

Progress against the Fraud Response Plan and Annual Governance Statement Action Plans

Fraud Response Plan's Action Plan

Action	Timescale	Responsibility	Progress & revised timescales
<i>Update on 2017/18 actions</i>			
1. One member of staff to complete the CIPFA Accredited Counter Fraud Specialist qualification.	March 2018	Head of Internal Audit	Achieved – one member of the internal audit team has successfully completed the qualification.
2. Develop the use of interactive, counter-fraud e-learning to promote engagement and learning among all staff.	March 2018	Head of Internal Audit and Head of Human Resources	Achieved - e-learning package released to staff.
3. Complete the refresh of the Anti-Money Laundering Policy and Procedures for consideration by the Policy Committee.	September 2017	Head of Internal Audit	Achieved – these have been refreshed with only minor amendments and reference to the new G&E chair.
4. Commence a refresh of the Counter Fraud & Counter Corruption Policy & Strategy and the Fraud Response Plan.	September 2017	Head of Internal Audit	Achieved – documents have been refreshed.
5. Provide insight and responses to fraud alerts with the dissemination of information through 'Team Talk' updates	2 releases in 2017/18	Head of Internal Audit	Achieved – fraud alerts have been disseminated and updates within 'Team Talk' completed to coincide with the release of the e-learning package.
6. Complete the referral protocol with Nottinghamshire Police for local liaison arrangements	September 2017	Head of Internal Audit and Service Director Customers & Human Resources	Achieved – liaison has been established with the Serious and Organised Crime Unit and effective use of this channel will continue to be developed throughout 2018/19.
<i>New actions for 2018/19</i>			
7. Respond to any issues identified by the data-washing exercise with Nottinghamshire Police.	March 2019	Head of Internal Audit	Ongoing – work continues with Nottinghamshire Police to identify targets.
8. Pro-active work with the Group Manager – Procurement to assess vulnerability to procurement cartels.	November 2018	Head of Internal Audit	Ongoing – work is in progress.
9. Provide a more detailed	September	Head of Internal Audit	Achieved – completed by

Appendix C**Progress against the Fraud Response Plan and Annual Governance Statement Action Plans**

Action	Timescale	Responsibility	Progress & revised timescales
assessment for the Governance & Ethics Committee on the Council's defences against cyber fraud.	2018	and relevant ICT Service Managers	ICT Service Manager for December G&E.
10.Pro-active work with the Travel & Transport Team to respond to the threat of Blue Badge and Concessionary Travel fraud.	January 2019	Head of Internal Audit	To be commenced in January 2019 to coincide with the release of NFI data matches
11.Work with Legal Services to develop a proposed protocol for the pursuit of private and civil prosecutions.	November 2018	Head of Internal Audit with the assistance of the Head of Legal Services	Ongoing – work is in progress.
12.Review the success of the Re-Check pilot and its potential for expansion into other areas of service.	September 2018	Head of Internal Audit	Achieved – mortality Re-Check exercise completed and proposed expansion arranged.

Annual Governance Statement's Action Plan

Update the Council's Counter-Fraud and Counter-Corruption Policy & Strategy, along with the Fraud Response Plan.	Head of Internal Audit	June 2018
--	------------------------	-----------