

SUMMARY OF WORK CARRIED OUT BY THE GOVERNANCE & ETHICS COMMITTEE AGAINST ITS TERMS OF REFERENCE

Jul 2020	Sep 2020	Oct 2020	Nov 2020	Jan 2021	Feb 2021	Mar 2021
Council-wide Governance & Ethics						
Local Code of Corporate Governance annual review	Gov & Ethics Cttee annual report	Assurance Mapping Annual Report 2019/20	Committee on Standards in Public Life: Progress with implementing best practice recommendations			
Annual Governance Statement 2019/20		Governance Action Plan update			Governance Action Plan update	
Annual statement of accounts						
Statement of accounts: Accounting policies			Statement of Accounts 2019/20			
Internal control & external audit						
External audit risk assessment	External audit update					External Audit Annual Letter 2019/20
Head of Internal Audit Annual Report 2019/20	Internal audit update and plan	Follow-up of Internal Audit Reports – implementation of agreed actions	Internal audit update and plan			Internal audit update and plan
National Audit Office Cyber Security Guidance – progress update	National Audit Office Guidance: Financial Reporting & Management	Redmond Review update: Oversight of local audit & transparency of LA financial reporting				Follow-up of Internal Audit Reports – implementation of agreed actions
						Redmond Review update: Government response

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Financial Regulations and counter-fraud						
Financial regulations waivers 2019/20	Annual Fraud Report 2019/20			Counter Fraud progress report		
				Regulation of Investigatory Powers Act 2000 – annual report		Whistleblowing update
Risk management						
	Corporate Risk Management Update			EU Transition risk register	Corporate Risk Management Update	
Legal, democratic, complaints						
	Local Government Ombudsman decisions	Local Government Ombudsman decisions	Local Government Ombudsman decisions	Local Government Ombudsman decisions	Local Government Ombudsman decisions	Local Government Ombudsman decisions
Conduct standards						
LGA consultation on Draft Model Code of Conduct				LGA consultation on Final Model Code of Conduct		
Alleged breaches of conduct						
					Sub-committee met to decide on a Members' Code of Conduct complaint against a councillor	
Councillors' divisional funds						
	Update on use of Councillors' Divisional Funds					

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Statutory independent person's recruitment						
				Appointment of independent persons – endorsement of appointments		
Training & development						
		'Members Hub' update	Member Communication & Engagement Programme - update	Risk management training slot		Councillor induction and development programme 2021-2025