

Early Years Block Funding Formula		
Factor	2016-17 Nottinghamshire Criteria	Schools Forum recommendation for application of the factor in 2017-18
Pupil led factors		
1	Base hourly rate for 2 year olds (Compulsory factor)	£5.09 per hour (included a temporary increase of 21p agreed by the Schools Forum to be funded from the DSG Non ISB reserve)
2	Base hourly rate for 3 - 4 year olds (Compulsory factor)	£2,280 per annum (funded on a temporary basis from the DSG Non ISB reserve) £4.00 for PVI (38 weeks per annum) £3.90 Maintained schools (39 weeks per annum)
3	Deprivation (Compulsory factor)	Free School Meals indicator £0.05 per hour
4	Meal Allowance Discretionary local factor	£1.53 per meal for children attracting the deprivation supplement PVI providers only
		That the base hourly rate be maintained at a minimum of £5.09 per hour, with additions funded from the DSG non-ISB reserve if necessary, or more if funding allows. Maintain at the same rates, supplemented from the DSG non-ISB reserve if necessary, or increase if funding allows. The new Early Years block allocation based on an Early Years National Funding Formula has recently been announced with an increase in overall funding for Nottinghamshire which may enable an increase in hourly rates That the factor is retained and continues at the 2016/17 amount. That the factor is retained and continues at the 2016/17 amount, if this is a permitted payment under the new regulations. Since the consultation the Education Funding Agency has confirmed that the Early Years Block Funding is not intended to cover the cost of meals and cannot be used in this way. As this is therefore not a permitted factor, this payment will not be made in 2017-18, and this will no longer be part of our Early Years formula. Affected PVI providers will be informed, and the Schools Forum will be informed at the next Schools Forum meeting.

Non Pupil Led Factors			
5	Sustainability supplement Discretionary local factor	Until 2016-17, this supplement was a lump sum payment for schools whose nursery/foundation unit was historically less than 20 places. A payment of £10,360 was paid to the same 19 schools with no review of their continued eligibility for this payment. This factor was removed for 2016/17 and the 19 schools affected are receiving a phased reduction in 2016-17 and 2017-18.	None. This will be the last year of payment and reflects the decision made last year. As this is not a permitted factor from the current Early Years block, and relates to a historical commitment, the Schools Forum will be asked to approve the payment of this final amount from the DSG (non ISB) reserve.
Schools Block Funding Formula			
Factor		2016-17 Guidance	Schools Forum recommendation for application of the factor in 2017-18
Pupil Led Factors			
1	Basic per pupil entitlement - age weighted pupil unit (AWPU) (Compulsory factor)	Single unit value for primary - AWPU must be greater than £2,000 Single unit value for each of KS3 and KS4 – the value of the KS3 and KS4 AWPU must be greater than £3,000	That the AWPU rates be proportionally adjusted in order to maintain the overall primary to secondary funding ratio of 1:1.265 for 2017-18
2	Deprivation (Compulsory factor)	Continues to be measured by free school meals (either single year or Ever6 indicators) and/or Income Deprivation Affecting Children Index (IDACI). Separate unit values for primary and secondary phase are still permitted. Local authorities and Schools Forums are requested to determine an appropriate proportion of schools block funding to allocate through this factor.	That the same percentage of total funding, deprivation indicators, and weightings should be used to allocate deprivation funding in 2017-18 as were used in 2016-17
3	Prior attainment (Low Cost, High Incidence SEN)	Primary pupils continue to be identified by Early Years Foundation Stage Profile (EYFSP). Pupils in Years 2 to 5 will be	That the factor continues to be included, and that we retain the current proportion of funding, and method for distributing that

	(An optional factor that Nottinghamshire chose to adopt for 2016-17)	<p>identified by a score of less than 78 or 73 points on the old EYFSP. Pupils in Year 1 will be identified as those not achieving a 'good' level of development.</p> <p>Secondary pupils continue to be identified by Key Stage 2 assessments, but will now be identified as achieving Level 3 or below in English OR mathematics.</p> <p>Separate unit values for primary and secondary phase are still permitted.</p>	funding as in 2016-17
4	<p>Looked after children</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2016-17)</p>	<p>A single unit value for both phases will remain.</p> <p>A single indicator will now be provided, covering all pupils who have been looked after for one day or more on 31 March 2016.</p>	That the factor continues to be included, and that a fixed unit value of £3,000 should continue to be used to allocate this funding in 2017-18
5	<p>English as an additional language (EAL)</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2016-17)</p>	<p>Pupils will continue to attract funding for a maximum of three years after the pupil enters the statutory age school system.</p> <p>Separate unit values for primary and secondary phase are still permitted.</p>	That the factor is retained, and that the same percentage of total funding should be allocated through the EAL factor with a single unit value in 2017-18
6	<p>Pupil mobility</p> <p>(An optional factor that Nottinghamshire chose to adopt for 2016-17)</p>	<p>Pupils starting school at non-standard start dates (i.e. not August, September or January for Year R) in the last three academic years. A 10% threshold will apply to attract funding.</p> <p>Separate unit values for primary and secondary phase are still permitted.</p>	That the factor is retained and that the same percentage of total funding should be allocated through the Pupil Mobility factor in 2017-18, with a single unit value

Non Pupil Led Factors			
7	<p>Sparsity</p> <p>(an optional factor that Nottinghamshire chose not to adopt for 2016-17)</p>	<p>A fixed or variable amount to a maximum of £100,000 may be applied to small schools where the average distance (as the crow flies) to pupils' second nearest school is</p> <p>>2 miles primary >3 miles secondary</p> <p>To be classed as a small school, primary schools must have a maximum of 150 pupils on roll and secondary schools must have a maximum of 600 pupils on roll to qualify.</p>	<p>That Nottinghamshire does not adopt this factor for 2017-18</p>
8	<p>Lump sum</p> <p>(an optional factor that Nottinghamshire chose to adopt for 2016-17)</p>	<p>Lump sum value may be different for primary and secondary phase, with an upper limit of £175,000.</p> <p>The value used for <u>each phase</u> must be applied to all schools in that phase.</p> <p>Merging schools will be permitted to keep 85% of the two lump sums for the next financial year in which they merge.</p> <p>Local Authorities may apply for an exceptional factor to pay a further allowance in the second year after amalgamation.</p>	<p>That the factor is retained, with both Primary and Secondary phases receiving £100,000 per school, as in 2016-17 and that Nottinghamshire does not apply for an exceptional factor for amalgamating schools</p>
9	<p>Split sites</p> <p>(an optional factor that Nottinghamshire chose to adopt for 2016-17)</p>	<p>The criteria used for this factor can continue to be determined locally but must clearly define what constitutes a split site and how much is paid.</p>	<p>That the factor be retained and that the methodology and funding for split site schools continues (as recently reviewed to ensure consistency with regulations)</p>

10	Rates (an optional factor that Nottinghamshire chose to adopt for 2016-17)	Rates will continue to be funded at the latest estimate of cost.	That the current arrangement to pay rates centrally continues
Exceptional Factors			
11	Joint Use and Rental	In 2013-14 Nottinghamshire was successful in its application to use exceptional factors for joint use arrangements and rental of premises. Approved factors can continue to be applied but any new ones must be approved by the Education Funding Agency.	That the exceptional factors for joint use and rental continue to be applied for 2017/18