

APPENDIX 1

Factor	2014-15 Guidance	2015-16 Changes to DfE Guidance	Schools Forum recommendation for application of the factor in 2015-16	
Pupil Led Factors				
1	<p>Basic per pupil entitlement - age weighted pupil unit (AWPU)</p> <p>(Compulsory factor)</p>	<p>Single unit value for primary – the value of the primary AWPU must be greater than £2,000</p> <p>Single unit value for each of KS3 and KS4 – the value of the KS3 and KS4 AWPU must be greater than £3,000</p>	None	<p>That the AWPU rates be proportionally adjusted in order to maintain the overall primary to secondary funding ratio of 1:1.265 for 2015-16</p>
2	<p>Deprivation</p> <p>(Compulsory factor)</p>	<p>Continues to be measured by free school meals (either single year or Ever6 indicators) and/or Income Deprivation Affecting Children Index (IDACI).</p> <p>Separate unit values for primary and secondary phase are still permitted.</p> <p>Local authorities and Schools Forums are requested to determine an appropriate proportion of schools block funding to allocate through this factor.</p>	None	<p>That the same percentage of total funding, deprivation indicators, and weightings should be used to allocate deprivation funding in 2015-16 as were used in 2014-15.</p>
3	<p>Prior attainment</p> <p>(Low Cost, High Incidence SEN)</p> <p>(An optional factor that</p>	<p>Primary pupils continue to be identified by Early Years Foundation Stage Profile (EYFSP). Pupils in Years 2 to</p>	None	<p>That the factor continues to be included, and that we retain the current proportion of funding and method for distributing that</p>

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Nottinghamshire chose to adopt for 2014-15)	<p>5 will be identified by a score of less than 78 or 73 points on the old EYFSP. Pupils in Year 1 will be identified as those not achieving a 'good' level of development.</p> <p>Secondary pupils continue to be identified by Key Stage 2 assessments, but will now be identified as achieving Level 3 or below in English OR Maths.</p> <p>Separate unit values for primary and secondary phase are still permitted.</p>		funding as in 2014-15
4 Looked after children (An optional factor that Nottinghamshire chose to adopt for 2014-15)	<p>A single unit value for both phases will remain.</p> <p>A single indicator will now be provided, covering all pupils who have been looked after for one day or more on 31 March 2013.</p>	None	That the factor continues to be included, and that a fixed unit value of £3,000 should continue to be used to allocate this funding in 2015-16
5 English as an additional language (EAL) (An optional factor that Nottinghamshire chose to adopt for 2014-15)	<p>Pupils will continue to attract funding for a maximum of three years after the pupil enters the statutory age school system.</p> <p>Separate unit values for</p>	None	That the factor is retained, and that the same percentage of total funding should be allocated through the EAL factor with a single unit value in 2015-16

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	primary and secondary phase are still permitted.			
6	<p>Pupil mobility</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2014-15)</p>	<p>Pupils starting school at non-standard start dates (i.e. not August, September or January for Year R) in the last three academic years. A 10% threshold will now apply to attract funding.</p> <p>Separate unit values for primary and secondary phase are still permitted.</p>	None	That the factor is retained and that the same percentage of total funding should be allocated through the Pupil Mobility factor in 2015-16, with a single unit value
Non Pupil Led Factors				
7	<p>Sparsity</p> <p>(An optional factor that Nottinghamshire chose not to adopt for 2014-15)</p>	<p>A fixed or variable amount to a maximum of £100,000 may be applied to small schools where the average distance (as the crow flies) to pupils' second nearest school is</p> <p>>2 miles primary >3 miles secondary</p> <p>To be classed as a small school, primary schools must have a maximum of 150 pupils on roll and secondary schools must have a maximum of 600 pupils on roll to qualify.</p>	<p>For 2015-16, the average size of year groups within the school will determine eligibility.</p> <p>Schools will only qualify if total pupils divided by the no of year groups are below the threshold for the phase, which are:</p> <ul style="list-style-type: none"> • Primary: 21.4 • Secondary: 120 	That Nottinghamshire does not adopt this factor for 2015-16

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		<ul style="list-style-type: none"> • Middle: 69.2 • All-through: 62.5 		
8	<p>Lump sum</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2014-15)</p>	<p>Lump sum value may be different for primary and secondary phase, with an upper limit of £175,000.</p> <p>The value used for <u>each phase</u> must be applied to all schools in that phase.</p> <p>Merging schools will be permitted to keep 85% of the two lump sums for the next financial year in which they merge.</p>	<p>Local authorities may apply for an exceptional factor to pay a further allowance to amalgamating schools in the second year after amalgamation.</p> <p>Local authorities may also wish to apply to exclude the exceptional factor payment from the MFG baseline.</p>	<p>That the factor is retained, with both primary and secondary phases receiving £100,000 per school, as in 2014-15 and that Nottinghamshire does not apply for an exceptional factor for amalgamating schools</p>
9	<p>Split sites</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2014-15)</p>	<p>The criteria used for this factor can continue to be determined locally but must clearly define what constitutes a split site and how much is paid.</p>	<p>None</p>	<p>That the factor be retained and that we continue with the current methodology and funding for split site schools</p>
10	<p>Rates</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2014-15)</p>	<p>Rates will continue to be funded at the latest estimate of cost.</p>	<p>None</p>	<p>That we continue with the current arrangement to pay rates centrally</p>

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Exceptional Factors			
11	Joint Use and Rental	In 2013-14 Nottinghamshire was successful in its application to use exceptional factors for joint use arrangements and rental of premises	Approved factors can continue to be applied, but any new ones must be approved by the Education Funding Agency (EFA)
			That we continue with the exceptional factors for joint use and rental only