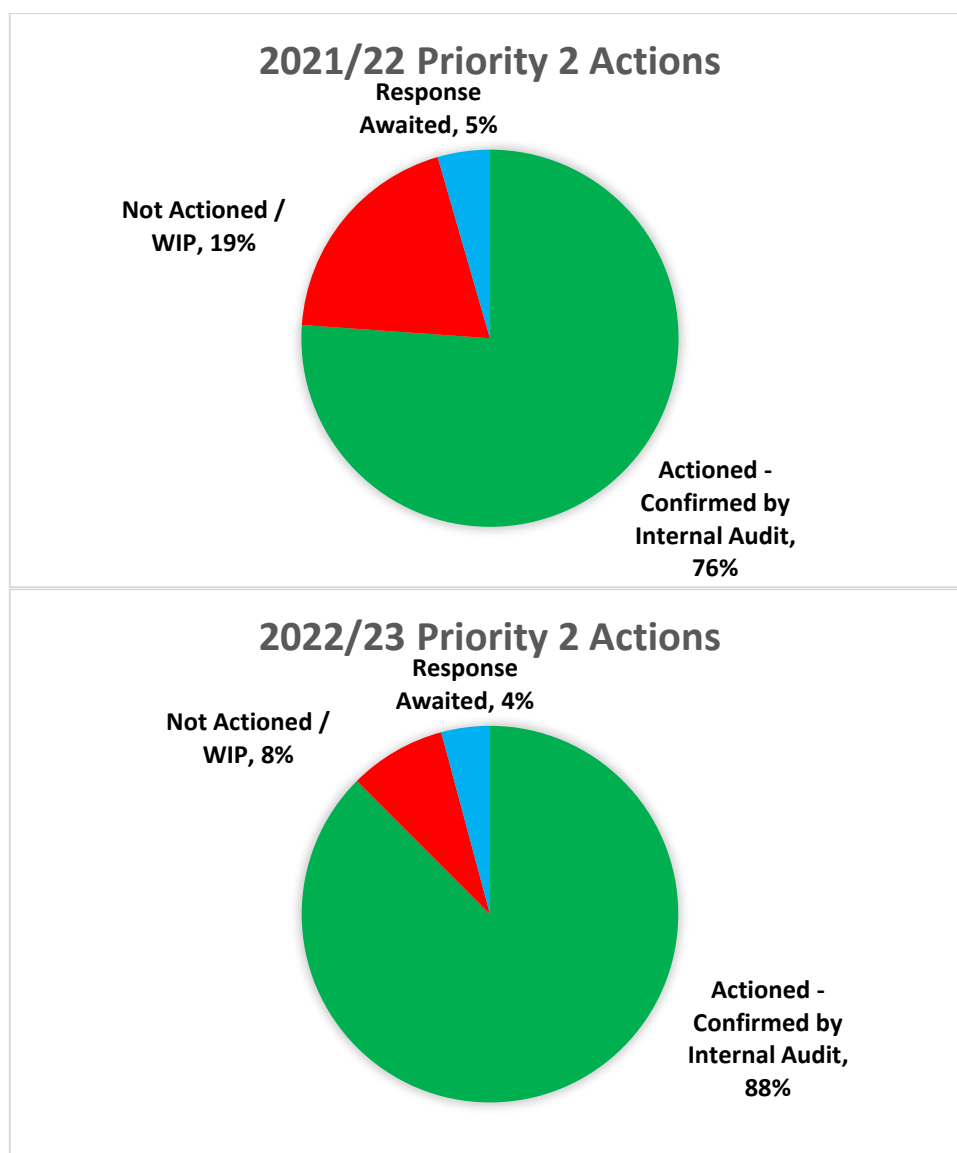
| Overdue Priority 1 Actions | | | | | | |
|-----------------------------------|----------------------|------------------------------|----------------|--------------|------------|----------------------|
| Audit report year | Council total | Departmental Analysis | | | | |
| | | ASCPH | C&F | Place | CEx | Cross-cutting |
| Pre-2021/22 | 7 | 0 | 0 | 0 | 0 | 7 |
| 2021/22 | 6 | 0 | 0 | 1 | 5 | 0 |
| 2022/23 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 13 | 0 | 0 | 1 | 5 | 7 |

Governance of 'Category A' Outside Bodies

9. In the above table the five overdue actions in the Chief Executive's department relate to our report on Governance Arrangements for 'Category A' Outside Bodies. Nine recommendations within that report are now due for implementation based on the original estimated timescales provided by management, which were given subject to the availability of additional resources to carry out this new work. Progress with the implementation of the Priority 1 recommendations is summarised in Appendix A in which reference has been made to limited progress because of those previously identified resource and capacity issues. However, it is acknowledged that implementation of the range of recommendations in the original Internal Audit report has recently been discussed by the Corporate Director of Place, Section 151 Officer and Monitoring Officer who intend to develop an Annual Report to the Governance & Ethics Committee on the governance arrangements and assurance provided by NCC Companies. As part of our Internal Audit tracking process, we will seek to test and ensure that these recommendations are addressed and implemented as part of this Annual Report or through other appropriate measures.

Priority 2 Actions

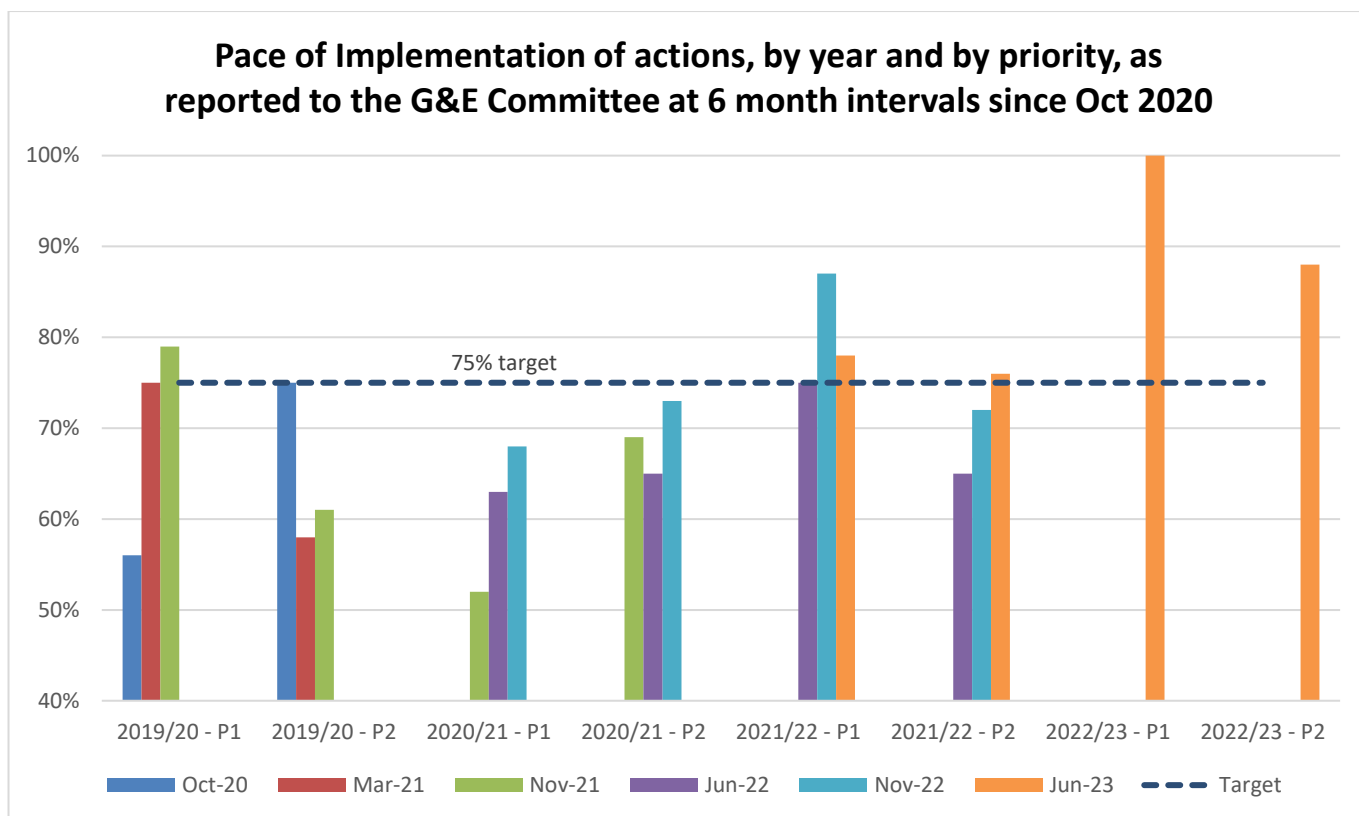
10. Progress with implementing Priority 2 actions over the last two financial years is summarised in the following pie-charts:



11. The green segments confirm that the majority of Priority 2 recommendations from both years have been actioned. The red segments indicate where there remains more to do.

Pace of implementation

12. For each financial year, a key performance indicator has been agreed for 75% of agreed Priority 1 and Priority 2 actions to be implemented on schedule. The following chart tracks the pace with which actions have been implemented since 2019/20, as reported to successive G&E Committees since October 2020, when the implementation of actions began to be impacted by the pandemic:



13. The above chart shows that there was a slowdown in of the pace of implementation of actions during the pandemic, with the lowest figures being 2020/21 actions reported to the November 2021 committee. There has been an improving trend since then, and implementation is now exceeding the 75% target. The overall implementation rate on this latest action tracking exercise was 77% for 2021/22 actions and 94% for 2022/23 actions.

Management updates to the Governance & Ethics Committee

14. The continued drive and support from the Committee is key in securing improved implementation rates going forward. Arising from the details presented in this report, the Committee may consider that it requires further updates and assurances from management at its next meeting in relation to one or more of the areas in which agreed actions remain outstanding.

Other Options Considered

15. The other option considered would be to not bring an update report to the Committee, however this was rejected as it would mean the committee would not have the oversight of progress needed to gain assurance that actions identified were being implemented.

Reason for Recommendation

16. To enable the Governance & Ethics Committee to consider whether it has received sufficient assurance that actions in response to Internal Audit's recommendations are being implemented as agreed, or whether it considers that further and more detailed updates from management are required.

Statutory and Policy Implications

17. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.
18. Many of Internal Audit's recommendations are made with specific financial implications in mind. Such recommendations, and the associated management actions, are designed to secure effective governance, internal control, and risk management.

RECOMMENDATIONS

- 1) That the Committee note the contents of the reports and the progress that has been made against the Internal Audit recommendations.
- 2) That a further progress report and an update on those actions outstanding be included in the next 6-monthly review.

Nigel Stevenson

Service Director – Finance, Infrastructure and Improvement

For any enquiries about this report please contact: Simon Lacey, Chief Internal Auditor

Constitutional Comments (GR 17/05/2023)

19. Pursuant to the Nottinghamshire County Council Constitution this Committee has the delegated authority to receive this report including consideration of the recommendations contained within in.

Financial Comments (SES 17/05/2023)

20. There are no specific financial implications arising directly from this report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

| Agreed action and risk | Original implementation target and position at the previous follow-up in Nov 2022 | Latest progress update | Internal Audit follow-up |
|--|---|--|-------------------------------------|
| Pre-2021/22 audit reports | | | |
| Budget Forecasting (cross-cutting) – March 2020 | | | |
| Training A training package is being developed. This will be available as on-line training and will include updated guidance on the expectations of budget holders and approvers. <i>Risk: Where staff are not fully aware of their roles and responsibilities or properly trained, inaccurate forecasts may be produced.</i> | September 2020 Nov 2022: Progress was previously delayed by additional work arising from Covid. Then the update to Governance Arrangements included a review and update of the Financial Regulations, which is also to be included in the training. This was to be revisited after the year-end accounts activities, and the delayed external audit visit which was due to end in early October. | Delays to the 2021/22 external audit, and staff vacancies, have delayed the planned development of on-line training. Budget training continues to be delivered by finance staff to individual budget holders as and when required. Monthly budget monitoring has not identified any inaccurate forecasts and the overall budget monitoring process continues to be robust. | To be followed up in next 6 months. |
| Commissioning (cross-cutting) – June 2020 | | | |
| Commissioning Project Management Draft commissioning plans to be circulated between departmental commissioning boards, panels and teams and also a cross-departmental Expert Commissioner group. <i>Risk: Opportunities for joint working and commissioning are missed at the operational level.</i> | October 2020 Nov 2022: This is now addressed by the Departmental Commissioning Boards established for the Adults and Children's departments. A Place Department commissioning and contracts group was still to be set up. | This was largely addressed by the Departmental Commissioning Boards established for the Adults and Children's departments. For the Place Department, there are discussions on how commissioning activity can be covered by the Place Leadership Team meetings, so we will continue to track this. | To be followed up in next 6 months |
| Training and quality control A framework of commissioning courses ranging from basic to advanced, sourced either internally or externally, to be established and rolled out. <i>Risk: The quality of commissioning activity varies across the organisation.</i> | October 2020 Nov 2022: A cross-departmental task and finish group of commissioners carried out a skills audit, and from this a training plan was to be developed to deliver the organisational development and cultural change required as part of the adoption of the Strategic Commissioning Framework, Toolkit and Training Plan to be launched in early 2023. | A new Strategic Commissioning Framework went to Cabinet in March 2023. The Cabinet Report included a Training Plan with timescales for implementation from April 2023. It also included a Draft Strategic Commissioning Toolkit. Internal audit will review the introduction of the Training and Toolkit in our next 6-monthly follow-up. | As above |

Overdue Priority 1 Actions

Appendix 1

| Agreed action and risk | Original implementation target and position at the previous follow-up in Nov 2022 | Latest progress update | Internal Audit follow-up |
|---|---|--|-------------------------------------|
| Databases and data analysis Commissioning groups and teams to review the data analytical skills required of staff and consider options for addressing skills gaps. <i>Risk: Best practice is not promoted, resulting in sub-optimal outcomes.</i> | October 2020 Nov 2022: The current Strategic Commissioning Programme is being supported by a Business Analyst from the Strategic Insight Unit. However, this level of support cannot be available for all commissioning activities. It is intended that through the online Toolkit, which is being developed as part of the programme, there will be further information collated for commissioners of useful links and resources for them to use to be able to support and aid their data analysis. | The new Strategic Commissioning Framework which went to Cabinet in March 2023 is based on 8 principles including, but not limited to, Data Analysis. Internal audit shall obtain a wider update on the embedding of strategic commissioning across the Council in our next 6-monthly follow-up. | As above |
| Business Continuity Planning (BCP) (cross-cutting) – August 2020 | | | |
| Training The Emergency Planning Team to submit a report to the Risk, Safety and Emergency Management Board (RSEMB) on training options and other matters arising from the returned questionnaires. <i>Risk: Lack of engagement from BCP managers and ineffective actions taken in the event of an emergency.</i> | March 2021 Nov 2022: Consideration has begun to be given to the inclusion of Business Continuity in a suite of new e-Learning modules. | The Risk, Safety and Emergency Management Board (RSEMB) have agreed that a full and thorough review of the Council's business continuity arrangements is urgently required considering the significant lessons identified from the response to the Covid-19 pandemic, a Ransomware (Cyber) attack exercise and the County Hall fire. The RSEMB have agreed that the Business Continuity Forum will be formed again to lead this work. The work will be led by the Head of Service Delivery Governance & Standards, Technology & Digital ICT, and supported by the Emergency Planning Team. | To be followed up in next 6 months. |
| BCPs on SharePoint Managers of critical services in the ASC&PH and C&F Departments need to produce BCPs for the 10 critical services currently without one. Once completed they need to be uploaded onto SharePoint. <i>Risk: Services deemed as critical do not have an action plan in the event of an emergency.</i> | March 2021 Nov 2022: Specific Emergency Planning Officers have been assigned to support ASC&H and C&F managers of critical services. Internal audit await confirmation that Business Continuity Plans have been produced for the 10 services in these departments that did not have one. | The programme of work, overseen by the Forum will include: <ul style="list-style-type: none"> Review of the NCC Business Continuity strategy, considering organisational changes since the last review (hybrid working, investing in Nottinghamshire, ASDMs/Outsourcing). | As above |
| Annual Reviews of BCPs | March 2021 | <ul style="list-style-type: none"> Review of the current corporate Business continuity arrangements as | As above |

