











Planned Action	Officer responsible	Target date for completion	Progress status
<b>1. Modelling sustainable savings plans</b> – approval of ‘Options for Change’ cases for implementation	Service Director - Transformation	Spring/summer 2022	 In progress
<p>The Council’s revised approach to transformation and change was approved by the former Improvement &amp; Change Sub-Committee, including the four cross-council programmes and two underpinning strands of work. Scoping documents for each of these programmes have been prepared, with full ‘Options for Change’ cases now in preparation.</p> <p>The newly established Strategic Insight Unit will have a continuing focus on identifying further opportunities for sustainable transformation going forward.</p>			
<b>2. CIPFA Financial Management Code</b>	Group Manager – Finance Strategy & Compliance	The code has now been implemented and the first full year of compliance is 2021/22.	 Completed
<p>The Financial Management Code (FM Code) is designed to support good practice in financial management and to assist local authorities in demonstrating their financial sustainability. The FM Code is based on a series of principles supported by specific standards which are considered necessary to provide the strong foundation to:</p> <ul style="list-style-type: none"> <li>• financially manage the short, medium and long-term finances of a local authority</li> <li>• manage financial resilience to meet unforeseen demands on services</li> <li>• manage unexpected shocks in their financial circumstances</li> </ul> <p>An updated assessment against the full code was presented to Finance Committee on 6/9/2021. The assessment was that NCC meets 14 of the 17 standards and exceeds the requirements of the other three.</p>			
<b>3. Developing an inclusive vision for Notts and showing leadership of place</b>	Chief Executive	Autumn 2021: approval of new Council Plan	 Completed
<p>Plans for recovering from COVID-19 will encompass the Council’s aspiration for devolution and for building on the Government’s ‘Levelling-Up’ White Paper. We will want to examine all aspects of public sector reform, through conversations with our partners about working more closely together, aligning our services and looking at structures that might be both beneficial and acceptable to all.</p> <p>A key foundation for this is the new ‘Nottinghamshire Plan 2021-31: Healthy, Prosperous, Green’, which was approved by Council in November 2021.</p>			

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<p><b>4. Post-pandemic review:</b> formally review the Council's response to capture and apply learning for the future. This will build on two reports to date to Policy Committee on the impact of COVID-19.</p>	Chief Executive	To be determined as the course of the pandemic permits	 In progress
<p>Internal Audit has completed three reviews of the organisational response to the emergency, all of which delivered positive assurance. The Emergency Planning Team has reported the outcome of its debriefing survey to the Council's Risk, Safety and Emergency Management Board. This considered the Council's management of the emergency through its emergency response framework and through its significant role within the Local Resilience Forum (LRF). A fuller review through the Local Resilience Forum will be conducted in due course.</p>			
<p><b>5. Implementation of Cabinet/Scrutiny model of corporate governance:</b> incorporating work to review the constitution and operating model</p>	Service Director – Customers, Governance and Employees	May 2022	 In progress
<p>Full Council gave approval in September 2021 to the development of proposals to change the Council's governance arrangements from a committee system of governance to the executive system (Leader and Cabinet model). A project team is in place and is progressing the extensive work required for consideration by Full Council at its meeting in March 2022.</p>			
<p><b>6. Equality Impact Assessments (EIAs) –</b> review the approach with key stakeholders and deliver refresher training workshops for completion of EIAs, along with an online e-learning package.</p>	Service Director – Customers, Governance and Employees	April 2022 for revised version of EIA form	 In progress
<p>The review of EIAs has been completed, led by the Council's Equalities Officer, using a working group drawing members from across the Council. Consideration is currently being given to significant changes to the form; an online and simplified version is in development. The key issue remains one of when to complete an EIA and their relevance, and we are in the process of consulting on the changes proposed with the relevant parties, including the recognised trade unions.</p>			

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Advice and guidance continue to be provided to managers across the Council undertaking service reviews or making changes to how work is delivered on content and necessity for EIAs to be completed as part of consultation processes.			
7. <b>Register of Interests</b> – completion of the current review by Legal Services, followed by approval of proposed changes and awareness raising.	Group Manager – Legal, Democratic & Complaints	March 2022	 In progress
Progress has been restricted by continuing demands on relevant officers. Outcomes are expected to be completed by the end of 2021/22.			
8. <b>Planning &amp; Performance Management Framework:</b> review the framework in line with the development of the new Council Plan	Group Manager – Transformation & Change	March 2022	 In progress
The opportunity of the new Council Plan is being taken to refresh the Planning & Performance Management Framework. This will bring forward proposals for business intelligence reporting at all levels in the performance hierarchy. Good progress is being made to align this with a first Annual Delivery Plan and quarterly Vital Signs reporting. Policy Committee, at its meeting in March 2022, is due to consider detailed proposals.			
9. <b>Risk management:</b> agree and implement a revised approach to risk management for the Council	Group Manager – Assurance	March 2022	 In progress
The outcome of the external report on the Council's arrangements for risk management was reported to the Governance & Ethics Committee in September 2021, along with outline details of the action plan now in place to implement the revised approach. The latest progress is included in a separate report to Committee on today's agenda.			
10. <b>Data quality in Mosaic:</b> greater priority given to addressing issues highlighted by routine reporting	Corporate Director – Adults Social Care and Public Health, working with the Group Manager - Assurance	March 2022	 In progress
As part of the 'Simplifying Processes' service improvement programme in ASCH, a consultant has been engaged to review reporting arrangements from Mosaic data. This is embracing standard principles for effective management of information.			