

INTERNAL AUDIT PLAN 2015/16
Audits Completed 1 April 2015 to 30 September 2015

Audit Report Department and Area audited	Audit Opinion – Level of Assurance	Recommendations made and Risk Rating			Recommendations Agreed		
		High	Medium	Low	High	Medium	Low
Adult Social Care, Health and Public Protection							
Care and support centres	Limited	3	4	8	3	4	8
Continuing healthcare	Reasonable	1	3	2	1	3	2
Residential and nursing care – client contributions	Reasonable	1	4	5	1	4	4
Scambusters Grant – Audit Certificate	Substantial	-	-	-	-	-	-
Operation Spinaker – Audit Certificate	Substantial	-	-	-	-	-	-
Key audit findings and Financial Regulations training	N/A						
Sub Total		5	11	15	5	11	14
Children, Families and Cultural Services							
Home to school transport	Reasonable	-	3	10	-	3	10
Sherwood Energy Village Imprest advice	N/A	-	9	-	-	9	-
Adoption – financial support	Substantial	-	2	1	-	2	1
School swimming service	Limited	2	2	2	2	2	2
Beeston Youth and Community Centre audit	N/A						
Youth centre accounts cash book advice	N/A						
Children’s centre contract advice	N/A						
Sub Total		2	16	13	2	16	13
School Audits							
Secondary Schools (1 final report issued)	Overall, 7 substantial assurance, 13 reasonable assurance and 4 limited assurance	21	217	54	21	212	54
Primary Schools (23 final reports issued)							

Department and Area audited	Audit Opinion – Level of Assurance	Recommendations made and Risk Rating			Recommendations Agreed		
		High	Medium	Low	High	Medium	Low
Resources							
Customer service centre	Reasonable	1	2	6	1	2	6
ICT Mobilisation	Substantial	-	-	-	-	-	-
Capita One	Reasonable	-	1	-	-	1	-
ICT vendor management	Substantial	-	2	-	-	2	-
ICT strategy and operating model	Substantial	-	1	1	-	1	1
ICT change and release	Substantial	-	1	-	-	1	-
Pensions service advice	N/A	-	-	-	-	-	-
Civica pensions project advice	Reasonable	-	2	-	-	2	-
ICT active directory	Reasonable	1	2	-	1	2	-
Pension fund management	Reasonable	-	2	6	-	2	6
Pensions to payroll data match	N/A						
Sub Total		2	13	13	2	13	13
Place							
Highways capital schemes	Reasonable	-	9	4	-	9	4
Highways operations	Reasonable	-	2	5	-	2	5
Highways workflow	N/A	9	2	4	9	2	3
Fleet and plant management	Reasonable	-	3	6	-	3	6
Carbon reduction certificate	Substantial						
Bus services operating grant	Substantial						
Platt Lane playing fields	Substantial						
Property operations	Reasonable	1	3	4	1	3	4
LEADER programme	N/A						
Sub Total		10	19	23	10	19	22
Cross Cutting Reviews							
Information governance	Limited	4	19	6	4	19	6
Transparency code	N/A						
Annual governance statement	Substantial						
Sub Total		4	19	6	4	19	6
GRAND TOTAL		44	295	124	44	290	122