

SUMMARY OF WORK CARRIED OUT BY THE GOVERNANCE & ETHICS COMMITTEE AGAINST ITS TERMS OF REFERENCE

2017/18	May 2018	Jun 2018	Jul 2018	Sep 2018	Nov 2018	Dec 2018	Jan 2019	Mar 2019
Annual statement of accounts								
Mandatory enquiries and Statement of Accounts 2016/17	Mandatory enquiries		Statement of accounts 2017/18					
Certification of grants and returns 2016/17								
Annual Governance Statement (AGS)								
AGS 2016/17	AGS 2017/18							
Local code corporate governance								
Review of Local Code	Review of Local Code		IA Charter					LGA Corporate Peer Challenge
Internal control & external audit								
Internal Audit reports: - Follow-up of recs - Plan - Progress reports	Follow-up of IA recs	IA Annual Report 2017/18	IA Term 2 Plan Assurance mapping update	External Audit annual letter 2017/18 & progress report	IA Term 1 Report & Term 3 Plan	NAO Cyber Security & Information Risk Guidance for Audit Cttees	PSAA Local Audit Quality Forum – feedback Assurance mapping update	External Audit Plan 2018/19 IA Term 2 report & Term 1 Plan
External Audit plans and progress reports			Vacant property management	DP misuse, fraud & deprivation of assets		External Audit progress report	Follow-up of IA recs	
Assurance mapping								
Updates with IA limited assurance opinions: Deprivation of assets controls ASCH & PH							External residential placements for looked after children	

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External placements for LAC								
Financial Regulations and counter-fraud								
Annual Fraud Report 2016/17	LGA Bribery & Fraud Prevention workbook for councillors	Annual Fraud Report 2017/18 Annual Whistleblowing Report 2017/18	Fraud Response Plan			Counter Fraud progress report		
Risk management								
Corporate risk management update				Corporate risk management update				
Information Governance – Data Protection & Freedom of Information								
IGIP progress update		IGIP progress update				IGIP progress update		
ICO monetary penalty notice								
NHS Digital Audit of data sharing with PH								
Legal, democratic, complaints								
LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	Legal Services Lexcel accreditation	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions
RIPA annual report								Outcomes from Complaints Process 2017/18

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Outcomes from Complaints Process 2016/17								
Conduct standards								
		Review of outside bodies		Petitions scheme	Member training	Update on use of resources by councillors	Update on use of resources by councillors	
Implement revised codes of conduct								
Code of Conduct for Councillors & co-opted members								
Alleged breaches of conduct								
Issues from members' allowances								
Joint civic reception at Notts Cricket Club								
Councillors' divisional funds								
		CDF revised policy				Update on use of CDF		
Statutory independent member recruitment								
							Appointment of independent persons - recruitment & selection process	