

## **REPORT OF CHAIRMAN OF THE GOVERNANCE AND ETHICS COMMITTEE CORPORATE RISK MANAGEMENT POLICY**

### **Purpose of the Report**

1. To seek approval for the proposed County Council Corporate Risk Management Policy.

### **Information**

2. Managing risk involves identifying potential threats and opportunities, and responding to these appropriately. The County Council's management of strategic risk is coordinated through the work of the 'Risk, Safety and Emergency Management Board' (RSEMB), under the leadership of the Service Director for Place and Communities.
3. The County Council has a 'Corporate Risk Management Strategy', which was updated by the RSEMB in December 2017, and subsequently approved by the Corporate Leadership Team in January 2018. The strategy sets out the role and purpose of Corporate Risk Management; the processes used to monitor major risks affecting the County Council; and the County Council's responses to these risks. It includes the proposed Corporate Risk Management Policy. The primary objective of Corporate Risk Management is identified as "to control those risks that may affect the achievement of the Council's overall Strategic Plan, and to do so in a cost-effective manner".
4. The Risk Management Strategy gained committee approval at the March 2018 meeting of the Governance and Ethics Committee. This Committee is also responsible for policy development in relation to governance and ethics, subject to approval by the Policy Committee or the Full Council. Accordingly, the proposed Risk Management Policy was included within the risk management strategy that was approved by the Governance and Ethics Committee. The proposed Corporate Risk Management Policy is included at **Appendix A** to this report.

### **Other Options Considered**

5. None.

### **Reason for Recommendation**

6. To establish an approved County Council Corporate Risk Management Policy.

### **Statutory and Policy Implications**

7. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

### **Financial Implications**

8. There are no specific financial implications arising from this report, however it is worthy of note that sufficient and appropriate risk management ensures the avoidance of unnecessary costs arising from foreseeable issues. It also helps to enable benefits to be realised from opportunities available to the County Council.

### **RECOMMENDATION**

9. It is recommended:

- 1) That the Policy Committee approves the proposed County Council Corporate Risk Management Policy.

**County Councillor Bruce Laughton**  
**Chairman, Governance and Ethics Committee**

**For any enquiries about this report please contact:** Robert Fisher, Group Manager, Emergency Planning and Registration, Tel: 0115 977 3681, Email: robert.fisher@nottscc.gov.uk

### **Constitutional Comments [SLB 19/04/2018]**

10. Policy Committee is the appropriate body to consider the content of this report.

### **Financial Comments [RWK 25/04/2018]**

11. The financial implications are set out in paragraph 8 of the report.

### **Background Papers and Published Documents**

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- Report 'Corporate Risk Management Strategy, Risk Register and Risk Management Policy' approved at the 14<sup>th</sup> March meeting of the Governance and Ethics Committee.

### **Electoral Division(s) and Member(s) Affected**

- All