Overdue Priority 1 Actions

Appendix 1

| Agreed action and risk | Original implementation target and position at the previous follow-up in Nov 2022 | Latest progress update | Internal Audit follow-up |
|--|---|---|--|
| <p>Managers of critical services to ensure that BCPs to be reviewed, updated, and made subject to training exercises.</p> <p><i>Risk: Actions taken in response to an emergency situation are not effective, exposing the Council and its service users to prolonged disruption.</i></p> | <p>Nov 2022: The Emergency Planning Team has recommended the re-establishment of the Business Continuity Forum to lead and support the future maintenance of effective business continuity plans.</p> | <p>outlined in the NCC Corporate Plan, to address gaps.</p> <ul style="list-style-type: none"> • Review of NCC Critical Services list. • Review and revision of critical service plan templates and guidance, to include cyber incidents and lessons from Covid and the County Hall fire. • Monitoring of progress of Business Continuity incident recommendations e.g. Ransomware attack action plan approved at the RSEMB in July. • Liaison with RSEMG's and critical services to ensure BC plans and arrangements are up to date. • Identification of training needs for Emergency Planning to develop eLearning / workshop. • Validation of new corporate BC plans and arrangements (through exercising). <p>The Forum have been asked to prioritise the review and revision of critical service plan templates and guidance to support departmental business continuity planning.</p> | |
| 2021/22 audit reports | | | |
| Sale of land and building properties (Place Dept) – November 2021 | | | |
| <p>Asset Management Plans To develop the Service Asset Management Plans.</p> <p><i>Risk: The property requirements of the Council may be unclear.</i></p> | <p>March 2022</p> <p>Nov 2022: Progress was delayed by Covid-19, after which a restart was made in the Children's Department. A new Strategic Business Partner is now working with the Children's Department on the Service Asset Management Plan for their area.</p> | <p>Some progress was made before a delay caused by Covid-19. It is on the Property Services action plan for this year to complete Suitability Assessments for all properties and Service Asset Management Plans for all services.</p> | <p>To be followed up in next 6 months.</p> |
| Governance of 'Category A' Outside Bodies (Chief Execs Dept) – December 2021 | | | |
| <p>Ownership of Company Governance</p> | <p>April 2022 to June 2023</p> | <p>Responsibility for monitoring has been allocated to Corporate and Environmental Law Team. To strengthen the control</p> | <p>An annual governance report on the companies is</p> |

Overdue Priority 1 Actions

Appendix 1

| Agreed action and risk | Original implementation target and position at the previous follow-up in Nov 2022 | Latest progress update | Internal Audit follow-up |
|--|---|--|---|
| <p>Centralise the Council's monitoring of its companies' governance arrangements.</p> <p><i>Risk: Lack of oversight and assurance</i></p> | <p>The current update (see next column) is the first time that we have followed up this action.</p> | <p>framework it is proposed to develop an established model for engagement with Outside Bodies - defining shareholder/director roles and responsibilities; managing conflicts of interest, governance assurance and financial & viability assurance requirements of the Council, with responsibility for different aspects allocated to officers with appropriate skills and knowledge. Further update on the proposal will be provided via the first proposed annual governance report on the companies to the G&E committee.</p> | <p>to be provided to the G&E Committee. Internal audit will also follow this up in the next 6 months.</p> |
| <p>Roles and Responsibilities</p> <p>Ensure appropriate Council representatives are on each company's board, and appointed as shareholders, and their roles are clear and understood.</p> <p><i>Risk: Ineffective governance if roles and responsibilities are not defined.</i></p> | <p>November 2022 to June 2023</p> <p>The current update (see next column) is the first time that we have followed up this action.</p> | <p>There has not been a comprehensive review of appointments to the companies. However, some appointments have been changed due to changes in personnel at the Council.</p> | <p>As above</p> |
| <p>Training and Development</p> <p>Professional training provided to Council representatives on each company's board, and those appointed as shareholders.</p> <p><i>Risk: Ineffective governance if representatives are not appropriately trained.</i></p> | <p>November 2022</p> <p>The current update (see next column) is the first time that we have followed up this action.</p> | <p>Training was offered to all known directors of the companies as of December 2022. Five directors attended the training in January 2023 and a record kept. However not all directors attended, and some new directors have since been appointed.</p> | <p>As above</p> |
| <p>Conflicts of Interest</p> <p>Review the Council representatives on each company's board, and those</p> | <p>November 2022 to June 2023</p> <p>The current update (see next column) is the first time that we have followed up this action.</p> | <p>No formal review of appointments has taken place to check for risks of conflicts of interest. However general advice on conflicts was covered in the training for</p> | <p>As above</p> |

Overdue Priority 1 Actions

Appendix 1

| Agreed action and risk | Original implementation target and position at the previous follow-up in Nov 2022 | Latest progress update | Internal Audit follow-up |
|---|--|---|--------------------------|
| <p>appointed as shareholders, for any conflicts of interest. Manage this on an ongoing basis.</p> <p><i>Risk: Ineffective governance if conflicts of interest are not managed.</i></p> | | <p>Directors (above) and specific advice was given to manage a potential conflict that was identified in the case of one company directorship.</p> | |
| <p>Assurance Reporting</p> <p>Performance reporting by the companies to be tracked, and an annual governance report on the companies to be provided to the G&E Committee.</p> <p><i>Risk: Governance is not demonstrated if arrangements are inconsistent.</i></p> | <p>December 2022</p> <p>The current update (see next column) is the first time that we have followed up this action.</p> | <p>This reporting, which is a matter for the relevant service departments, is anticipated to feature in the first annual governance report on the companies to the G&E Committee.</p> | <p>As above</p> |

14 June 2023**Agenda Item: 10****REPORT OF THE SERVICE DIRECTOR, CUSTOMERS, GOVERNANCE AND
EMPLOYEES****USE OF THE COUNCILLORS' DIVISIONAL FUND****Purpose of the Report**

1. To provide the Committee with an annual update on the use of the Councillor's Divisional Fund (CDF) for the period of 1 April 2022 to 31 March 2023.

Information

2. The CDF is a specific budget that enables each County Councillor to put forward proposals for expenditure in their electoral division that align with the Council's strategic objectives. Any payments made through the scheme must comply with the requirements of the CDF Policy.
3. In 2022/23 each Councillor was allocated up to £5,000 to spend within each financial year (for 2023/24 the allocation for each Councillor has been reduced to a limit of £3,000). Any funds that are not spent at the end of the financial year are returned to corporate balances.
4. The CDF payments that were made in 2022/23 are set out in **Appendix A** to the report (payments to individuals have been anonymised where relevant). These payments were made in line with the Policy that was agreed by the Governance and Ethics Committee on 10 March 2022.

Electronic System

5. During 2022/23 a new electronic CDF system was rolled out, which allowed Councillors to self-serve. It also helped automate some of the existing checks and balances, reducing the amount of time that Democratic Services officers and Members spend dealing with applications. The electronic system was run in parallel with paper copy forms whilst the new arrangements were bedded-in. For applications in 2023/24 the CDF application process will be fully electronic. This will allow Councillors to self-serve and monitor how much of their allowance remains.

Audit

6. The Policy agreed on 10 March 2022 refreshed requirements around auditing the scheme. The Policy requires an annual audit of a sample of applications, which will include ensuring that monies provided have been spent in line with the application that was made. The type and number of applications that are to be subject to audit are agreed with the Chairman of the Governance and Ethics Committee.
7. In preparing the updated Policy in 2022, a set of principles were established to underpin it. A number of those principles, together with the wording of the updated policy, were designed to reduce risk to the fund by introducing audit requirements. These included:
 - a. Increased assurance in respect of payments made to individuals (e.g. the requirement to provide receipts)
 - b. Additional monitoring for any payments to an organisation that exceed £1000 in a single financial year, either because they are joint payments or repeat payments
 - c. Additional monitoring for any payments to help establish a new organisation
8. The audit is currently in progress and results will be reported to the Committee once it has been completed.

Other Options Considered

9. The report provides an update on expenditure as required by the CDF Policy, therefore failing to provide a report would breach that policy.

Reason/s for Recommendation/s

10. To update the Committee in line with the requirements of the CDF Policy.

Statutory and Policy Implications

11. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

Financial Implications

12. Allocations for the Councillors Divisional Fund are made each year as part of the budget setting process. The amount spent by each Councillor is actively monitored and regular communications take place between individual Councillors and Democratic Services regarding this.

RECOMMENDATION

- 1) That the Committee notes the Councillors' Divisional Fund expenditure for the period 1 April 2022 to 31 March 2023 as detailed in Appendix A to the report.

Marjorie Toward

Service Director, Customers, Governance and Employees

For any enquiries about this report please contact:

Jo Toomey, Advanced Democratic Services Officer Tel. 0115 977 4506

E-mail: jo.toomey@nottsc.gov.uk

Constitutional Comments (CEH 17/05/2023)

13. Governance and Ethics Committee are responsible for monitoring and reviewing the Councillors' Divisional Fund and therefore have the remit to consider the report.

Financial Comments (SES 17/05/2023)

14. There are no specific financial implications arising directly from this report.

15. Allocations for the Councillors Divisional Fund are made each year as part of the budget setting process. The amount spent by each Councillor is actively monitored and regular communications take place between individual Councillors and Democratic Services regarding this.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- Governance & Ethics Committee, 10 March 2022 (published)
- Councillors Divisional Fund Policy, updated March 2022 (published)

Electoral Division(s) and Member(s) Affected

- All

CDF Applications received from Councillors - 1 April 2022 – 31 March 2023

N.B. Payments to individuals not trading as businesses have been anonymised in line with Access to Information Rules.

| Councillor & Recipient | Amount £ | Reason for application |
|---|-----------------|---|
| Cllr Reg Adair | | |
| Ruddington Parish Council | 500.00 | To contribute to the Queen's Platinum Jubilee Celebrations in Ruddington |
| NCC Catering | 35.85 | Contribution towards catering costs for the Queens Award for Volunteers Event |
| Robin Hood Rifles Corps of Drums | 250.00 | To contribute towards new band equipment |
| St Peters C Of E Junior School | 750.00 | Contribution towards the Forest School wildlife project |
| Ruddington Cricket Club | 1,000.00 | Contribution towards additional equipment |
| Ruddington Parish Council | 750.00 | Contribution towards the community event, concert band and flags to decorate the streets for the Coronation |
| Bradmore Parish Council | 450.00 | To contribute towards a speed gun for the villages of Bradmore and Bunny |
| Gotham Parish Council | 1,000.00 | Contribution towards defibrillator |
| Sutton Bonington and Normanton on Soar Voluntary Car Scheme | 264.15 | Contribution towards running costs for the voluntary car scheme |
| Cllr Mike Adams | | |
| Via East Midlands Ltd | 193.05 | Installation of electrical supplies for Christmas Trees at Coronation Walk, Gedling and St Luke's Way, Stoke Bardolph |
| Netherfield Forum | 500.00 | To contribute towards equipment in the Youth Centre in Netherfield |
| Nottinghamshire County Council Catering | 252.00 | Burton Joyce Primary School lunch for School Council visit, 10 June 2022 |
| Burton Joyce Village Society | 1,000.00 | To assist with funding to help renovate Burton Joyce Village clock |
| Netherfield Arts Festival | 500.00 | To pay for the printing of A5 booklets for the promotion of the Arts Festival – taking place 14-17 July 2022 |
| Stoke Bardolph Village Hall | 1,000.00 | Contribution towards new changing rooms and WC facilities |
| S V | 500.00 | Contribution towards traveling costs to enable a young person to attend professional training |
| J I C | 500.00 | To support a young person by contributing towards travelling costs and costumes for ice skating competitions |

| | | |
|---|----------|--|
| Colwick Parish Church | 554.95 | Tree planting by the Parish Council |
| Cllr Pauline Allan | | |
| Woodthorpe Community Football Club | 500.00 | To purchase football kit / equipment for the team, contribution towards building a club house at the home ground |
| We R Here | 500.00 | For providing therapeutic services for residents of Councillor Allan's division |
| Arnold Mill Primary & Nursery School | 270.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Warren Action Group | 1,000.00 | Contribution towards a community fireworks event |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queens Award for Volunteers Event |
| Bonington Players | 500.00 | To purchase new props and a stage carpet for future productions |
| Nottinghamshire County Ladies Bowling Association | 250.00 | To help fund the cost of coaches to enable the team to attend competitions |
| St. Timothy's Friendship Group | 150.00 | Towards provision of resources for group activities, room hire and refreshments |
| 1st Daybrook Brownies | 150.00 | To support the continued running of the Brownies and Rainbows groups |
| Sky Club | 150.00 | Funding the coach costs towards a summer trip for the children |
| Leapool Allotment Association | 250.00 | This funding will help improve security at the allotment |
| 1st Redhill Scout Group | 250.00 | Funding to assist with improvements to the Scout Hut |
| Arnold in Bloom | 250.00 | To support schools and youth organisations to partake in the Arnold in Bloom project |
| Southwell Cathedral Chapter | 400.00 | Contribution towards the cost of two young people in their role as Choristers of Southwell Minister |
| Gedling Play Forum | 308.32 | Contribution towards replacing the energy source |
| Cllr Sinead Anderson | | |
| 4th Mansfield Woodhouse Scout Group | 250.00 | Contribution towards enabling 4 young people to attend the Jamboree in South Korea in August 2023 |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queens Award for Volunteers Event |
| Mansfield Manor Care Home | 162.50 | Contribution towards armchair yoga and meditation expert for the elderly and a singer for the Christmas party |
| REAL Foundation Trust | 750.00 | To purchase equipment for the 'Free time' after school enrichment clubs |

| | | |
|--|----------|---|
| Friends of Pleasley Community Orchard | 1,000.00 | Contribution towards a defibrillator for those using the orchard and the surrounding area |
| Methodist Church Chesterfield Road | 750.00 | To help purchase food for the Food Share Club to help residents |
| Unity Dance Fundraising | 400.00 | This funding will help towards transport to get to and from dance competitions |
| Home Start Mansfield | 401.65 | Contribution towards the annual trip for disadvantaged children in the Mansfield District |
| Parochial Church of St Augustine's | 500.00 | Contribution towards developing a nature spot for local residents to gather |
| Ladybrook Enterprises Ltd | 750.00 | Contribution towards landscaping the gardens so they can be used for classes and activities |
| Cllr Callum Bailey | | |
| Worksop's Got Talent | 500.00 | Cost towards supporting 'Worksop's Got Talent' |
| North Notts Community First Responders | 1,000.00 | One-off contribution towards a car for the volunteers to respond to those in need |
| First Carlton Boys Brigade | 161.00 | To purchase various games equipment |
| Christ Church PCC | 1,000.00 | Contribution towards upgrading sound equipment |
| Worksop Cricket & Sports Club (Flat Green) | 500.00 | To purchase equipment and advertisement for the bowls taster events |
| The Lindrick Players | 1,000.00 | Contribution towards replacement wires for digital microphones |
| Cllr Matt Barney | | |
| Sutton Bonington Lunch Club | 250.00 | Contribution towards a lunch to celebrate the Queen's Platinum Jubilee |
| Gotham Pre-school Playgroup | 530.81 | To purchase various play equipment |
| West Leake Parish Meeting | 300.00 | To provide out of pocket funds towards the Village Jubilee Celebrations |
| Nottinghamshire Hospice | 500.00 | Contribution towards their work supporting individuals in Leake / Ruddington |
| Stanford on Soar Parish Council | 500.00 | Contribution towards a new Oak Notice board for the village |
| Barton in Fabis Village Hall | 250.00 | Contribution towards refurbishments to the Hall |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| East Leake Community Care Association | 350.00 | Towards Hall hire |

