

AUDIT COMMITTEE - WORK PROGRAMME

<u>Report Title</u>	<u>Brief summary of agenda item</u>	<u>For Decision or Information</u>	<u>Lead Officer</u>	<u>Report Author</u>
8 June 2016				
Annual External Audit Fees	To inform Members of proposed external audit fees for 2016/17	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Mandatory Inquiries	To provide information on the External Auditors' requirement for the provision of information regarding the Council's approach to dealing with fraud, litigation, laws and regulations as part of their audit.	Decision	Nigel Stevenson	Nigel Stevenson
Internal Audit Report 2015/16	Report of the Head of Internal Audit providing an internal audit opinion on the Authority's level of internal control during 2015/16	Information	Rob Disney	Rob Disney
Financial Regulations Waivers 2015/16	Inform Members of any braches of / and waivers of the Council's Financial Regulations	Information	Clare Winter	Clare Winter
Draft Annual Governance Statement 2015/16	Review and comment on the draft Annual Governance Statement prior to being forwarded on to Full Council to accompany the Statement of Accounts	Decision	Rob Disney	Rob Disney
Annual Fraud Report 15/16	Inform Members of any detected fraud issues	Information	Rob Disney	Rob Disney
Briefing – Procurement Contracts and Update on the role of the Project Manager	Information given to Members to help them understand the Audit process and update them on how the Project Managers role is progressing	Information	Clare Winter	Clare Winter
7 September 2016				
External Audit Annual Governance Reports	To receive for information, and comment, the External Auditor's Annual Governance Reports on the County Council and Pension Fund, prior to these being forwarded to Full Council for approval	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Review of Financial Regulations	To review and update the Financial Regulations	Decision	Nigel Stevenson	Glen Bicknell
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	Rob Disney	Rob Disney

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7 December 2016				
Internal Audit Progress report for 2016/17	To provide details of internal audit work completed to the end of September 2016	Information	Rob Disney	Rob Disney
Review of Financial Regulations	To review and update the Financial Regulations	Decision	Nigel Stevenson	Glen Bicknell
External Audit – Annual Audit Letter 2015/16	KPMG summarises the findings from work carried out by the external auditors over the last financial year (2015/16)	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	Rob Disney	Rob Disney
8 March 2017				
Statement of Accounts 2016/17 – Accounting Policies	To outline proposed changes to the accounting policies used for the Authority's Statement of Accounts for 2015/16 for review and approval	Decision	Nigel Stevenson	Glen Bicknell
Internal Audit Plan for 2017/18	Report from the Head of Internal Audit providing details of the planned work for 2015/16	Information	Rob Disney	Rob Disney
External Audit Plan 2016/17	To provide information on the External Auditors' Audit Plan for their 2015/16 Audit.	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Certification of Grants and Returns 2015/16	To provide information on the External Auditors' Annual Report 2014/15 on the certification of Grants and Returns	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Transport Infrastructure Assets Project	To provide Information on the project to add Transport Infrastructure Assets to the account from 2016/15	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	Rob Disney	Rob Disney

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14 June 2017				
Annual External Audit Fees	To inform Members of proposed external audit fees for 2016/17	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Mandatory Inquiries	To provide information on the External Auditors' requirement for the provision of information regarding the Council's approach to dealing with fraud, litigation, laws and regulations as part of their audit.	Decision	Nigel Stevenson	Nigel Stevenson
Internal Audit Report 2016/17	Report of the Head of Internal Audit providing an internal audit opinion on the Authority's level of internal control during 2015/16	Information	John Bailey	John Bailey
Financial Regulations Waivers 2016/17	Inform Members of any braches of / and waivers of the Council's Financial Regulations	Information	Clare Winter	Clare Winter
Draft Annual Governance Statement 2016/17	Review and comment on the draft Annual Governance Statement prior to being forwarded on to Full Council to accompany the Statement of Accounts	Decision	John Bailey	John Bailey
Annual Fraud Report 16/17	Inform Members of any detected fraud issues	Information	John Bailey	John Bailey
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	John Bailey	John Bailey