

GOVERNANCE & ETHICS COMMITTEE - WORK PROGRAMME (AS AT 31 DECEMBER 2018)

Report Title	Brief summary of agenda item	Lead Officer	Report Author
13 March 2019			
Information Governance Action Plan	To seek approval for this new Action Plan.	Marjorie Toward	Caroline Agnew
Accounting Policies 2018/19	To outline proposed changes to the accounting policies used for the Authority's Statement of Accounts for 2018/19 for review and approval	Nigel Stevenson	Glen Bicknell
Outcomes from the Complaints Process – April 2017 – March 2018	To provide a summary of complaints made to the County Council in the 2017-18 financial; year.	Marjorie Toward	Laura Mulvany-Law
External Audit Plan 2018/19	To provide information on the External Auditors' Audit Plan for the 2018/19 audit	Nigel Stevenson	Glen Bicknell/External Auditor
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council (item to be confirmed).	Marjorie Toward	Laura Mulvany-Law
Internal Audit 2018-19 Term 2 Report and 2019-20 Term 1 Plan	To report the outcome of Internal Audit's work in Term 2 of 2018-19 and to consult on the planned work for Term 1 of 2019-20.	Rob Disney	Rob Disney
Internal Audit Resources	To update Committee on actions being taken to ensure the delivery of Internal Audit's Plans	Rob Disney	Rob Disney
1 May 2019			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council (item to be confirmed).	Marjorie Toward	Laura Mulvany-Law
Statement of Accounts 2018/19 – Informing the Risk Assessment	To provide information on the external auditors' requirement for the provision of information regarding the Council's approach to dealing with fraud, litigation, laws and regulations as part of their audit	Nigel Stevenson	Glen Bicknell
Assurance mapping annual report and review of pilot	To present the outcomes of the pilot approach to assurance mapping and to present proposals for its application in 2019/20	Rob Disney	Rob Disney

Annual Governance Statement 2018-19	To approve the Annual Governance Statement for 2018-19	Rob Disney	Rob Disney
Governance & Ethics Committee's Annual Report 2018-19	To approve the draft Annual Report on the work of the Governance & Ethics Committee, for subsequent reporting to Full Council	Rob Disney	Rob Disney
12 June 2019			
Update on Use of the Councillors' Divisional Fund	To consider the six monthly update.	Marjorie Toward	Keith Ford
National Audit Office Cyber Security and Information Risk Guidance for Audit Committees	To consider a six monthly update and any subsequent actions required.	Nigel Stevenson	Adam Crevald
Information Governance Improvement Programme Update	To consider a six monthly update, as agreed by the Committee on 18 December 2018	Marjorie Toward	Caroline Agnew
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council (item to be confirmed).	Marjorie Toward	Laura Mulvany-Law
Presentation on 2018/19 Statement of Accounts and Status of External Audit	A presentation of the main headlines from this year's Statement of Accounts	Nigel Stevenson	Glen Bicknell
Annual Fraud Report 2018-19	To review the latest Annual Fraud Report and consider any follow-up actions required	Rob Disney	Simon Lacey
Follow-up of Internal Audit Recommendations	To consider the latest progress with the implementation of agreed actions from Internal Audit's reports	Rob Disney	Rob Disney
24 July 2019			
Update on Use of Resources by Councillors	To consider the six monthly update.	Marjorie Toward	Keith Ford
Information Governance Action Plan Update	To consider a quarterly update on performance against this new Action Plan.	Marjorie Toward	Caroline Agnew
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council (item to be confirmed).	Marjorie Toward	Laura Mulvany-Law

Statement of Accounts 2018/19	To seek approval for this year's Statement of Accounts	Nigel Stevenson	Glen Bicknell
Internal Audit Annual Report for 2018-19 2019-20 Term 2 Plan	To consider the Head of Internal Audit's Annual Report and to consult on the planned work for Term 2 of 2019-20.	Rob Disney	Rob Disney