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| Sutton Bonington Baptist Church | 1,000.00 | Educational support for Ukrainian Children at Sutton Bonington Primary School |
| Sutton Bonington Playscheme | 350.00 | Contribution towards general running costs and craft materials |
| ENOUGH CIC (Escaping Narcissistic Oppression, Unhappiness, Gaslighting & Harassment) | 200.00 | To contribute towards learning resources to enable group participation |
| Sutton Bonington Playgroup | 733.34 | Contribution towards play equipment for 'outdoor project' |
| Cllr Chris Barnfather | | |
| Nottinghamshire County Ladies Bowling Association | 250.00 | Helping with transport for the bowls club |
| Newstead Parish Council | 1,000.00 | Contribution towards updating the children's playpark |
| Gedling Play Forum | 250.00 | To support the cost of providing roof insulation at resource centre |
| Ravenshead Parish Council | 500.00 | Contribution towards new Christmas Street Lights for future Ravenshead Christmas Light Switch-on events |
| Ravenshead Parish Council | 250.00 | Contribution towards the funding of a street/village green party in recognition of the coronation of King Charles III |
| Newstead Parish Council | 250.00 | Contribution towards the funding of a street/village green party in recognition of the coronation of King Charles III |
| Ravenshead Parish Council | 250.00 | Funding for replacement defibrillator pads and batteries |
| Linby Parish Council | 250.00 | Contribution towards the funding of a community street/village green party/barn dance in recognition of the coronation of King Charles III |
| Papplewick Parish Council | 250.00 | To support the funding of a street/village green party in recognition of the coronation of King Charles III |
| Bestwood Village Parish Council | 250.00 | To support the funding of a street/village green party in recognition of the coronation of King Charles III |
| Papplewick Parish Council | 500.00 | Contribution towards planting a canopy of trees in the Papplewick Play Park to commemorate the coronation of King Charles III |
| Newstead Primary School | 400.00 | Contribution to fund the Life Skills Primary Programme (DARE) |
| Bestwood Miners Welfare | 300.00 | Contribution towards replacement fencing |
| Miner2Major | 300.00 | Contribution towards the purchase of Hi-viz tabards for volunteers at Bestwood Country Park |
| Cllr Ben Bradley MP | | |
| Jigsaw Support Scheme | 400.00 | To continue to deliver services to vulnerable residents in Mansfield. Including the Hoarding Service and Food Bank |

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| Notts County Bowling Association Ladies | 250.00 | To help fund the cost of coaches to enable the team to attend competitions |
| Mansfield Woodhouse Community Development Group | 300.00 | Funding towards a picnic in the park Queen's Platinum Jubilee Event |
| 4th Mansfield Woodhouse Scout Group | 500.00 | Contribution towards enabling 4 young people to attend the Jamboree in South Korea in August 2023 |
| Mansfield Woodhouse Community Development Group | 135.00 | Purchase of celebratory coins for the Queen's Platinum Jubilee |
| Support Through Sport Youth CIC | 750.00 | Towards sporting equipment for a number of mentoring sessions – supporting young people through sport. |
| J A-M | 250.00 | To support a young person to attend the WAKO world championships to represent Great Britain in Italy in October |
| Warsop & District U3A | 250.00 | To cover 6 months room rental for the group |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Brierley Park Bowls Club | 180.00 | Funding towards the purchase of new coloured team shirts to modernise and compete in leagues and events |
| Woodhouse Colts | 200.00 | To help with the costs of training facilities for the clubs |
| The Triangle Group | 200.00 | Funding to support the group with room hire to enable them to meet |
| Mansfield Woodhouse Heritage Link | 400.00 | Funding towards the Roman mosaic project |
| Mansfield Choral Society | 200.00 | To cover some of the costs associated with the group. i.e. rehearsal space, performance venues, publicity, performing right etc |
| Mansfield Woodhouse Community Development Group | 800.00 | Funding towards the Mansfield Woodhouse Annual Concert |
| Mansfield Bid Company Ltd | 149.15 | To help support the running of the Armed Forces Day event in Mansfield |
| Cllr Richard Butler | | |
| Friends of Cotgrave Country Park | 350.00 | Contribution towards the cost of interpretation boards for the park |
| St Peter's Parochial Church Council | 500.00 | Contribution to the cost of cutting down some dangerous trees in the church's graveyard |
| Harlequins Netball Club | 100.00 | To purchase sets of match bibs |

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| Friends of Cotgrave Country Park | 280.00 | Contribution to the cost of portable toilets, tables and chairs for the Friends' Group's Family Fun Day, taking place at the Cotgrave Country Park in August. |
| South Locality Youth Service | 750.00 | To support a group of young people from Cotgrave who attend the Cotgrave Youth Club in entering and achieving their first Duke of Edinburgh Awards Scheme |
| Vale & Fosseyway First Responders | 500.00 | Contribution towards uniforms and equipment for volunteers covering the Kinoulton/Owthorpe areas |
| G M | 500.00 | Contribution to the Cotgrave Community Coffee Club for an outing and Christmas activities for their growing number of users |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Candleby Lane School | 200.00 | To pay for a coach to take Year 4 children to the Galleries of Justice, partly as an end of term reward and also to help them with their Understanding of British Values |
| South Locality Youth Service | 500.00 | Contribution towards hot food and cookery lessons for Cotgrave Youth Club |
| Rushcliffe Community & Voluntary Service | 200.00 | Contribution towards the cost of leaflets and other materials to promote the Free/voluntary community transport scheme |
| Kinoulton Primary School | 500.00 | Contribution for new playground equipment, which as well as being used during school time, is also a public play area |
| Tollerton Primary School | 450.00 | To purchase two character/decorated recycling bins |
| Cotgrave Methodist Church | 134.00 | Contribution to the cost of a new pool table for the Youth Club |
| Cllr Anne Callaghan BEM | | |
| Mansfield Woodhouse Community Development Group | 300.00 | To support the 'Party in the Park' in Mansfield Woodhouse |
| Harlequins Netball Club | 400.00 | Contribution towards netball kit and balls |
| Mansfield Woodhouse Millennium Green Trust | 330.00 | To support the work of the volunteers who maintain the Millennium Green in Mansfield woodhouse |
| Nottinghamshire County Ladies Bowling Association | 400.00 | Contribution towards travel costs to away fixtures playing in competitions for the National Championships |
| Inspire Culture, Learning & Libraries (Midlands) | 200.00 | To support Mansfield central Library to purchase some indoor garden games to use with families and their children as part of the libraries recovery plan. |
| Sherwood Seals | 500.00 | To support youngsters across the whole of the District with an identified disability access swimming lessons at Rebecca Adlington Swimming Club every Monday evening 6.30pm to 8pm. |

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| Park Hall Allotment Association | 500.00 | Contribution towards a new shed |
| Greek Community | 350.00 | To support 27 elderly people from the Greek Community to either a day trip out or provide dinner |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| St Edmund's Primary School | 500.00 | To support vulnerable children to take part in outside school trips |
| Sherwood U3A | 800.00 | To purchase a computer to enable U3A to manage their information and have the ability to allow their members learning opportunities via the web |
| Mansfield Woodhouse Community Development Group | 148.32 | To support Little Roots Community Allotment, purchase locks for sheds and seeds for growing |
| The Cooper | 500.00 | To support the purchase of a defibrillator for community use |
| Cllr André Camilleri | | |
| J H | 200.00 | To purchase decorations, tableware and bunting for the Queen's Platinum Jubilee Street party |
| Little John Classic Car Club | 250.00 | Contribution towards promotion & Programme costs also staging equipment and brass band hire |
| Inspire Culture, Learning & Libraries (Midlands) | 100.00 | To purchase books as prizes for raffle to promote library |
| Westfield Folkhouse Pantomime | 500.00 | Contribution towards sets, staging and props for the 2023 pantomime |
| Mansfield Primary Academy | 200.00 | Contribution towards an inflatable disco dome and backdrop for year 6 end of term celebrations |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Mansfield Manor Care Home | 162.50 | Contribution towards armchair yoga and meditation expert for the elderly and a singer for the Christmas party |
| Mansfield Harriers & Athletic Club | 500.00 | Funding towards the purchase of Pole Vault stands |
| First Steps | 250.00 | Contribution towards toys for the playgroup |
| Mansfield Primary Academy | 200.00 | Contribution towards purchasing Christmas toys for deprived children attending the school |
| Age Concern Mansfield | 250.00 | To support and provide entertainment for the Age Concern Friday group at the Patchills Centre |
| Sunbeams | 250.00 | To replace broken children's toys |

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| Mansfield and Ashfield Echo | 200.00 | To replace a USB duplicating machine for the blind and partially blind community to access taped news and programmes |
| Mansfield Choral Society | 300.00 | Purchase of sheet music and instrument parts for the 50th Jubilee Celebrations in 2023 |
| Brierley Park Bowls Club | 200.00 | To purchase club shirts |
| Maun Conservation Group | 250.00 | Purchase of information boards on the river Maun |
| Mansfield & District U3A | 200.00 | Funding towards the purchase of a computer to enable compliance with GDPR |
| Men in Sheds | 350.00 | For Memorial plaque and signage for the Bee Orchid and Appleby Gardens |
| King Edward Primary School | 200.00 | Contribution towards delivering a sculpture workshop to pupils |
| St Mark's Toddler Group | 201.65 | Contribution towards operational costs |
| P D | 200.00 | To support the running costs of putting on the Berry Hill Park Classic Car Show |
| Cllr Scott Carlton | | |
| 4th Mansfield Woodhouse Scout Group | 250.00 | Contribution towards enabling 4 young people to attend the Jamboree in South Korea in August 2023 |
| King Clipstone Parish Council | 1,000.00 | Contribution towards a defibrillator for the village |
| Edwinstowe Food Hub | 250.00 | To supply food for people in need |
| Clipstone Food Hub | 250.00 | Donation for the purchase of food parcels for Christmas |
| Eakring Parish Council | 1,000.00 | Contribution towards 'Welcome to Eakring' signs for the village |
| Thoresby Sporting Trust | 1,000.00 | Contribution towards Junior cricket equipment and also for the newly formed Ladies team |
| Recycling Ollerton and Boughton | 250.00 | To purchase recycling boxes for kerbside glass service |
| Eakring Parish Council | 1,000.00 | Contribution towards play equipment that is suitable for less abled users |
| Cllr Steve Carr | | |
| Beeston Fields Primary School | 360.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Forever Stars | 1,000.00 | To develop the back room to use as a Men's Den for men who have lost a child |
| Oxjam Beeston Music Festival | 500.00 | Contribution towards printing/marketing and security on the night |
| The Pearson Centre for Young People | 393.00 | Contribution towards advertising banners and programmes for Boy's Brigade pantomime |

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| Bramcote College (White Hills Park Trust) | 500.00 | Provision for books for the library |
| Via East Midlands Ltd | 37.50 | Salt bags for the division of Bramcote and Beeston North |
| Bramcote Local History Group | 100.00 | Purchase of new laptop to do presentations to the group and the public |
| Hope Nottingham | 500.00 | Contribution to refurbish the outdoor enclosed space and to buy outdoor toys and storage |
| Bramcote C of E Primary School | 1,000.00 | Provision and repair of outside play equipment |
| Helpful Bureau | 150.00 | To assist residents in the Beeston and Bramcote area to access appointments and activities |
| The She Musketeers | 250.00 | Room hire and refreshments for coffee & chat sessions |
| Beeston & District Civic Society | 209.50 | Funding for equipment for repair of household goods |
| Cllr John Clarke MBE | | |
| Nottinghamshire County Council Catering | 35.84 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| We R Here | 964.16 | To provide therapeutic support activity events (room hire and activities) |
| Bonington Players | 999.00 | Contribution towards advertisement, materials etc for upcoming performances |
| Nottingham Organ Society | 1,000.00 | To help with advertisement and promotion of events |
| Jazzhouse (Nottinghamshire) Ltd | 1,000.00 | Contribution for rent to hold performances and advertising |
| Arnold Methodist Church | 1,000.00 | Contribution towards outings, art and craft materials for the Mental Health Group plus food and drink for the kitchen |
| Cllr Neil Clarke MBE | | |
| Cropwell Bishop Parish Council | 300.00 | Contribution towards provision of fireworks at the village picnic in the park event on 9th July |
| Bingham Heritage Trails Association | 250.00 | Funding to purchase and install blue plaques on two houses formerly the homes of James Prior Kirk, a leading author, to commemorate the 100th anniversary of his death |
| Cropwell Bishop Parish Council | 150.00 | Contribution towards Senior Citizens' Christmas Party event, hall hire etc |
| Newton Parish Council | 726.00 | To purchase 4 commemorative silhouettes in memory of those lost in war, to be displayed at entrance to village |
| Bingham Community Events Group | 500.00 | Contribute to equipment for Beer festival event for the people of Bingham and visitors |

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| Cropwell Bishop Youth Club | 200.00 | Contribute to providing entertainment for the Youth Club Christmas Party |
| Bingham Rugby Club Ltd | 500.00 | Contribute towards hire of marquee for 50th year celebrations of the Club |
| Bingham Bowling Club | 150.00 | Contribution towards an outside installation of a defibrillator |
| Cropwell Bishop Parish Council | 417.00 | Contribution towards purchase of speed watch gun |
| Via East Midlands Ltd | 155.00 | Grit Bin at the junction of Radford Road & Old Fosse Road Cropwell Butler |
| Langar Cum Barnstone Parish Council | 531.00 | Bench and plaque to be located in the Memorial Park |
| Newton Parish Council | 1,000.00 | Contribution towards the purchase of stacking chairs for the new Village Hall |
| Langar Cum Barnstone Parish Council | 121.00 | Contribution to purchase an apple press |
| Cllr Robert Corden | | |
| Holly Primary School | 577.00 | Towards an 11-week programme for drugs education for pupils at Holly primary school. |
| Frenbot Football | 250.00 | Contribution towards costs to put on charity football match |
| Spectrum Wasp | 250.00 | Panto tickets for registered families to enjoy time together at Christmas |
| Jigsaw Support Scheme | 250.00 | To support the food bank service for people that come to their mental health support group with the cost of living crisis |
| Brierley Park Bowls Club | 300.00 | To purchase club shirts |
| Woodhouse Colts | 200.00 | To purchase equipment for the club |
| Unity Dance Fundraising | 250.00 | This funding will help towards transport to get to and from dance competitions |
| St Albans PCC Forest Town | 398.00 | To provide materials to run "Messy Church", which is an after-school club for youngsters |
| Family Action | 1,000.00 | To provide recipe bags and protein products to enhance the food provision for people on Oaktree & Bellamy Estates Mansfield |
| Kingsway Hall Management Trust CIO | 250.00 | Contribution towards a replacement boiler |
| Friends of Bellamy | 375.00 | Family fun day for the children of Bellamy Estate. To cover the cost of Bouncy Slide, Obstacle Course, Space Hopper Racing, Ice Cream van to provide free to children, and the hire of a portable toilet |
| AFC Mansfield Ltd | 250.00 | To purchase 2 floodlights at Forest Town arena |

