ASSURANCE AUDITS



Limited Assurance

ASCHPP Dept

Procurement of suppliers & providers

Direct Payments (at draft stage)

HM Coroner's Service (at draft stage)

Place Dept

Innovation Centres (at draft stage)

Schools

4 primary schools
1 primary school followup

Reasonable Assurance ASCHPP Dept

Delayed transfers of care Short Breaks Service (at draft stage)

CFCS Dept

Schools Statutory Reserve Fostering allowances & fees Reductions in school staffing

Place Dept

ASDM Via Phase 1 review (at interim stage)

ASDM Arc Phase 1- some aspects carry limited assurance (at interim stage)

Resources Dept

Competency Centre

Council-wide Reviews

Annual Governance Statement
Strategic Risk Management
ASDM composite review Phase 1 (at imterim stage)

Schools

13 primary schools

3 primary school follow-ups - reasonable progress

Substantial Assurance

Council-wide Reviews

2 quarterly follow-ups of recommendations (June 2017 & Sept 2017)

ASDM Inspire Phase 1 (at interim stage)

Schools

4 primary schools

COUNTER-FRAUD

- Council-wide
- Annual Fraud Report
- Transparency Code
- •Serious & Organised Crime Checklist
- National Anti-fraud Network and National Fraud Intelligence Bureau - fraud alert monitoring & dissemination
- ASCHPP Dept
- Whistleblower care assessments & reviews
- Neighbouring council alert former Public Health service provider
- Direct Payment cases ongoing advice

ADVISORY WORK

- Council-wide
- Attendance at Risk, Safety & Emergency Management Board
- ASCHPP Dept
- •Ollerton Day Centre -imprest account and cash handling procedures
- Homebased care re-procurement
- Mosaic system cutover arrangements
- Place Dept
- Clayfields Secure Unit redevelopment project
- •Community Safety information management
- Resources Dept
- Pensions/payroll data matching

CERTIFICATION AUDITS

ASCHPP Dept

- •Trading Standards certificates for 2016/17 for: Scambusters, Operation Spinnaker & Operation Comfort
- Place Dept
- Platt Lane Playing Fields accounts
- Carbon Reduction Certificate
- Bus Services Operators' Grant