

7 July 2014

Agenda Item: 6

**REPORT OF SERVICE DIRECTOR FOR MID AND NORTH  
NOTTINGHAMSHIRE****INTEGRATED PILOT - PERSONAL HEALTH BUDGETS****Purpose of the Report**

1. To up-date on progress with an integrated Personal Health Budget pilot in Bassetlaw and seek approval to establish a temporary Direct Payment Officer (Personal Health Budgets) post to support the delivery of Personal Health Budgets to people in Bassetlaw.

**Information and Advice**

2. A Personal Health Budget (PHB) is an amount of money from the NHS to support a person's health and wellbeing. This includes the option for the person take the money as a direct payment to arrange their own care. Following implementation of personal budgets in social care, national rollout of PHBs was announced by the Government. From the 1<sup>st</sup> April 2014 people in receipt of Continuing Healthcare funding have the right to ask for a PHB and from October 2014 the right to have a PHB. From April 2015 there will be a further roll out to people with Long Term Conditions.
  - 2.1 Personal budgets in social care and health aim to give people more choice and control to meet their care and health and wellbeing needs. In Nottinghamshire, NHS partners (Bassetlaw CCG and County CCG's) are working with Nottinghamshire County Council to join health and social care personal budgets together to make them more efficient and easier for people to use.
  - 2.2 A report to Senior Leadership Team (SLT) in February 2014 outlined the intention for Bassetlaw Clinical Commissioning Group (CCG) and Adult Social Care Health & Public Protection (ASCH&PP) to work together to deliver an integrated model of health and social care personal budgets and direct payments for adults. The report recommended that ASCH&PP to undertake the host function to deliver PHB's on behalf of Bassetlaw Clinical CCG. SLT approved this and a 12 month project which commenced April 2014 is currently underway to deliver this integrated model. This model involves the utilisation of Nottinghamshire County Council assessment, financial systems and processes around setting indicative budgets, support planning and administering personal budgets for joint and fully health funded care packages via ACFS.

2.3 To support the delivery of PHB's as part of an integrated model, Bassetlaw CCG have funded and recruited a Commissioning Manager Personal Health Budgets and Continuing Healthcare. This post is co-located in ASCH&PP (until April 2015) to support delivery of PHB's. In order to cover the additional finance capacity required to process the direct payments, Bassetlaw CCG has agreed funding for 12 months for ASCH&PP to recruit a Direct Payment Officer (PHB). The post will be located in ACFS and will work closely with Bassetlaw Commissioning Manager and health and social care to develop robust financial systems for the delivery of Personal Health Budgets. The post holder will assess in conjunction with the key partners the viability and financial implications of transferring jointly funded Continuing Healthcare packages onto a PHB for the health component. The post holder will be involved in the evaluation of the project.

2.4 SLT receive quarterly progress reports with a project evaluation to be carried out in October 2014. Following this evaluation, recommendations from the project will go to the Project Steering Group and on to SLT and ASCH Committee in January 2015.

### **Other Options Considered**

3. Other model options were considered as outlined in the February 2014 report to SLT. The model approved by SLT and Bassetlaw CCG was done so as it enabled the most integrated approach, made best use of existing expertise and resourced the additional capacity required to prepare for the wider roll out of PHBs.

3.1 The five CCGs covering the rest of Nottinghamshire County and ASCH&PP are also working together with the aim of establishing as joint an approach as possible. PHBS are managed by Greater East Midlands Commissioning Support Unit (GEM) on behalf of the County CCG's and GEM have adopted a regional approach that applies to all CCG's in the East Midlands. This model does not at present however, deliver local integrated health and social care processes as GEM will host the provision of PHB's for Nottinghamshire. This means that there are currently two different PHB models operating in Nottinghamshire County and Bassetlaw and learning across the two models is being shared.

### **Reason/s for Recommendation/s**

4. In order to progress the project to meet the deadlines, the additional post in ACFS has been recruited to for three months with Corporate Director approval. This requires extension to the full twelve months to deliver the work associated with the pilot.

4.1 Evaluation of the project will best support the development of integration which is a Government agenda and with the roll out to Long Term Conditions, there will be a focus on other conditions such as mental health, substance misuse, Dementia etc which also calls for integrated working approach.

4.2 This project provides additional opportunities to explore wider integration across Personal Health Budgets and Direct Payments linked to any pilot schemes focused on Better Care Fund activities (formally Integrated Care Funding) and the Government commitment to bring together Health and Social Care budgets to make joined up Health and Social Care a norm by 2018.

## **Statutory and Policy Implications**

5. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the NHS Constitution (Public Health only), the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

## **Ways of Working Implications**

6. The post will be based in Adult Social Care Finance Team, where accommodation has been identified.

## **Implications for Service Users**

7. An appraisal of the model used in Bassetlaw benefits the service user by receiving a joint social care and health package which is supported by integrated processes and documentation.
  - There will be less disruption for service users and their family/carers
  - The person will receive one pot of money to manage and audit
  - Opportunity for service users to utilise existing Direct Payment Support Services
  - The person will have one joint outcome focused support plan

## **Financial Implications**

8. The project is underpinned by a Section 75 agreement signed by both parties. This agreement includes financial arrangements. The post is funded by Bassetlaw CCG who will make an advanced payment of £20,540 to Nottinghamshire County Council for the purpose of funding this post for 12 months

## **RECOMMENDATION/S**

9. It is recommended that Committee
  - 1) Note progress with an integrated health and social care approach to Personal Health Budgets
  - 2) Approve the establishment of one 0.8 FTE Grade 4 (SCP 19 – 23, £17,908.02 - £20,399.98) Direct Payment Officer (PHB) post for 12 months from 15 July 2014.

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### **Human Resources Implications**

10. The post holder will be employed on a fixed term contract until 03/07/2015

### **Constitutional Comments (SG 12/06/2014)**

11. The Adult Social Care and Health Committee has delegated authority within the Constitution to approve the recommendations in the report

### **Financial Comments (KAS 20/6/14)**

12. The financial implications are contained within paragraph 8 of the report.

### **Background Papers and Published Documents**

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- Report to Senior Leadership Team “Think Local Act Personal (TLAP) – Implementation of Personal Health Budgets”
- Appendix 1 Use of Personal Health Budgets – case studies (NHS England)

### **Electoral Division(s) and Member(s) Affected**

- Bassetlaw