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| Notts County Bowling Association Ladies | 150.00 | To help fund the cost of coaches to enable the team to attend competitions |
| Wynndale Primary School | 250.00 | To paint new and improved lines in the school playground to allow more diverse sporting options for the pupils |
| St Patrick's Catholic Voluntary Academy | 250.00 | Contribution towards the purchase of sensory equipment for extracurricular activities |
| Cllr John Cottee | | |
| Wysall & Thorpe in the Glebe Parish Council | 165.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Plumtree Parish Council | 200.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Wysall Watercolour Group | 350.00 | To contribute towards Wysall Art exhibition to pay for printing and flyers to advertise the popular event |
| Wysall & Thorpe in the Glebe Parish Council | 170.00 | To contribute towards the restoration of the church wall at Wysall |
| Hickling Parish Council | 200.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Residents of Hawthorn Close | 100.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Rempstone Parish Council | 159.01 | Purchase of jubilee flags and lamppost signs |
| Keyworth Parish Council | 200.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Upper Broughton Parish Council | 200.00 | To purchase Platinum Jubilee coins to be distributed to the children within the Parish |
| S H | 200.00 | To contribute to the Queens Platinum Jubilee Celebrations |
| Costock Parish Council | 150.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Branch and Blooming Gardening | 600.00 | To contribute towards polytunnel equipment to enable full use of the gardens and for residents less able in their health. |
| Keyworth Parish Council | 100.00 | Contribution towards banners for the Guitar Club |
| Hickling Parish Council | 500.00 | To contribute towards upgrading the website to help set up a better mechanism for informing our parishioners of Council and village activities |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Keyworth Parochial Church Council | 200.00 | Contribution towards a toaster and microwave for the Warm Space programme |
| Keyworth Primary & Nursery School | 470.00 | Contribution towards coach costs for pupils to attend 'Young Voices' event |
| Upper Broughton Village Hall Committee | 500.00 | Parish Community Seniors Lunch |
| Rempstone Parish Council | 300.14 | Contribution towards a Bench on Wysall Lane |

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| Keyworth Camera Club | 200.00 | To contribute to support of the Keyworth Camera Club's 40th Anniversary Event on 5th January 2023 |
| Cllr Jim Creamer | | |
| Netherfield Arts Festival | 100.00 | To pay for the printing of A5 booklets for the promotion of the Arts Festival – taking place 14-17 July 2022 |
| Gedling Borough Council | 600.00 | Netherfield and Colwick Employment Health and Wellbeing Event 13th October at St. George's Centre (Netherfield Steering Group) |
| Gedling Southbank Reds U11's | 300.00 | To purchase home and away kit for the Reds u11s girls football team |
| Stonebridge City Farm | 500.00 | Contribution towards supplying craft and creative activities for children from my division when they visit the farm |
| Carlton Forum Swimming Club | 500.00 | Contribution to subsidise and encourage membership to improve health and well being |
| Hope Nottingham | 1,000.00 | Contribution towards new carpets and furniture for the Carlton Hub |
| Caribbean Elders Group | 500.00 | Contribution towards craft workshops, group outings and the Heritage Project |
| Friends of Onchan Park | 1,000.00 | Contribution to outdoor play equipment |
| Robin Hood Allotment Association | 335.84 | Environmental improvement to the allotment site |
| Friends of Valley Road Playing Ground | 164.16 | To support preparation work for re-landscaping of a local park |
| Cllr Debbie Darby | | |
| Support Refugees East Midlands | 300.00 | Contribution towards a bus trip to Skegness for refugees relocated to Nottinghamshire |
| Lovers Lane Primary School | 184.30 | Contribution towards Life Skills Primary programme for 27 pupils (previously know as DARE) |
| Newark & Sherwood District Council | 500.00 | Funding to buy food and supplies for Beaumont Walk food club to support the community with emergency food boxes |
| Bridge Community Centre | 200.00 | To provide funding to support the Warm Rooms scheme for the purchase of food and drinks for service users attending |
| North Clifton Primary School | 300.00 | Contribution towards setting up a new reading area |
| Parochial Church Council of Barnby in the Willows | 200.00 | To purchase tools and materials for new Community Garden |
| Via East Midlands Ltd | 37.50 | Salt bags for the division of Collingham |
| St John's Ambulance | 500.00 | Training for St John's Ambulance Youth Programme (cadets) |
| Collingham Community Pub Ltd | 500.00 | Contribution to cover running costs eg heating and refreshments for community activities |

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| Diocese of Southwell Nottinghamshire Multi Academy | 500.00 | To contribute towards sensory equipment for Mount C of E Primary School |
| Newark (Notts & Lincs) Air Museum Ltd | 500.00 | Contribution towards new green energy lighting for the museum |
| Bishop Alexander LEAD Academy | 682.00 | Contribution towards the purchase of a book vending machine |
| Winthorpe Community Centre | 596.00 | Contribution towards new lighting at the entrance to the hall and in the car parking area |
| Cllr Sam Deakin | | |
| Ashfield District Council | 1,000.00 | To contribute to the first 'Ashfield Day' community event on 27 August 2022 |
| Sutton Town Centre Group | 1,000.00 | Contribution towards costs for the Remembrance Sunday Event in Sutton-in-Ashfield |
| Sutton Melody | 500.00 | To purchase sheet music |
| Forest Glade Primary School | 1,000.00 | To support the eco-friendly school project by contributing to things like water butts, compost bins etc. |
| Via East Midlands Ltd | 155.00 | Grit bin King Fisher Way. Sutton in Ashfield |
| New Cross Community Church | 1,000.00 | Contribution towards food and activities for 3 groups run by the church and to purchase a bingo machine |
| Cruse Bereavement Care (Notts) Branch | 345.00 | To support people within my Division through bereavement |
| Cllr Dr John Doddy | | |
| Bramcote & Stapleford Golden Jubilee Group | 1,000.00 | In support of the Hemlock Happening event |
| Cossall Parish Council | 1,000.00 | Contribution towards defibrillator and cabinet for emergencies |
| Cossall Parish Council | 515.00 | Daniel Baird 'Control the bleed' initiative |
| Trowell Parish Council | 1,000.00 | Contribution towards the resurfacing and drainage of the Parish Hall car park |
| Stapleford Community Group | 499.99 | Purchase of a portable projector eg to use to do CPR etc when visiting places without technology |
| Dig In Project Stapleford | 985.01 | Contribution towards replacing two raised flower beds |
| Cllr Bethan Eddy | | |
| Warsop Carnival Committee | 1,000.00 | Towards the running of the carnival in Warsop to help pay for the generator and further costs |
| Warsop Parish Council | 1,000.00 | To pay for the road closure for the Remembrance Day Parade |

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| Warsop Poppy Group | 500.00 | Contribution towards material for a silhouette of a soldier made from wood |
| Nottinghamshire County Ladies Bowling Association | 300.00 | To support the team with costs associated with their away games |
| Warsop & District U3A | 300.00 | To cover room rental to support community projects and to allow them to keep subscription payments for members lower |
| Warsop Parish Council | 300.00 | A donation to Warsop Parish Christmas Smile campaign to support families in Warsop around Christmas |
| Longden Terrace Bowls Club | 300.00 | Make the pavilion fit for purpose for the community |
| Clover Club | 300.00 | Contribution towards replacing the table-tennis table and purchase board games |
| Warsop Youth and Community | 500.00 | To purchase sports equipment and arts and craft materials |
| Warsop Parish Council | 500.00 | Contribution towards the purchase a speed gun and equipment to set up a speed watch |
| Cllr Boyd Elliott | | |
| Sir John Sherbrooke Junior Academy | 250.00 | To purchase raised planters/veg beds for school outdoor activity garden |
| Calverton Scouts & Guides Supporters Association | 1,000.00 | Contribution towards the Calverton Village 'Get Together' |
| St Wilfrid's C of E Primary School | 500.00 | To purchase sensory equipment for a quiet place at the after-school club |
| Calverton Parish Council | 500.00 | To contribute to the Queens Platinum Jubilee Celebrations |
| Calverton Working Men's Club | 1,000.00 | Provide a bus to transport local children to have a day out at Ingoldmells |
| K G | 500.00 | Contribution towards printed hoodies for Y6 leavers party |
| The Fit Farm | 300.00 | To provide outdoor exercise facilities for the under sixteen Pavors Rugby Group |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Woodborough Parish Council | 100.00 | To contribute towards Christmas trees for Woodborough Parish Council |
| AM Produce | 300.00 | To contribute towards Christmas trees in Lambley |
| Pavors Rugby Football Club | 300.00 | Contribution towards equipment for the young players of the club |
| Calverton Theatre Group | 100.00 | Contribution towards the annual pantomime |
| Woodborough in Bloom | 114.15 | Contribution towards flowers for 'Woodborough in Bloom' |

| Cllr Sybil Fielding | | |
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| St Joseph's Rockware of Worksop Football Club | 1,000.00 | To fund kit for under 8s boys' and girls' football teams |
| Worksop Rugby Club | 1,000.00 | Contribution towards repairs and improvements to the club house following vandalism |
| Worksop's Got Talent | 500.00 | Costs towards putting on the event 'Worksop's Got Talent' |
| Shireoaks Parochial Church Council St Luke's | 600.00 | To fund a Christmas tree for the Parish of Shireoaks and Rhodesia |
| Balmoral Tenants & Residents Association | 400.00 | Contribution towards a disability compliant bus to take residents out to celebrate King Charles III coronation |
| Rhodesia Parish Council | 200.00 | Contribution towards equipment for a community group to littler pick |
| Bassetlaw District Council | 1,000.00 | Contribution towards the purchase of a container for residents of Lady Walk Gateford to store the flood defence equipment |
| Age UK Notts Men in Sheds CIC | 300.00 | Contribution towards replacing equipment such as drill bits and purchasing woodwork materials for projects |
| Cllr Kate Foale | | |
| Beeston Musical Theatre Group | 200.00 | To purchase new and replacement head mics and sound equipment for their next production in October |
| Music for Everyone | 250.00 | Contribution towards venue hire to support the Open Voices and East Midlands Youth Windband Groups. |
| Broxtowe Community Projects | 300.00 | To support the Food and Nappy bank in the NG9 & NG16 areas |
| Oxjam Beeston Music Festival | 200.00 | Contribute towards printing/marketing and security on the night, plus the occasional purchase of necessary equipment (e.g., cash boxes) |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queens Award for Volunteers Event |
| 5th Beeston (St John's) Rainbows | 300.00 | Contribution towards room hire and activities for Guides and Rainbows |
| Trent Vale Infant School | 500.00 | To purchase books and set up a library/book swap facility for pre-school children, this resource will enable children to develop their social and interaction skills and gross motor development |
| Beeston & Chilwell Girlguiding | 200.00 | To help purchase 'Thank You' tokens for volunteers |
| Rylands Junior School | 500.00 | To fund resources beyond the curriculum for children with special educational needs and disabilities |
| Literacy Volunteers | 200.00 | To support the delivery of the Literacy Volunteer Programme at Trent Vale Infant School |

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| Friends of Beeston Station | 200.00 | Help fund the purchase of self-watering half-barrel planters to be positioned on a slate bed adjacent to Beeston Station, with the aim of fostering a welcoming and colourful approach to the Station |
| St John Ambulance | 500.00 | To support training for more leaders to meet the current demand for places on the Youth Programme |
| 2nd Beeston Guide Unit | 400.00 | Contribution towards outings and badges |
| South Locality Youth Service | 500.00 | To support the ongoing delivery of a warm meal or snack within each youth club session, develop life and cooking skills to enhance their awareness and knowledge of healthy eating |
| Beeston Rylands Parent Group | 178.32 | Towards welcome events and activities for new families to the area whose children are joining the school |
| John Clifford School | 500.00 | Contribution towards material for outdoor garden / allotment |
| Cllr Stephen Garner | | |
| J H | 200.00 | To purchase decorations, tableware and bunting for the Queen's Platinum Jubilee Street party |
| Little John Classic Car Club | 250.00 | Contribution towards promotion & programme costs also staging equipment and brass band hire |
| Mansfield Folk Festival | 450.00 | Contribution towards staging and props for the free festival |
| H B | 300.00 | Contribution towards a defibrillator for the community to be sited at Ling Forest Public House |
| Nottinghamshire County Council Catering | 35.84 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Mansfield Harriers & Athletic Club | 500.00 | Funding towards the purchase of pole vault stands |
| First Steps | 250.00 | Contribution towards toys for the playgroup |
| Age Concern Mansfield | 250.00 | To support and provide entertainment for the Age Concern Friday group at the Patchills Centre |
| Sunbeams | 150.00 | To replace broken children's toys |
| Mansfield and Ashfield Echo | 200.00 | To replace a USB duplicating machine for the blind and partially blind community to access taped news and programmes |
| Mansfield Choral Society | 300.00 | Purchase of sheet music and instrument parts for the 50th Jubilee Celebrations in 2023 |
| Maun Conservation Group | 250.00 | Purchase of information boards on the River Maun |
| Mansfield & District U3A | 200.00 | Funding towards the purchase of a computer to enable compliance with GDPR |
| Friends of Berry Hill Park | 400.00 | To contribute towards 2 notice board to be erected adjacent to the community Orchard |

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| Smith Street Social Club | 300.00 | To purchase replacement battery and pads for a defibrillator and a contribution towards the Coronation celebration event for the elderly |
| Men in Sheds | 350.00 | For Memorial plaque and signage for the Bee Orchid and Appleby Gardens |
| King Edward Primary School | 200.00 | Contribution towards delivering a sculpture workshop to pupils |
| St Marks Toddler Group | 214.16 | Contribution towards operational costs |
| P D | 200.00 | To support the running costs of putting on the Berry Hill Park Classic Car Show |
| Cllr Glynn Gilfoyle | | |
| Bassetlaw Young People's Youth Services | 1,000.00 | To provide diversionary activities within the Worksop East division |
| The Centre Place | 200.00 | Contribution towards stall hire, materials for the young people to create a banner to represent themselves in the event and new LGBT+ progression flags |
| 14th Worksop St Anne's Scout Group | 200.00 | Scout Group members will represent the United Kingdom at the 25th World Scout Jamboree in Korea. This will help towards the costs |
| North Nottinghamshire Community First Responders | 200.00 | Support to purchase Entonox Pain Relief Units and Infant/Child Pulse Oximeters |
| Watson Road Support Living (NCHA) | 250.00 | Contribution to pay for the food and drinks, purchasing of prizes, and advertising the Christmas Open Day |
| Worksop's Got Talent | 250.00 | Contribute towards the show's production costs |
| Rayton Spur Afternoon Club | 150.00 | Contribution towards the club's Christmas Dinner |
| Bassetlaw Community & Voluntary Service | 150.00 | Towards a Christmas Party for the Bassetlaw COVID-19 volunteers |
| Bassetlaw District Council | 300.00 | Contribution towards a Christmas party for residents and elderly people in the area of Priory Court, Larwood House when it reopens in the New Year |
| Oasis Community Church (Worksop) | 400.00 | Contribution towards providing food and essential items to those in need |
| Age UK Nottingham & Nottinghamshire | 200.00 | To support the Men in Sheds Project which is based within my County Division of Worksop |
| St Joseph's Rockware FC Girls | 440.00 | Training aids and clothing for the girl's football team |
| Bassetlaw District Council | 400.00 | Contributions towards Celebration of the King's Coronation for the residents at Priory Court and Larwood House Housing Schemes |

