

AUDIT COMMITTEE - WORK PROGRAMME

<u>Report Title</u>	<u>Brief summary of agenda item</u>	<u>For Decision or Information</u>	<u>Lead Officer</u>	<u>Report Author</u>
8 March 2017				
Statement of Accounts 2016/17 – Accounting Policies	To outline proposed changes to the accounting policies used for the Authority’s Statement of Accounts for 2016/17 for review and approval	Decision	Nigel Stevenson	Glen Bicknell
Internal Audit Plan for 2017/18	Report from the Head of Internal Audit providing details of the planned work for 2017/18	Information	Rob Disney	Rob Disney
External Audit Plan 2016/17	To provide information on the External Auditors’ Audit Plan for their 2016/17 Audit.	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Certification of Grants and Returns 2015/16	To provide information on the External Auditors’ Annual Report 2015/16 on the certification of Grants and Returns	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Transport Infrastructure Assets Project	To provide Information on the project to add Transport Infrastructure Assets to the account from 2016/17	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Follow up of Internal Audit Recommendations	To provide information on the Internal Audit’s high priority recommendations	Information	Rob Disney	Rob Disney
14 June 2017				
Annual External Audit Fees	To inform Members of proposed external audit fees for 2017/18	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Mandatory Inquiries	To provide information on the External Auditors’ requirement for the provision of information regarding the Council’s approach to dealing with fraud, litigation, laws and regulations as part of their audit.	Decision	Nigel Stevenson	Nigel Stevenson
Internal Audit Report 2016/17	Report of the Head of Internal Audit providing an			

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	internal audit opinion on the Authority's level of internal control during 2016/17	Information	Rob Disney	Rob Disney
Financial Regulations Waivers 2016/17	Inform Members of any breaches of / and waivers of the Council's Financial Regulations	Information	Clare Winter	Clare Winter
Draft Annual Governance Statement 2016/17	Review and comment on the draft Annual Governance Statement prior to being forwarded on to Full Council to accompany the Statement of Accounts	Decision	Rob Disney	Rob Disney
Annual Fraud Report 2016/17	Inform Members of any detected fraud issues	Information	Rob Disney	Rob Disney
Follow up of Internal Audit Recommendations	To provide information on the Internal Audit's high priority recommendations	Information	Rob Disney	Rob Disney
Function of the Audit Committee	Information given to Members to help them understand the Audit process	Information	Rob Disney	Rob Disney
? September 2017				
External Audit Annual Governance Reports	To receive for information, and comment, the External Auditor's Annual Governance Reports on the County Council and Pension Fund, prior to these being forwarded to Full Council for approval	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Follow up of Internal Audit Recommendations	To provide information on the Internal Audit's high priority recommendations	Information	John Bailey	John Bailey
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	John Bailey	John Bailey

? December 2017				
Internal Audit Progress report for 2016/17	To provide details of internal audit work completed to the end of September 2017	Information	John Bailey	John Bailey
External Audit – Annual Audit Letter 2016/17	KPMG summarises the findings from work carried out by the external auditors over the last financial year (2016/17)	Information	Nigel Stevenson	Glen Bicknell / External Auditor
Follow up of Internal Audit Recommendations	To provide information on the Internal Audit's high priority recommendations	Information	John Bailey	John Bailey
Briefing – Subject TBA	Information given to Members to help them understand the Audit process	Information	John Bailey	John Bailey