

## **GOVERNANCE & ETHICS COMMITTEE – WORK PROGRAMME (AS AT 21 SEPTEMBER 2021)**

<b><u>Report Title</u></b>	<b><u>Brief Summary of agenda item</u></b>	<b><u>Lead Officer</u></b>	<b><u>Report Author</u></b>
<b>30<sup>th</sup> September 2021</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Jo Kirkby
Annual Review Letter 2020/21	To consider the annual review letter on	Marie Rowney	Jo Kirkby
Statement of Accounts 2020/21	To seek approval for the 2020/21 Statement of Accounts	Nigel Stevenson	Glen Bicknell
Internal Audit Plan Term 2 2021/22	To consider proposed audit coverage for Term 2	Rob Disney	Simon Lacey
Annual Fraud Report 2020-21	To consider this annual review of progress	Rob Disney	Simon Lacey
Corporate Risk Management 6-monthly update	To consider the updated corporate risk register and developments in the Council's approach to risk management	Rob Disney	Simon Lacey
Annual update on use of resources by Councillors	To consider the annual update	Marjorie Toward	Keith Ford
Financial Regulation Waivers 2020/21	To report on the number and value of financial waivers granted through 2020/21 (annual report)	Nigel Stevenson	Kaj Ghattaora
Community Governance Review		Marjorie Toward	Jo Toomey
<b>11 November 2021</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Auditor's Annual Report 2020/21	Grant Thornton summarises the findings from the work carried out by the external auditors over the last financial year (2020/21)	Nigel Stevenson	Glen Bicknell

Update on the Use of the Councillor's Divisional Fund	To consider six monthly update	Marjorie Toward	Keith Ford
Councillor Code of Conduct Review	To consider the findings of the working group	Marjorie Toward	Heather Dickinson
Whistleblowing Policy Review	To consider the outcome of the review	Marjorie Toward	Heather Dickinson / Catherine Haywood
Follow-up of Internal Audit recommendations – 6 monthly update	To consider an update on progress with implementing agreed actions from Internal Audit reports	Rob Disney	Simon Lacey
Internal Audit Term 2 (2021/22) Report and Term 3 (2021/22) Plan	To review the outcomes of Internal Audit's recent work and consider proposals for planned coverage in the next term	Rob Disney	Simon Lacey
Corporate Governance Update	To receive an update on progress against the Annual Governance Statement action plan for 2021/22	Rob Disney	Simon Lacey
<b>16 December 2021</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Review of virtual and hybrid meetings	To consider the impact of virtual and hybrid meetings including on public engagement	Marjorie Toward	Dem Services / Comms / ICT
Internal Audit Strategy 2022-2024	To consider a proposed refresh of the strategy to link in with the emerging Council Plan	Rob Disney	Rob Disney
Internal Audit Charter	To review the Charter for the operation of internal audit in the Council	Rob Disney	Rob Disney
<b>27 January 2022</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Fraud update report	To consider progress against the counter-fraud and counter-corruption action plan	Rob Disney	Simon Lacey

Regulation of Investigatory Powers Act 2000 (RIPA) Annual Report 2020-21	To consider the annual report	Marjorie Toward	Heather Dickinson / Emma Hunter
<b>10 March 2022</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Whistleblowing update	To update the committee on whistleblowing activity during 2021	Marjorie Toward	Heather Dickinson / Emma Hunter
Corporate Governance Update	To receive an update on progress against the Annual Governance Statement action plan for 2021.22	Rob Disney	Rob Disney
Internal Audit Term 3 (2021/22) Report and Term 1 (2022/23) Plan	To review the outcomes of Internal Audit's recent work and consider proposals for planned coverage in the next term	Rob Disney	Simon Lacey
Corporate Risk Management 6-monthly update	To consider the updated corporate risk register and developments in the Council's approach to risk management	Rob Disney	Simon Lacey
<b>21 April 2022</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Governance and Ethics Committee annual report	To consider the draft annual report and recommend to full council for consideration	Rob Disney	Rob Disney
Statement of Accounts 2021-22 – Accounting Policies	To consider the annual review of the accounting policies	Nigel Stevenson	Glen Bicknell
Informing the Risk Assessment – 2021/22 Statement of Accounts	To consider the risk assessment	Nigel Stevenson	Glen Bicknell
Annual Governance Statement 2021-22	To consider the draft statement for 2021/22	Rob Disney	Simon Lacey

Follow-up of Internal Audit recommendations – 6 monthly update	To consider an update on progress with implementing agreed actions from Internal Audit reports	Rob Disney	Simon Lacey
<b>9 June 2022</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Assurance Mapping 2021-22	To review the assurance provided from the map in 2021/22 and consider coverage for 2022/23	Rob Disney	Simon Lacey
Head of Internal Audit Annual Report 2021-22	To consider the Head of Internal Audit's annual opinion of the arrangements for governance, risk management and control	Rob Disney	Rob Disney
External Audit Plan 2021/22	To consider the External Audit Plan for the forthcoming audit	Nigel Stevenson	Glen Bicknell
Update on the Use of the Councillor's Divisional Fund	To consider six monthly update	Marjorie Toward	Keith Ford
<b>21 July 2022</b>			
Update on Local Government and Social Care Ombudsman Decisions	To consider any recent findings of the Local Government Ombudsman in complaints made against the County Council	Marie Rowney	Marie Rowney
Annual Fraud Report 2020-21	To review the incidence of fraud over the year and an update on risks and mitigations	Rob Disney	Simon Lacey
Internal Audit Term 2 2022/23	To consider proposed audit coverage for Term 2	Rob Disney	Simon Lacey