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| Rayton Spur Afternoon Club | 200.00 | Contribution towards putting on a celebration for the King's Coronation |
| Cruse Bereavement Care (Notts) Branch | 200.00 | To support people within my Division through Bereavement |
| Aurora Wellbeing Centre | 260.00 | Providing additional security arrangement of the premises due to vandalism |
| Voices of Bassetlaw | 200.00 | Contribution towards ongoing publicity campaign promoting meetings and events |
| Cllr Keith Girling | | |
| Fountain WI | 100.00 | To decorate the room and tables with jubilee bunting etc for a joint WI celebration for the Queen's Platinum Jubilee |
| Newark Brazil Football Club | 1,000.00 | Team kit for U8s, season 2022/2023 |
| Newark and Sherwood DC | 100.00 | To contribute towards the Queens' Platinum Jubilee Street party for the community at Cleveland Square. |
| Newark and Sherwood DC | 500.00 | Hawtonville Family Day (activities for the children) |
| Support Refugees East Midlands | 300.00 | Contribution towards a bus trip to Skegness for refugees relocated to Nottinghamshire |
| Children's Bereavement Centre | 300.00 | To help towards the cost of hiring a climbing frame for the dragon boat race fund raiser |
| Newark Hockey Club | 500.00 | To sponsor the Junior team's kit which will have the Nottinghamshire County Council Logo on it |
| Home Start Newark | 1,000.00 | To provide support for vulnerable families to enhance their life experiences |
| Newark Friendship Group | 500.00 | To pay for a coach for the group to go to Skegness |
| Newark Town Council | 200.00 | Contribution towards the Newark Armed Forces Day event |
| Armed Forces Champion | 500.00 | To purchase trophies for the winners of the Boots and Beret Business Awards 24 August 2023 |
| Cllr Penny Gowland | | |
| Nottinghamshire County Council Catering & Transport | 362.00 | West Bridgford Primary School, transport and lunch for School Council visit 18 November 2022 |
| Musicworks Midlands | 300.00 | Contribution towards a PA system for the Heron Music Cafe |
| St Giles Pre-school | 400.00 | Towards daily learning resources, one to one support/intervention groups for children with additional needs or speech and language delay, training for speech and language, safeguarding and networking |
| West Bridgford Infant School | 300.00 | To purchase a portable sound system |

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| We R Here | 300.00 | Contribution toward creative materials for use in free counselling sessions with our children and young people |
| Nottingham Kayak Club | 300.00 | Repair work to the front of the building |
| West Bridgford Methodist Church | 500.00 | This is directly towards heating costs for activities running this winter |
| Via East Midlands Ltd | 750.00 | Plant a tree in the West Bridgford North area |
| Watersafe UK Search & Rescue | 200.00 | Contribution to replacing 15 pairs of specialist water safety footwear |
| Lady Bay Community Association | 200.00 | A contribution towards a newsletter to advertise community meetings and events |
| Friary Drop In Ltd | 400.00 | To support upcoming awareness raising events, gazebo, weights, foldaway table, newsletters, and merchandising items |
| 1st Lady Bay Guides | 200.00 | To provide resources to allow the guides to garden the patch of land at the end of Gertrude Road |
| Lady Bay Tennis & Sport Club | 250.00 | Contribution towards travel expenses, match refreshments, accommodation for finals, cost of balls and cost of indoor courts in case of bad weather |
| Companion Voices CIC | 200.00 | Contribution towards refreshments, venue hire costs, printing and publicity flyers |
| Rushcliffe Community & Voluntary Service | 150.00 | Contribution towards producing and distributing publicity to recruit new volunteers |
| Lady Bay Parent and Toddler Group | 188.00 | Contribution towards materials for children's activities |
| Cllr Errol Henry JP | | |
| Gedling Borough Council | 600.00 | Netherfield and Colwick Employment Health and Wellbeing Event 13th October at St. George's Centre (Netherfield Steering Group) |
| Gedling Southbank Reds U11's | 300.00 | To purchase home and away kit for the Reds u11s girls football team |
| Nottinghamshire County Council Catering | 35.84 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Hogarth Academy | 200.00 | To purchase selection boxes who visit Santa at the Christmas Fayre |
| Carlton Forum Swimming Club | 300.00 | Contribution to subsidise and encourage membership to improve health and wellbeing |
| Hope Nottingham | 499.00 | Contribution towards new carpets and furniture for the Carlton Hub |
| Friends of Onchan Park | 500.00 | Contribution to outdoor play equipment |
| Caribbean Elders Group | 500.00 | Contribution towards craft workshops, group outings and the Heritage Project |

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| Hogarth Academy | 500.00 | To provide two programmes on cyber safety for children |
| Robin Hood Allotment Association | 664.16 | Environmental improvement to the allotment site |
| Friends of Valley Road Playing Ground | 401.00 | To support preparation work for re-landscaping of a local park |
| RAF Cadets | 500.00 | Contribution towards IT equipment, resources for the flight simulator and Duke of Edinburgh kit for expeditions |
| Cllr Paul Henshaw | | |
| Jigsaw Support Scheme | 500.00 | To purchase food to restock the food bank. This is used by vulnerable people in the community of Mansfield |
| Wainwright Primary Academy | 460.00 | Funding for the whole of year 6 to be transported to the Ben Kinsella exhibition in Nottingham on 12th July |
| Friends Pleasley Community Orchard | 500.00 | To purchase a new Flat Pack Metal Container for the storage of tools etc on the orchard. The metal container will also provide more security when storing tools and equipment. |
| Inspire Culture, Learning & Libraries (Midlands) | 100.00 | To purchase a new set of defibrillator pads. For the defibrillator at Ladybrook estate library. |
| Sherwood Seals | 500.00 | To support youngsters across the whole of the district with an identified disability access swimming lessons at Rebecca Adlington Swimming Club every Monday evening 6.30pm to 8pm. |
| Notts County Bowling Association Ladies | 200.00 | To help fund the cost of coaches to enable the team to attend competitions |
| Jigsaw Support Scheme | 250.00 | Contribution towards the purchase of electric blankets for the vulnerable |
| Wainwright Primary Academy | 100.00 | Contribution towards the school's 'mini police' to have a meal at McDonalds |
| St John's with St Mary's PCC | 200.00 | To provide a warm area in the Church for the elderly and young people during Church run events during the Winter |
| Peace of Mind | 100.00 | To contribute towards the allotments group Christmas meal and social get together |
| Brunts Charity (Champion Crescent) | 150.00 | Contribution towards a fish & chip lunch for the elderly residents |
| D S | 250.00 | To support a young person, a special Olympics athlete with training & travel expenses |
| Sport 4 Kidz - Kidz 4 Sport | 400.00 | To support a number of community sessions to interact with the youth of Mansfield West division |
| Hettys | 250.00 | Contribution towards furnishing the Community Group room |
| Disability Nottinghamshire | 250.00 | Contribution towards running costs e.g. rent and travel expenses for volunteers |

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| Brunts Charity (Champion Crescent) | 190.00 | Contribution towards Fish and Chip lunch for the residents |
| Peace of Mind | 300.00 | Allotment Group - Contribution towards an Easter meal, purchase gardening equipment and plants / seeds |
| Ladybrook Christmas Light Fund | 300.00 | Contribution towards the cost of Christmas lighting around Ladybrook place shops |
| Cllr Tom Hollis | | |
| Via East Midlands Ltd | 100.00 | 10 Bags of salt for Winter 2021 & 10 Bags of salt for Winter 2022 to be used in the Sutton West area |
| Ashfield District Council | 1,000.00 | To contribute to the first 'Ashfield Day' community event on 27 August 2022 |
| Sutton Town Centre Group | 500.00 | Contribution towards costs for the Remembrance Sunday Event in Sutton-in-Ashfield |
| K W | 600.00 | Towards organisational costs - Halloween event on Friday 28th October at Kirkby Leisure Centre |
| Mansfield Hosiery Mills Football Club | 250.00 | To purchase equipment for the club. Most importantly are the goal nets |
| Via East Midlands Ltd | 155.00 | Grit Bin for Farcroft Avenue, Sutton in Ashfield |
| Sutton Melody | 500.00 | To purchase sheet music |
| All Saints Centre Huthwaite Ltd | 700.00 | Contribution towards items and resources for the warm spaces project and £200 allocated to purchasing bikes to begin their bike sharing scheme |
| Ashfield District Council | 400.00 | Contribution towards a bench for Huthwaite park |
| Huthwaite Amateur Boxing Club | 423.60 | Contribution towards new equipment |
| C H | 262.40 | To purchase equipment and materials to improve amenity of footway |
| Cllr Mike Introna | | |
| J M | 250.00 | This a contribution to a Jubilee Street Party for Rutland Road in Retford |
| C G | 250.00 | Contribution towards the costs for a Jubilee Street Party for the community around Winston Grove |
| Retford Grove Street Methodist Church | 150.00 | To contribute towards the Queen's Platinum Jubilee Street party for the community around Union Street |
| Gate Inn | 150.00 | To contribute towards the Queen's Platinum Jubilee Street party |
| Ordsall Bridon Cricket Club | 500.00 | This a contribution to Youth Teams training and new first aid equipment |
| Retford Armed Forces & Veterans Breakfast Club | 1,000.00 | To part fund a visit to the Royal Chelsea Hospital in September, to pay in full for the coach |

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| St Saviour's Community Centre | 500.00 | To provide funds for toys and games but primarily building blocks for after school club |
| Ordsall Parish Hall | 381.00 | Contribution to setting up website and IT System |
| Bassetlaw Food Bank | 1,000.00 | Contribution to office equipment |
| 2nd Ordsall Guides | 583.00 | To support 4 guides to attend an international field trip |
| 1st Ordsall Rainbow Guides | 200.00 | Support activities for a local Rainbows group |
| Gamston West Drayton & Eaton Parish Council | 36.00 | Contribution towards the cost of a defibrillator in West Drayton |
| Cllr Richard Jackson | | |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Chilwell Memorial Institute | 1,000.00 | Contribution towards installing a defibrillator, new disabled access to the building and a disabled toilet |
| 1st Chilwell & Attenborough Scout Group | 1,000.00 | To contribute towards camping equipment for Duke of Edinburgh awards |
| Attenborough Station Volunteers | 250.00 | To contribute towards seasonal plants at Attenborough train station |
| Eskdale Junior School | 1,000.00 | Contribution to school's breakfast club / warm hub |
| Greenwood Community Centre | 614.15 | Contribution towards sound proofing fabric and running costs |
| Attenborough Village Hall | 600.00 | Contribution towards running costs |
| Toton Methodist Church | 500.00 | Contribution towards the elderly persons lunch club outing to the coast |
| Cllr Roger Jackson | | |
| Gunthorpe C of E Primary School | 180.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Lowdham C of E Primary School | 180.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| The Minster School (Academy) | 180.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate Internet use and deal with cyber bullying |
| Epperstone Parish Council | 350.00 | To contribute towards Epperstone Church Clock restoration |
| Music for Everyone | 350.00 | Contribution towards room hire to support Southwell Day-time Voices |
| J Q | 300.00 | Contribution toward transporting equipment for 2 young people to represent England in European Sailing Championships |
| Thurgarton Cricket Club | 300.00 | Repairing cricket nets |

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| Thurgarton Parish Council | 150.00 | Contribution towards a subscription for a flood monitoring service that will alert the community (via text) |
| Lowdham Parish Council | 300.00 | Contribution towards an interactive speed sign |
| Southwell City Wolverines U-8s | 400.00 | Contribution towards new kit and equipment for the team |
| Bulcote Parish Council | 350.00 | Contribution towards a bench for the village green |
| 4getting 2gether | 300.00 | Contribution towards Christmas lunches for the elderly |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Southwell Brincliffe Hockey Club | 400.00 | To purchase junior hockey goal keeping kit for the club |
| Southwell Cathedral Chapter | 400.00 | To make a contribution towards the cost of two young people in their role as Choristers of Southwell Minister |
| Gunthorpe Parish Council | 300.00 | To help towards play equipment repairs |
| Hoveringham Parish Council | 300.00 | Contribution towards a new notice board |
| Lowdham Pantomime Group | 224.15 | Contribution towards production costs, ie props costumes etc |
| Cllr Eric Kerry | | |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Chilwell Memorial Institute | 1,000.00 | Contribution towards installing a defibrillator, new disabled access to the building and a disabled toilet |
| Beeston Community Resource CIO | 1,000.00 | Contribution towards projects within the MindSet programme |
| 1st Chilwell & Attenborough Scout Group | 1,000.00 | To contribute towards camping equipment for Duke of Edinburgh awards |
| Eskdale Junior School | 950.00 | Contribution towards pegs and mats for the bike and scooter racks |
| Attenborough Station Volunteers | 250.00 | To contribute towards seasonal plants at Attenborough railway station |
| Midlands Women's Aid | 728.32 | Contribution towards new play equipment and toys at a women's refuge |
| Cllr Bruce Laughton | | |
| North Muskham Parish Council | 166.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| South Muskham & Little Carlton Parish Council | 166.00 | To contribute to the villages Queen's Platinum Jubilee Celebrations |

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| Village Hall in Maplebeck Community | 200.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Sutton-on-Trent Parish Council | 166.00 | To contribute to the villages Queen's Platinum Jubilee Celebrations |
| Bathley Parish Council | 500.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Amicitia | 500.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Bilsthorpe Emergency Team | 500.00 | To contribute towards care packages to distribute within the Bilsthorpe community |
| 1st Farnsfield Scout Group | 400.00 | Contribution towards sending the troupe to the World Jamboree in South Korea |
| Farnsfield Twinning Association | 250.00 | To contribute towards hosting 50 French Twinners |
| Farnsfield Over 60s Friendship Club | 250.00 | To contribute towards a harvest luncheon for the Friendship Club over the summer holidays |
| Bilsthorpe Heritage Museum | 100.00 | Contribution towards outdoor seating by the tree planted to commemorate the Queen's platinum Jubilee |
| Edingley Parish Council | 300.00 | Funding to prepare the ground and reseed the field for a community space for events including the Coronation of King Charles III |
| Norwell Parish Council | 250.00 | Contribution towards Christmas & Mulled Wine evening for parishioners |
| Farnsfield Parish Council | 500.00 | To contribute towards the road closures for the Remembrance Day Parade, November 2022 |
| Norwell Parish Council | 200.00 | Contribute towards the upkeep of the community allotments |
| Bilsthorpe Parish Council | 300.00 | Towards the installation costs of the benches in Crompton Road Park |
| Farnsfield Methodist Church | 252.00 | Contribution towards renovations |
| Cllr Johno Lee | | |
| Newark Town Council | 200.00 | Contribution towards the Armed Forces Day event in Newark Market place |
| Balderton Art Group | 300.00 | Contribution towards art supplies for the group |
| Chuter Ede Primary School | 540.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| John Hunt Academy | 180.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Balderton Cricket Club | 500.00 | Contribution towards cricket equipment for the Balderton Cricket Club Junior Section |
| Royal Air Forces Association Balderton | 300.00 | Contribution towards a trip to East Kirkby Aviation Centre for veterans from RAFA Balderton |

