

INTERNAL AUDIT PLAN 2014/15
Audits Completed 1 April 2014 to 30 September 2014

Audit Report Department and Area audited	Audit Opinion – Level of Assurance	Recommendations made and Risk Rating			Recommendations Agreed		
		High	Medium	Low	High	Medium	Low
Adult Social Care, Health and Public Protection							
In-house Day Service	Reasonable	-	5	4	-	5	4
Safeguarding	Reasonable	1	1	1	1	1	1
Community Capacity Grant	Substantial	-	-	-	-	-	-
Scambusters Grant – Audit Certificate	Substantial	-	-	-	-	-	-
Operation Spink – Audit Certificate	Substantial	-	-	-	-	-	-
Emergency Planning	Substantial	-	2	-	-	2	-
Sub Total		1	8	5	1	8	5
Children, Families and Cultural Services							
Direct Payments to children and young people	Substantial	-	2	5	-	2	5
Secure Accommodation (Clayfields)	Limited	2	8	2	2	8	2
School Refurbishment Programme	Reasonable	1	2	-	1	2	-
Beeston Youth and Community Centre audit	N/A						
Platt Lane certificate	N/A						
Sub Total		3	12	7	3	12	7
School Audits							
Secondary Schools (1 final report issued)	Overall, 7 substantial assurance and 16 reasonable assurance	9	174	23	9	171	23
Primary Schools (22 final reports issued)							

Department and Area audited	Audit Opinion – Level of Assurance	Recommendations made and Risk Rating			Recommendations Agreed		
		High	Medium	Low	High	Medium	Low
Environment and Resources							
Property Rents	Reasonable	-	5	2	-	5	2
ICT Access Controls	Reasonable	-	5	2	-	5	2
Building Maintenance	Reasonable	1	9	3	1	9	3
ICT Business Continuity	Reasonable	2	1	-	2	1	-
ICT Network Controls	Reasonable	-	4	1	-	4	1
Carbon Reduction Commitment Certificate	Substantial	-	-	-	-	-	-
Review of Highways resurfacing project	N/A						
Review of Highways tools control	N/A						
School ICT sales advice	N/A						
Guaranteed Minimum Pension advice	N/A						
Sub Total		3	24	8	3	24	8
Policy, Planning and Corporate Services							
Grant Aid – follow up	Substantial progress	-	-	3	-	-	3
Sub Total		-	-	3	-	-	3
Public Health							
Employees and Budget management	Limited	3	3	-	3	3	-
Sub Total		3	3	-	3	3	-
Cross Cutting Reviews							
Corporate Procurement – follow up	Limited progress	-	5	3	-	5	3
Pensions Payroll Migration	Substantial	-	-	4	-	-	4
National Fraud Initiative	N/A						
Annual Governance Statement	N/A						
Counter Fraud Strategy	N/A						
Sub Total		-	5	7	-	5	3
<u>TOTAL</u>		19	226	53	19	223	53