

[illegible]

| Area of Assurance Coverage                     | Priority Level     | Job count |                          | Assurance from audit coverage and planned days |                   |                    |               |                    | Potential scope or area of assurance coverage   |
|--|--------------------|-----------|--------------------------|--|-------------------|--------------------|---------------|--------------------|---|
|  | (if risk assessed) |           | Other 3rd Line Assurance | 2nd Line Assurance Role                        | Opinion Assurance | Advice/Consultancy | Counter-Fraud | Certification work |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
| Planning, reporting, client management         |                    |           |                          |  |                   |                    |               |                    |   |
| Governance & Ethics Committee                  |                    | 0         |                          |  |                   | 10                 |               |                    | Preparation of reports in accordance with the Governance and Ethics Committee annual work plan and attendance at meetings   |
| Client management                              |                    | 0         |                          |  |                   | 20                 |               |                    | Planning and termly progress reports to Corporate Leadership Team   |
| Advice   |                    | 0         |                          |  |                   | 15                 |               |                    | Advice to client on financial and other controls, on request  |
| Sub-totals                                     |                    |           |                          | 0  | 0                 | 45                 | 0             | 0                  |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
| Grand Totals                                   |                    | 17        |                          | 25   | 210               | 55                 | 12            | 10                 |   |
|  |                    |           |                          | 312  |                   |                    |               |                    |   |
|  |                    |           |                          |  |                   |                    |               |                    |   |
| Forward Plan for assurance in subsequent Terms |                    |           |                          |  |                   |                    |               |                    |   |
| Property Compliance                            | H                  |           |                          |  |                   |                    |               |                    | Intelligence based : Changed arrangements for assurance via Arc Partnership, plus potential for deeper review of vacant property  |
| Culture  | H                  |           |                          |  |                   |                    |               |                    | Core process based : follow-on from previous review of procedures, guidance and protocols - potentially to link in with other 3rd line assurance                                |
| Workforce Strategy                             | H                  |           |                          |  |                   |                    |               |                    | Core process based : planning for and meeting the future requirements for workforce skills and capacity   |
| Payroll  | H                  |           |                          |  |                   |                    |               |                    | Core process based : Periodic deeper-dive review into targeted aspects of the payroll service   |
| Climate change                                 | H                  |           |                          |  |                   |                    |               |                    | Intelligence based : Assurance in relation to the Council's planning, monitoring and reporting arrangements to progress its stated objectives with regard to the climate agenda |
| Thematic Review of Schools Finances            | H                  |           |                          |  |                   |                    |               |                    | Intelligence based : liaising with the Schools Finance Team to identify trends in findings fromtheir routine audits that are worthy of a themed review                          |
| Cloud Services                                 | H                  |           |                          |  |                   |                    |               |                    | Intelligence based : Review of arrangements for the delivery of Cloud Services compared to guidance from the National Audit Office  |
| Private Finance Initiative                     | H                  |           |                          |  |                   |                    |               |                    | Intelligence based : Arrangements in place for the completion, exit and hand back of arrangements currently under PFI schemes   |