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| Via East Midlands Ltd | 1,000.00 | Contribution towards the supply and installation of 3 highway signs in the parish of Fernwood |
| Nottinghamshire County Council Catering | 222.00 | Christ Church CofE Primary School lunch for School Council visit 8 July 2022 |
| Newark District Scout Council | 900.00 | To support young people to partake in District Scout level events to help them grow and expand opportunities |
| Newark Town Football Club | 158.00 | Funding to help the club encourage new players and towards training equipment |
| Christ Church C of E Infant School | 500.00 | Contribution towards the signage for a zebra crossing at the entrance to the parent's car park |
| Fernwood Foxes Football Club | 200.00 | Purchase new football equipment for 10 Cal Club |
| Cllr David Martin | | |
| Holly Hill Primary School | 400.00 | To support Queen's Platinum Jubilee event at the school |
| Ashfield District Council | 1,000.00 | To purchase a bench to commemorate key workers during COVID-19 in Selston Country Park |
| Bagthorpe Athletic Club | 500.00 | Support funding for team tournament trip abroad. |
| Tai Chi for Health | 500.00 | Contribution towards room hire for the Tai Chi classes |
| Tin Hat Centre | 100.00 | To support the Tin Hat Knitting Group to purchase wool for knitting items that combat dementia and new-born baby hats |
| Selston Parish Council | 115.00 | To provide room hire for the 2022 Bowls Club Gala |
| Nottinghamshire County Council Catering | 113.00 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Selston Parish Council | 399.00 | Contribution towards room hire so the youth group has somewhere to meet safely |
| Bagthorpe Primary School | 195.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Jacksdale Primary & Nursery School | 260.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Holly Hill Primary School | 299.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Underwood C of E Primary School | 344.50 | To support The Barney & Echo Caught in the Web Project aimed at children aged between 9 and 11 years old |
| Via East Midlands Ltd | 37.50 | Salt bags for the division of Selston |
| YS West Locality Team | 500.00 | To provide outdoor activities as chosen by the Youth Council for the Selston Base 16 Youth Club |
| I'm Phab | 237.00 | Support towards the group's activities, outings, food and drink |

| Cllr John Maggie McGrath | | |
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| Beeston and Toton Sycamore Cricket Club | 400.00 | Upkeep of cricket pitch, equipment |
| Bramcote and Stapleford Golden Jubilee Group | 400.00 | Contribute towards Hemlock Happening community event |
| C K | 400.00 | To help create a garden to help with the needs of adults with learning disabilities at Merengo House Stapleford |
| The Helpful Bureau | 500.00 | To help with providing transport within the Broxtowe south area towards day trips such as hospital trips |
| Yarn Bomb Stapleford | 250.00 | Contribution towards materials so the club can carry on their work within the community |
| The Haven (Stapleford) | 300.00 | Contribution towards blackout screens for the Community Cinema |
| Stapleford Town Football Club | 400.00 | Contribution to supply the newly formed adults' team (Sundays) with playing attire. |
| Awsworth Villa Football Club | 400.00 | Contribution towards purchasing a mower to maintain the football pitch |
| Trowell C of E Primary School | 400.00 | Purchase blackout curtains and furnishings, soft mats for the floor, and sensory lights for the sensory room |
| Stapleford & Surrounding Areas Mutual Aid Group | 350.00 | To purchase supermarket gift vouchers to help vulnerable residents through the Christmas period (replaces the previous Christmas Hamper scheme) |
| Awsworth Village Cricket Club | 300.00 | To subsidise 'All Star' / 'Dynamos' cricket training for pupils attending Awsworth Primary School |
| The Haven (Stapleford) | 300.00 | Contribution towards heating the building and providing food for the Food Bank |
| Stapleford Getaway Club | 300.00 | Purchase of arts and craft materials for weekly craft activities |
| 1st Trowell Guides | 300.00 | Contribution towards rental costs, annual levy and towards taking the guides on a residential trip |
| Cllr Andy Meakin | | |
| Morvern Park Primary School PTA | 310.00 | To purchase equipment for the after-school clubs that are free of charge to the pupils, topics vary, homework club, phonics, boosters, singing, cookery, jewellery, drawing, crafts, dodgeball, rowing, running, athletics etc. |
| Our Centre | 1,000.00 | To support "Cosy Mosiers" to subsidized travel and purchase walking equipment eg. high vis jackets etc |
| K S | 430.00 | Funding to install a door access control and intercom for the Empire Music School to improve safety measures for users |

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| Ashfield Harmony | 500.00 | To purchase new music sheets to perform at the 60th Anniversary concert in June |
| Abbey Hill Primary & Nursery School | 300.00 | Travel cost for a school trip to Nottingham |
| Via East Midlands Ltd | 155.00 | Grit bin Acorn View, Kirkby in Ashfield |
| Our Centre | 250.00 | Towards the purchase of exercise equipment for use by the groups that attend the centre |
| Via East Midlands Ltd | 155.00 | Grit bin Kingsway Park, Kirkby in Ashfield |
| Morven Park Primary School PTA | 500.00 | Contribution towards activities and materials for the after-school clubs |
| Cruse Bereavement Care (Notts) Branch | 250.00 | To support people within my Division through bereavement |
| Abbey Hill Primary & Nursery School | 376.00 | Contribution towards the coach and fish & chips for the year 6 trip to the seaside |
| Royal British Legion Kirkby | 374.00 | Contribution towards delivering events |
| Military Community Network Group CIC | 400.00 | To support the group to deliver events and activities |
| Cllr Nigel Moxon | | |
| Holly Primary School | 577.00 | Towards a 11-week programme for drugs education for pupils at Holly primary school. |
| Frenbot Football | 250.00 | Contribution towards costs to put on charity football match |
| Spectrum Wasp | 250.00 | Panto tickets for registered families to enjoy time together at Christmas |
| Jigsaw Support Scheme | 250.00 | To support the food bank service for people that come to their mental health support group with the cost-of-living crisis |
| Brierley Park Bowls Club | 300.00 | To purchase Club shirts |
| Woodhouse Colts | 200.00 | To purchase equipment for the club |
| Unity Dance Fundraising | 250.00 | This funding will help towards transport to get to and from dance competitions |
| St Albans PCC Forest Town | 398.00 | To provide materials to run "Messy Church", which is an after-school club for youngsters |
| Family Action | 1,000.00 | To provide recipe bags and protein products to enhance the food provision for people on Oaktree & Bellamy Estates Mansfield |
| Kingsway Hall Management Trust CIO | 250.00 | Contribution towards a replacement boiler |
| Friends of Bellamy | 375.00 | Family fun day for the children of Bellamy Estate. To cover the cost of Bouncy Slide, Obstacle Course, Space Hopper Racing, Ice Cream van to provide free to children, and the hire of a portable toilet |

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| AFC Mansfield Ltd | 250.00 | To purchase 2 floodlights at Forest Town arena |
| Notts County Bowling Association Ladies | 150.00 | To help fund the cost of coaches to enable the team to attend competitions |
| Wynndale Primary School | 250.00 | To paint new and improved lines in the school playground to allow more diverse sporting options for the pupils |
| St Patricks Catholic Voluntary Academy | 250.00 | Contribution towards the purchase of sensory equipment for extracurricular activities |
| Cllr John Ogle | | |
| Tuxford Town Council | 300.00 | To purchase a beacon for the Queen's Platinum Jubilee Celebrations |
| Bothamsall Parish Council | 400.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| North Leverton with Habbleshthorpe Parish Council | 500.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Fledgelings Primary School | 200.00 | Contribution to purchase and the development of an area for an outdoor kitchen to enhance the preschool's learning environment |
| Normanton on Trent with Marnham Parish Council | 200.00 | For the purpose of a tree planting project within the parish of Normanton on Trent, Marnham and Skegby |
| Laneham Play Park | 1,000.00 | To purchase equipment for the play park |
| Rotary Club of Retford | 300.00 | To supplement safety (road closures, radio hire and first aid etc.) for the Rotary Family Santa Run |
| North & South Wheatley Parish Council | 300.00 | Contribution towards the restoration of an historic street sign |
| Laneham Memorial Hall | 300.00 | Towards the Platinum Jubilee Fair on 4th June, pay for music, food & drink, decorations, and entertainment |
| Rampton Primary School | 500.00 | To contribute towards funds to visit the Magna Carta and workshop |
| Headon Cum Upton, Grove & Stokeham Parish Council | 500.00 | Contribution towards the restoration of the war memorial |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Tuxford Community Events | 400.00 | Contribution towards the Tuxford Christmas Market event, to pay for Christmas presents for children |
| Gamston West Drayton & Eaton Parish Council | 64.00 | Contribution towards the cost of a defibrillator in West Drayton |
| Cllr Kane Oliver (Elected 04 November 2022) | | |
| Age Concern Eastwood | 1,000.00 | Contribution towards the cost of a new oven |

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| NOWMADS | 1,000.00 | Contribution towards hiring costumes, hire extended stage, a pipe and drape system to shield dressing room areas from the main auditorium and new spotlights or have the existing ones refurbished |
| Eastwood Memory Cafe | 1,000.00 | Contribution towards transport costs for volunteers to help users |
| Springbank Primary Academy | 550.00 | Contribution towards supplementary maths equipment to support the most vulnerable children in the school |
| 3rd Eastwood St Mary's Scout Group | 950.00 | Contribution towards costs involved in sending troop to the County camp |
| Eastwood Parkinson's Exercise Group | 500.00 | Contribution towards room hire for the exercise group |
| Cllr Philip Owen | | |
| M B | 250.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| Nuthall & District WI | 250.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| 2nd Kimberley Scout Group | 250.00 | To contribute towards the facilitating of attendance to the world scout jamboree in South Korea 2023 |
| 2nd Kimberley Scout Group | 250.00 | Towards a young person to attend the Jamboree in South Korea in August 2023 |
| Nuthall Bowls Club | 250.00 | To contribute towards groundwork at the bowls club and around the pavilion |
| 2nd Kimberley Brownies | 250.00 | To support the Brownies Group to continue their services post Covid |
| Nottinghamshire County Council Catering | 162.00 | Horsendale Primary School lunch for School Council visit 11 July 2022 |
| Nottinghamshire County Council Catering | 120.00 | Kimberley Primary School lunch for School Council visit 24 June 2022 |
| Nottinghamshire County Council Catering | 120.00 | Mornington Primary Academy lunch for School Council visit 22 July 2022 |
| Noahs Ark Playgroup | 500.00 | Outside equipment and fencing for the playgroup |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Nuthall Parish Council | 100.00 | Support of a 'Warm Hub' to provide tea and coffee and snacks for vulnerable people in the area |
| Nuthall Parish Council | 400.00 | To support a Christmas Lunch outing for members of the Nuthall Temple Lunch Club |
| Kimberley Shotokan Karate Club | 400.00 | Equipment and room hire to support the ability of young people to access karate |
| Nuthall & District Women's Institute | 250.00 | To assist with costs associated with hiring speakers and contribution towards room hire |

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| Kettlebrook Lodge | 150.00 | Contribution to purchase a new canopy for the scout hut |
| 1st Nuthall Scout Group | 300.00 | Purchase of a new shed for storage of materials |
| Horsendale Toddler Group | 250.00 | To support purchase of materials equipment and room rent |
| Nottinghamshire County Council Catering | 72.00 | Larkfields Primary School lunch for School Council visit 20 January 2023 |
| 32nd Nottingham Boys Brigade | 600.00 | Assist in the purchase of IT equipment - a printer, a laminator, a better speaker and tablets for the Junior group |
| Cllr Michael Payne | | |
| Arnold Town Football Club | 250.00 | To purchase kit for the 2 new football teams |
| Warren Action Group | 750.00 | Contribution towards a community fireworks event |
| Bonington Players | 500.00 | To purchase new props and a stage carpet for future productions |
| Nottinghamshire County Ladies Bowling Association | 250.00 | To help fund the cost of coaches to enable the team to attend competitions |
| St. Timothy's Friendship Group | 150.00 | Towards provision of resources for group activities, room hire and refreshments |
| 1st Daybrook Brownies | 150.00 | To support the continued running of the Brownies and Rainbows groups |
| Sky Club | 150.00 | Funding the coach costs towards a summer trip for the children |
| Leapool Allotment Association | 250.00 | This funding will help improve security at the allotment |
| 1st Redhill Scout Group | 250.00 | Funding to assist with improvements to the Scout Hut |
| Arnold in Bloom | 250.00 | To support schools and youth organisations to partake in the Arnold in Bloom project |
| Southwell Cathedral Chapter | 400.00 | To make a contribution towards the cost of two young people in their role as Choristers of Southwell Minister |
| Gedling Play Forum | 450.00 | Contribution towards replacing the energy source |
| Richard Bonnington Primary Academy (Equals Trust) | 150.00 | Contribution towards a coach to take pupils to a singing concert |
| Arnold Local History Group | 150.00 | To support ongoing exhibitions and events to celebrate the history and heritage of Arnold and surrounding communities |
| Emmanuel Church | 200.00 | Funding to support St Alban's Community Kitchen provision of meals for the community |
| Nottingham Arimathea Trust | 500.00 | To provide food parcels, personal hygiene items and new bedding etc. |
| Arnold Art Society | 200.00 | Contribution towards upcoming exhibitions |

| Cllr Sheila Place | | |
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| Langold Juniors Football Club | 400.00 | Contribution towards new kit for the team |
| S B | 250.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| North Locality Youth Work Team | 400.00 | To allow the youth workers to fund extra activities for the youngsters |
| Harworth and Bircotes Town Council | 1,000.00 | To purchase a larger capacity steam oven |
| 1st Carlton-in-Lindrick Scout Group | 250.00 | To support a young person to attend the World Scout Jamboree in South Korea |
| NCC Catering | 202.50 | Lunch for Harworth & Bircotes TRA when they visit County Hall on 23 November 2022 |
| Harworth Church of England Academy | 300.00 | Contribution towards Christmas decorations / gifts for young people in the school |
| Primary School of St Mary & St Martin | 200.00 | Contribution towards Christmas decorations / gifts for young people in the school |
| Serlby Park Academy | 900.00 | Contribution towards Christmas decorations / gifts for young people in the school |
| Clarkes Craft Butchers | 120.00 | To provide lunch of pie, peas and gravy for 30 elderly people at Blyth Memorial Hall |
| Langold Dyscarr Community Academy | 300.00 | Contribution towards Christmas decorations / gifts for young people in the school |
| L K | 250.00 | Contribution towards putting on a Brass Band concert and Tea for the elderly on 19th November 2022 |
| St Patricks Catholic Primary | 300.00 | Towards Christmas festivities for the young people |
| Styrrup Community Events Group | 127.50 | Christmas tree decorations |
| Cllr Mike Pringle | | |
| Ollerton Jubilee Village Committee | 200.00 | Support for funding a defibrillator |
| Ollerton Rugby Club | 500.00 | Contribution towards transport costs to enable the club to complete the 'Epic Charity Challenge' for MND |
| S & N E | 280.00 | Funding for a new sleigh to help Santa promote his image and raise funds for charity, when seeing Children at Christmas |
| Kirton Brickworks Football Club | 250.00 | Contribution towards match day and training equipment |

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| Newark & Sherwood District Council | 500.00 | To part fund a Graffiti Wall on the Retford Road estate |
| Egmanton Village Hall | 1,000.00 | Contribution towards replacement windows for the Hall |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Lifespring Church and Centre | 1,000.00 | Contribution towards food for the Christmas Hamper appeals for the most vulnerable |
| Walesby Parish Council | 219.00 | Contribution towards King's Coronation Day event |
| J M T | 180.00 | To purchase a new bingo machine for the bingo group at De Lacy Court |
| Dukeries Woodturning Society | 250.00 | To support ongoing training for new joiners to our local wood turning group |
| BOWE Community Radio CIC | 549.00 | Contribution towards an upgraded mixer power supply |
| Cllr Francis Purdue-Horan | | |
| Whatton & Aslockton Playing Field Trust | 189.00 | To purchase a replacement under-counter fridge in the playing field clubhouse |
| 1st Barnstone Brownie Pack | 300.00 | To assist towards a local camp trip for 1st Barnstone Brownies. |
| Orston Millennium Green Trust | 100.00 | Hire of a generator to provide electricity at a local family camping and entertainment weekend 25th/26th June 2022 |
| Orston Produce Show | 100.00 | To assist towards single one-off costs of the Produce Show (Harvest Festival type event) including the hire of the village hall |
| Bingham Heritage Trails Association | 250.00 | Purchase and installation of blue plaques on two houses formerly the homes of James Prior Kirk, a leading author to commemorate the 100th anniversary of his death |
| East Bridgford Horticultural Society | 500.00 | Towards the costs of entertainment in the main show arena at the East Bridgford Village Show (June 2022) |
| Bingham Community Events Group | 500.00 | To hire a marquee for various community event 16/17/18 September in Bingham Market place |
| Via East Midlands Ltd | 37.50 | Salt bags for the division of Bingham East |
| Bingham Bowling Club | 150.00 | Contribution towards an outside installation of a defibrillator |
| Bingham Town Football Club | 425.00 | Hire of a minibus to ensure the safe transport of young German players visiting Bingham as part of the twinning activities for the area |
| East Bridgford Cricket Club | 1,000.00 | Funding assistance towards the astroturf cricket pitch project |
| Aslockton & Orston Football Club | 700.00 | Contribution towards the purchase of a jacket potato oven |

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| Guide Association Nottinghamshire | 200.00 | Contribution towards a young person to attend the Jamboree in Summer 2023 |
| 1st Shelford and East Bridgford Scout | 548.50 | Contribution towards electrical and lighting improvements in the new scout hut |
| Cllr Mike Quigley MBE | | |
| Retford & District Lifesaving Club | 500.00 | To contribute towards Queen's Jubilee medals to be presented to participants who are being awarded the RLSS Intermediate Lifesaving Certificate |
| Carr Hill Primary School | 360.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Thrumpton Primary School | 180.00 | To contribute to the 'Barney & Echo Caught in the Web' project, to highlight inappropriate internet use and deal with cyber bullying |
| Barnby Moor Parish Council | 1,000.00 | Contribution towards disabled access for Barnby Moor Village Hall |
| St Joseph's Catholic Primary School | 399.00 | To support the delivery of the Literacy Volunteer programme |
| Cruse Bereavement Care (Notts) Branch | 745.00 | To support people within my Division through bereavement |
| Heart-Beats DN22 | 1,000.00 | Contribution towards a Defibrillator in Hallcroft |
| Ordsall Bridon Cricket Club | 400.00 | Contribution towards the youth training facilities and first aid equipment |
| Ordsall Parish Hall | 416.00 | Towards a new sound system in St Michael's Church |
| Cllr Mrs Sue Saddington | | |
| Children's Bereavement Centre | 200.00 | Contribution towards the Daisy Remembrance event at Newark Castle grounds on Saturday 25th June and Sunday 26th June |
| Robin Hood Theatre Co Ltd | 200.00 | Repair to the summer roof |
| Newark in Bloom | 250.00 | To contribute towards a tea party for the volunteers that promoted the Royal Newark in Bloom Competition |
| Newark Town Council | 200.00 | Contribution towards the Armed Forces Day event in Newark Market place |
| Support Refugees East Midlands | 300.00 | Contribution towards a bus trip to Skegness for refugees relocated to Nottinghamshire |
| Children's Bereavement Centre | 300.00 | To help towards the cost of hiring a climbing frame for the dragon boat race fund raiser |
| Friends of Rolleston | 150.00 | Contribution towards the Village Christmas event 2022 where all residents will be invited, to pay for decorating the hall and food |

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| Friends of Rolleston | 150.00 | Contribution towards the Community Christmas Event for the children of Rolleston |
| Syerston Parish Meeting | 200.00 | Contribution towards King Charles III coronation event |
| Farndon Parish Council | 200.00 | Contribution towards King Charles III coronation event |
| Caunton Parish Council | 200.00 | Contribution towards King Charles III coronation event |
| Norwell Parish Council | 200.00 | Contribution towards King Charles III coronation event |
| Fiskerton Cum Morton Parish Council | 200.00 | To purchase a Christmas Tree |
| The Events Committee | 200.00 | Contribution towards King Charles III Coronation event |
| Fiskerton Cum Morton Parish Council | 200.00 | Support 'Friends of the Green' to hold a Coronation event |
| South Muskham & Little Carlton Parish Council | 200.00 | Contribution towards King Charles III Coronation event |
| Bleasby Parish Council | 200.00 | Contribution towards King Charles III Coronation event |
| Hawton Parish Council | 200.00 | Contribution towards Coronation event at All Saints Church, Hawton |
| ASK Parish Plan Clubs and Societies | 200.00 | Contribution towards Coronation party on May 6th to pay for bouncy castle, music and raffle prizes |
| East Stoke with Thorpe Parish Council | 200.00 | Contribution towards village party for the coronation, purchase of decorations and tableware |
| Newark in Bloom | 200.00 | Support the activities during the Newark in Bloom 2023 |
| Elston Parochial Church Council | 200.00 | Contribution towards Coronation event at Elston Church |
| North Muskham Parish Council | 100.00 | Contribution towards Coronation event at village hall and village playing field |
| Rolleston Parish Council | 350.00 | Contribution towards Coronation event £200.00 and Seniors Christmas party 2022 £150.00 |
| Cllr Dave Shaw | | |
| Hucknall Reach Out Residents Group | 250.00 | To contribute to tree planting across Hucknall |
| Hucknall Tourism and Regeneration Group | 500.00 | Towards the cost of Hucknall Remembrance Parade and Service |
| 1803 Hucknall Squadron | 250.00 | To make a contribution to the cost of buying new equipment and subsidising the new fieldcraft activity for the cadets. |
| Youth U9 Sat White Football Club | 100.00 | Contribution towards the cost of buying new equipment and kit for the team |
| Y S West Locality Team | 1,000.00 | Purchase food for distribution to vulnerable people in my Division |

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| Heydays Activity Hub CIC | 1,000.00 | To support services to the elderly and people living with dementia, providing refreshments and ingredients for cooking projects |
| St John's Ambulance | 720.00 | Training for St John's Ambulance Youth Programme (cadets) |
| Buddleia House Care Home | 1,000.00 | To purchase Snow Machine, Christmas lights, light supports, a Bingo Machine and a PA/Karaoke for Buddleia events for residents and family members |
| Hucknall Flower Arranging Group | 180.00 | Contribution towards running costs |
| Cllr Helen-Ann Smith | | |
| Ashfield District Council | 1,000.00 | To contribute to the first 'Ashfield Day' community event on 27 August 2022 |
| Sutton Town Centre Group | 500.00 | Contribution towards costs for the Remembrance Sunday Event in Sutton-in-Ashfield |
| Teversal Stanton Hill & Skegby Neighbourhood Forum | 500.00 | To cover the public liability insurance premium and ongoing costs in operating the Food Share Scheme |
| Teversal Heritage Group | 500.00 | Contribution towards transferring the Heritage Group's archives online |
| Teversal Visitors Centre Association | 500.00 | Contribution towards cycling equipment for pilot project during February half term |
| Ashfield District Council | 500.00 | To purchase 100 lamppost poppies to be passed on to the Teversal, Stanton Hill and Skegby Neighbourhood Forum |
| Via East Midlands Ltd | 155.00 | Replace stolen grit bin for West Hill Skegby |
| Skegby Parish Church | 500.00 | Contribution towards an Eco-friendly green energy dry heating system |
| Skegby Parish Church | 845.00 | Contribution towards messy church children's project and church building improvements |
| Cllr Sam Smith | | |
| B S J | 220.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| 1st Balderton Scout Group | 1,000.00 | Purchase of archery equipment |
| A B | 100.00 | purchase of equipment for the Queen's jubilee on Browning Road |
| Newark Firecrackers Cheer | 1,000.00 | Funding towards rent costs for training at Barnby Academy and new equipment for the cheerleading squad |
| Support Refugees East Midlands | 300.00 | Contribution towards a bus trip to Skegness for refugees relocated to Nottinghamshire |
| Children's Bereavement Centre | 300.00 | To help towards the cost of hiring a climbing frame for the dragon boat race fund raiser |
| Via East Midlands Ltd | 200.00 | Plant 2 trees in the Balderton area |

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| Newark Amateur Operatic Society | 700.00 | Funding towards the production costs of the Wizard of Oz show |
| Newark Friendship Group | 480.00 | Funding towards the purchasing of new board games, kitchen equipment and transport for group outings |
| Balderton Parish Council | 700.00 | Purchase and installation of a bench near the Brisbane Court shopping area / village centre |
| Cllr Tom Smith | | |
| Blidworth Methodist Church Council | 500.00 | Payment going towards loft insulation to make the church building more environmentally friendly and efficient |
| Blidworth Parish Council | 200.00 | To fund Community archery boards |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Age UK Nottingham & Nottinghamshire | 600.00 | To pay for additional exterior public signage for Men in Sheds Blidworth to increase awareness of the group |
| Sam's Place CIC | 1,000.00 | Contribution towards running a regular Special Needs games night |
| Blidworth on the Move | 750.00 | Contribution towards running costs |
| Social Action Hub | 500.00 | Contribution towards the emergency food parcels |
| Spectrum Wasp | 500.00 | Running costs |
| Forest Folk Allotment Society | 164.15 | To install bat boxes at the allotment |
| St Simon and St Jude's Church | 750.00 | Contribution towards a new sound system |
| Cllr Tracey Taylor | | |
| Hayton Village Hall Trust | 300.00 | Contribution towards jubilee celebration |
| Mattersey Parish Council | 400.00 | To contribute to the Queens Platinum Jubilee Celebrations |
| Walkeringham Village Hall & Playing Field | 450.00 | Contribution towards community Christmas lunch and musical entertainment for Walkeringham residents (over 60s) |
| Sutton Art Group | 150.00 | Contribution towards purchase of art display boards for exhibiting work |
| Torworth Playing Field Committee | 400.00 | Contribution towards purchase costs of PA system for use at village events (e.g. fayres, sports days, etc) |
| Scrooby Show | 350.00 | Contribution towards costs of holding the annual village show and community lunch |
| Misterton Senior Citizens Fund | 250.00 | Contribution towards costs of a community (post) Christmas lunch for older people in Misterton |
| Sutton Cum Lound Neighbourhood Watch | 200.00 | To support a Community Speed-watch scheme under guidance from Nottinghamshire Police |

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| Misterton Bowls Club | 450.00 | Contribution towards the costs of a new rotary mower |
| Misson Parish Council | 350.00 | Contribution towards the costs of producing a village newsletter to all residents |
| 2nd Ranskill Scout Group | 400.00 | Contribution towards the costs of taster sailing lessons at Daneshill Sailing Club |
| Mattersey Thorpe Tenants and Residents Association | 500.00 | Contribution towards costs of replace outdoor seating |
| Misterton Parish Council | 200.00 | Contribution towards the costs of a Family Fun Day to celebrate the King's Coronation |
| Gringley on the Hill PCC | 300.00 | Contribution towards the costs of refurbishment of the handrail adjacent the steep entrance path |
| Retford Ladies Probus Club | 100.00 | Contribution towards costs of room hire and guest speakers |
| Lound Parish Council | 200.00 | Contribution towards the cost of fence posts and other materials to renovate the village community garden |
| Cllr Nigel Turner | | |
| Villages of Welbeck Association | 300.00 | Contribution towards a community car park to stop excessive parking on the street |
| Knit & Natter Community Group | 300.00 | Contribution towards fund the renewal and to refresh of a display of knitted poppies for Remembrance Day plus increase the size of the display |
| Notts County Scout Council | 975.00 | Contribution to a young person to attend the World Jamboree in South Korea in August 2023 |
| Worksop's Got Talent | 500.00 | To support the setting up and running of the event |
| 14th Worksop (St Anne's) Scout Group | 500.00 | Contribution towards updating the building, i.e., rewire of the electrics and replace lighting |
| Norton & Cuckney Parish Council | 425.00 | Contribution towards the production and delivery of their monthly newsletter |
| Hettys | 1,000.00 | Contribution towards 'Kinship Care' project |
| Bassetlaw Triathlon Club | 1,000.00 | Contribution towards the operational cost of the triathlon event |
| Cllr Roger Upton | | |
| Upper Saxondale Residents' Association | 620.00 | To purchase an outdoor bench for use by residents and visitors to the Upper Saxondale Woodland Walk |
| Holme Pierrepont & Gamston Parish Council | 1,000.00 | Contribution towards an outdoor, metal, community friendship bench, to be sited on a public footpath on the banks of the Grantham Canal |
| Holme Pierrepont & Gamston Parish Council | 1,000.00 | Contribution towards the purchase of new Inclusive Play Equipment for the very popular Ambleside Play Park |

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| Radcliffe on Trent Carnival Working Group | 350.00 | Contribution to the 2022 Radcliffe on Trent Village Carnival, towards the cost of replacement and/or maintenance of essential equipment such as gazebos, traffic signage, traffic cones, safety tabards, etc |
| Shelford Parish Council | 1,000.00 | Purchase of two goal posts and two picnic tables for the Shelford Village Playing Field; |
| Radcliffe on Trent Male Voice Choir | 500.00 | For the purchase of new sheet music for the Radcliffe on Trent Male Voice Choir |
| Radcliffe on Trent Parish Council | 408.00 | Contribution towards finger post sign for directions to Bingham Road shops |
| Radcliffe on Trent Parish Council | 122.00 | Contribution towards a new picnic table at the Skate Park on the Radcliffe on Trent Parish Council's Recreation Ground |
| Cllr Lee Waters | | |
| Muted (The Men's Mental Health Charity) | 500.00 | To help pay costs for a firewalk event in Hucknall, to raise awareness of mental illness, promote community support and build confidence |
| Hucknall Amateur Boys Boxing Club | 250.00 | One-off contribution towards helping the club stay open for young people and adults, as the pandemic has hampered fundraising activities |
| Hucknall Reach Out Residents Group | 250.00 | To contribute to tree planting across Hucknall |
| Handmade Theatre | 500.00 | To fund local arts activities in Hucknall, to support to those with dementia and domestic abuse survivors. |
| 3rd Hucknall Scouts | 300.00 | To make a contribution to the cost of buying new equipment, subsidising camps and narrow boat weekends. |
| Hucknall Tourism and Regeneration Group | 500.00 | Towards the cost of Hucknall Remembrance Parade and Service |
| 1803 Hucknall Squadron | 250.00 | To make a contribution to the cost of buying new equipment and subsidising the new fieldcraft activity for the cadets. |
| Under 14s 'Reds' Sunday Team | 150.00 | Contribution towards the cost of buying new equipment and kit for the football team |
| Hucknall Town Netball Club | 500.00 | Contribution towards the cost of buying new equipment and kit |
| Music for Everyone | 300.00 | To make a contribution to launch a new community singing group at the John Godber Centre, Hucknall (room hire) |
| Boys' Brigade Nottingham Battalion Northern District | 200.00 | To contribute to a camping trip and provide educational activities for the Boys' Brigade local to Hucknall |
| Holy Cross Catholic Church | 500.00 | To support the Church lunch & soup kitchen, in order to provide nutritious meals to the most vulnerable |
| Youth U9 Sat White Football Club | 100.00 | Contribution towards the cost of buying new equipment and kit for the team |

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| Hucknall & District U3A | 500.00 | Contribution to purchase a laptop & equipment to be used by associated activity groups |
| Hucknall National Out of School Club | 200.00 | Contribution to help furnish the children's reading area and calm space |
| Cllr Michelle Welsh | | |
| Friends of Breck Hill Park | 500.00 | Contribution towards the community hedge planting at Breck Hill Park |
| SVP Good Shepherd (St Vincent De Paul) | 500.00 | To fund a Christmas celebration, including a three-course meal for vulnerable people living in Arnold South |
| Gedling Play Forum | 500.00 | To support the delivery of community events |
| Arnold Food Bank | 1,000.00 | Funding towards replenishing stocks of food and the purchase of hygiene and sanitary products |
| SVP Good Shepherd (St Vincent De Paul) | 500.00 | Funding to support the soup kitchen and warm space which they offer to all residents once a week |
| Friends of Valley Road Playing Ground | 500.00 | To support preparation work for re-designing of a community green space |
| We R Here | 500.00 | To provide therapeutic support activity events (room hire and activities) |
| Phoenix Farm Open Door Project | 500.00 | Contribution towards purchasing materials, games, refreshments, inviting guest speakers and running educational activities |
| Carlton and Gedling Childminders Group | 500.00 | Funding for the running of the group |
| Cllr Gordon Wheeler | | |
| Friends of Sharphill Wood | 200.00 | Contribution towards Public Liability Insurance |
| Harrow Road Street Party | 250.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| K T | 50.00 | To contribute towards the Queen's Platinum Jubilee Street party on Claremont Drive, West Bridgford |
| K D | 100.00 | To contribute towards the Queen's Platinum Jubilee Street party on Kingswood Road, West Bridgford |
| Repton Road Neighbourhood Watch | 100.00 | To contribute towards the Queen's Platinum Jubilee Street party on Repton Road |
| Rugby Road Community Centre | 100.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| S S | 150.00 | To contribute to the Queen's Platinum Jubilee Celebrations |
| St Giles Seniors | 200.00 | To contribute towards a future visit for seniors to Felly Priory and a trip to Loughborough on the canal with Peter Le Marchant Trust and to include coaches for the journeys |
| Heyman Primary School | 400.00 | Contribution towards cost involved for the School Council to visit the Houses of Parliament on June 20th 2022 |

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| A H | 140.00 | Contribution towards jubilee celebration |
| Nottinghamshire County Council Catering | 120.00 | Heyman Primary School lunch for School Council visit 22 April 2022 |
| Nottinghamshire County Council Catering | 102.00 | Jesse Gray Primary School lunch for School Council visit 26 May 2022 |
| 9th West Bridgford Holy Spirit Group Scout Council | 150.00 | To support a young person to attend the World Scout Jamboree in South Korea |
| St Paul's Church | 598.00 | To contribute towards a freezer for St Paul's Church |
| St Giles Church | 250.00 | Contribution towards a 'World Cup' themed holiday club (October 2022) |
| G W | 100.00 | To contribute towards Christmas activities within the community group |
| Nottinghamshire County Council Catering | 71.68 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Rugby Road Community Centre | 150.00 | Contribution towards Christmas Party celebrations for elderly residents in my Division |
| Via East Midlands Ltd | 25.00 | Salt bags for the division of West Bridgford West |
| Grief Café | 100.00 | To contribute towards craft materials for Christmas wreaths |
| Meet Greet & Eat | 189.00 | Contribution towards room hire to support adults with additional needs at our Social Supermarket |
| Literacy Volunteers | 399.00 | To support the delivery of the Literacy Volunteer Programme at Greythorn Primary |
| Nottingham Central Seventh Day Adventist Church | 150.00 | To contribute towards providing an afternoon tea party at Walcote Drive Community Centre, West Bridgford |
| Nottinghamshire County Council Catering | 120.00 | Haymann Primary School lunch for School Council visit 26 January 2023 |
| Heymann Primary School | 400.00 | To purchase containers as part of the Heymann in Bloom competition |
| St Paul's Church | 125.00 | Contribution towards a bench for the new community garden on the corner of Loughborough Road and Boundary Road |
| St Giles Seniors | 140.00 | Contribution towards the cost of a coach to the National Memorial Arboretum |
| ENOUGH CIC (Escaping Narcissistic Oppression, Unhappiness, Gaslighting & Harassment) | 120.32 | To contribute towards learning resources to enable group participation |
| Cllr Jonathan Wheeler | | |
| Selby Road Residents | 100.00 | To contribute towards the Queen's Platinum Jubilee Street party |

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| M P-E | 100.00 | To provide funding towards the Queen's Platinum Jubilee celebration on Tavistock Road, West Bridgford |
| Firs Road Residents | 100.00 | Towards cost for a street party for the Jubilee weekend |
| T H | 100.00 | To contribute to the Queen's Platinum Jubilee event in the community |
| Notts Gymnastics Academy | 1,000.00 | Towards new equipment for the athletes to use |
| L S | 100.00 | To provide funding towards a Jubilee celebration in the community |
| J S K | 100.00 | To provide funding towards a Jubilee celebration in the community |
| Hilton Grange Social Committee | 500.00 | To purchase equipment for chair-based exercises |
| Nottinghamshire County Council Catering | 35.85 | Contribution towards catering costs for the Queen's Award for Volunteers Event |
| Nottingham Arrows Cheerleading Team | 500.00 | To purchase equipment and support training for the Cheerleading Team |
| Nottinghamshire County Council Catering | 324.00 | Pierrepont & Gamston Primary School lunches for School Council visit 3 February 2023 |
| Edwalton Primary Academy | 500.00 | Funding to support the development of the children's garden areas. This is to purchase garden equipment including plants and pots etc. |
| West Bridgford Colts Football Club | 540.15 | To purchase a cabinet to house a defibrillator |
| Nottinghamshire County Council Catering & Transport | 357.00 | Edwalton Primary School coach and lunches for School Council visit 3 March 2023 |
| Church Of the Holy Rood | 643.00 | Contribution towards paths for disabled access being installed at Edwalton Church |
| Cllr Daniel Williamson | | |
| Our Centre | 1,000.00 | To support "Cosy Mosiers" to subsidise travel and purchase walking equipment eg. high vis jackets etc |
| K S | 500.00 | Funding to install a door access control and intercom for the Empire Music School to improve safety measures for users |
| Acacia Radio Association | 1,000.00 | Contribution towards artist performing at community fund raising events |
| St John's Outreach Project | 900.00 | Contribution towards purchasing new chairs |
| St Wilfrid's PCC Parochial Church Council | 1,000.00 | Contribution towards upgrading the kitchen facilities |
| Cllr Elizabeth Williamson | | |
| Via East Midlands Ltd | 900.00 | Purchase trees for the Watnall Green Screen project |

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| Watnall Farm Shop | 500.00 | Provision for a buffet at the Sir Lancelot Rolleston 175 Commemoration event |
| Age Concern Eastwood | 500.00 | Contribution towards the cost of a new oven |
| Greasley Beauvale Primary | 400.00 | Contribution towards the new garden project to enable gardening, ecology, and biodiversity lessons |
| 1st Greasley Scouts | 1,000.00 | Contribution towards the hire of a minibus and other costs related to a Scout Camp and to contribute to hot water facilities |
| ATFA Brinsley Football Club | 850.00 | Contribution towards the establishment of Brinsley girls football team |
| Brinsley Food Bank | 850.00 | Contribution towards a replacement UPVC door for the storage room |

| Cllr John Wilmott | | |
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| Hucknall Amateur Boys Boxing Club | 250.00 | One-off Contribution towards helping the club stay open for young people and adults, as the pandemic has hampered fundraising activities |
| Hope Lea Project | 200.00 | To purchase garden furniture for service users of a garden to grow-your-own |
| 3rd Hucknall Scouts | 200.00 | Contribution to the cost of buying new equipment, subsidising camps and narrow boat weekends. |
| Hucknall Tourism and Regeneration Group | 500.00 | Contribution towards the cost of Hucknall Remembrance Parade and Service |
| Hucknall Tourism and Regeneration Group | 200.00 | To purchase new uniforms for volunteers |
| Hope Lea Project | 150.00 | Contribution towards hiring a hall to provide free tea/coffee in Hucknall town centre to promote the work and services of Hope Lea Project |
| Hucknall Taking Steps | 200.00 | Contribution towards room hire for the group to meet and to purchase high vis bibs and other walking equipment |
| Hucknall National Primary School | 250.00 | To fund the DARE project at Hucknall National |
| The Boys' Brigade Nottingham Battalion Northern District | 200.00 | Funding for six young people to attend a boys' brigade leadership training course |
| Under 14s 'Reds' Sunday Team | 150.00 | Contribution towards the cost of buying new equipment and kit for the football team |
| Leen Mills Primary School | 250.00 | To enable to school to fund the DARE project |
| Watnall Road Baptist Church | 500.00 | Contribution to enable the Falls Prevention Classes to develop the group and achieve self-sufficiency |

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|---------------------------------------|----------|---|
| Youth U9 Sat White Football Club | 100.00 | Contribution towards the cost of buying new equipment and kit for the team |
| Hucknall Flower Arranging Group | 200.00 | Contribution towards running costs and materials |
| Salvation Army (Head Office) | 500.00 | Hucknall Salvation Army, Warm space, heating costs |
| Lovelace Theatre Group | 650.00 | To purchase new stage equipment |
| Watnall Road Baptist Church | 500.00 | Warm space heating costs |
| Cllr Jason Zadrozny | | |
| Ashfield District Council | 1,000.00 | To contribute to the first 'Ashfield Day' community event on 27 August 2022 |
| Our Centre | 500.00 | To support "Cosy Mosiers" to subsidise travel and purchase walking equipment eg. high vis jackets etc |
| Willetts Court Wednesday Bingo Club | 600.00 | Contribution towards tickets, flyers and refreshments for the Bingo Club |
| Leamington Primary & Nursery Academy | 600.00 | To pay for a coach to fund educational visits for pupils |
| J H | 1,000.00 | To purchase dance uniforms / costumes and sheet music to support a young person attending the Italia Conti drama school |
| Cruse Bereavement Care (Notts) Branch | 745.00 | To support people within my Division through bereavement |
| Sutton Town Centre Group | 555.00 | Contribution towards the purchase to resources to build 'Tommy' style installations |

14 June 2023**Agenda Item: 11****REPORT OF THE SERVICE DIRECTOR, CUSTOMERS, GOVERNANCE AND
EMPLOYEES****WORK PROGRAMME****Purpose of the Report**

1. To review the Committee's work programme.

Information

2. The County Council requires each committee to maintain a work programme. The work programme will assist the management of the Committee's agenda, the scheduling of the Committee's business and forward planning. The work programme will be updated and reviewed at each pre-agenda meeting and Committee meeting. Any member of the Committee is able to suggest items for possible inclusion.
3. The attached work programme includes items which can be anticipated at the present time.

Other Options Considered

4. The option to not present a work programme to the Committee was rejected as it would not allow forward planning or management of agenda items.

Reason/s for Recommendation/s

5. To assist the Committee in preparing and managing its work programme.

Statutory and Policy Implications

6. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATION

- 1) That Committee considers whether any changes are required to the work programme.

Marjorie Toward

Service Director, Customers, Governance and Employees

For any enquiries about this report please contact:

Kate Morris, Democratic Services Officer

Tel. 0115 804 4530

E-mail: kate.morris@nottscc.gov.uk

Constitutional Comments (EH)

7. The Committee has authority to consider the matters set out in this report by virtue of its terms of reference.

Financial Comments (NS)

8. There are no financial implications arising directly from this report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

GOVERNANCE & ETHICS COMMITTEE – WORK PROGRAMME (as at 3 May 2023)

| <u>Report Title</u> | <u>Brief Summary of agenda item</u> | <u>Lead Officer</u> | <u>Report Author</u> |
|--|---|---------------------|----------------------|
| 19 July 2023 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| External Audit Plan 2022-23 | To consider the External Audit Plan for the forthcoming audit | Nigel Stevenson | Glen Bicknell |
| Annual Fraud Report 2020-21 | To review the incidence of fraud over the year and an update on risks and mitigations | Nigel Stevenson | Simon Lacey |
| Internal Audit Term 3 progress 2022/23 and Term 2 plan 2023/24 | To consider proposed audit coverage for Term 2 | Nigel Stevenson | Simon Lacey |

Items for 2023/24

| <u>Report Title</u> | <u>Brief Summary of agenda item</u> | <u>Lead Officer</u> | <u>Report Author</u> |
|--|---|---------------------|---|
| 6 September 2023 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| EHC Annual Review update report | To update the committee on performance against national targets | Peter McConnichie | Lynda Poole |
| Review of Member Travel Expenses | To consider the use of travel expenses | Marj Toward | |
| 18 October 2023 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| 29 November 2023 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| Whistleblowing Policy Review | To consider the outcome of the review | Marjorie Toward | Heather Dickinson/ Catherine Haywood |
| Internal Audit Term 1 Progress and Term 3 Plan 2023/24 | To consider proposed audit coverage for Term 3 | Nigel Stevenson | Simon Lacey |
| Annual Audit Findings report 2022/23 | To consider the Annual Audit Findings | Nigel Stevenson | Glen Bicknell |

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|--|---|-----------------|----------------|
| 10 January 2024 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| 28 February 2024 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| Annual Audit Report 2022/23 | To inform Members of the External Auditors' Annual Audit Report | Nigel Stevenson | Glen Bicknell |
| Internal Audit Term 2 Progress 2023/24 and Term 1 Plan 2024/25 | To consider proposed audit coverage for Term 1 | Nigel Stevenson | Simon Lacey |
| 17 April 2024 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| Review of the improvements to communication | To review the impact of the measures introduced by Adult Social Care Financial Services following corporate review and in response to LGSCO decision findings | Sue Batty | Nicola Peace |
| 19 June 2024 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| 24 July 2024 | | | |
| Update on Local Government and Social Care Ombudsman Decisions | To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council | Marie Rowney | Richard Elston |
| 24 July Term 3 Progress 2023/24 and Term 2 Plan 2024/25 | To consider proposed audit coverage for Term 2 | Nigel Stevenson | Simon Lacey |