

ENVIRONMENT AND RESOURCES

AUDIT	RISK LEVEL	2011/12
<u>FINANCE</u>		
Corporate Budgetary Control	Medium	15
Bank Account Management	High	10
Purchase and Credit Cards	Medium	15
International Financial Reporting stds	Medium	15
Managed Audit work (Brought forward)		2
<u>SUB-TOTAL</u>		57
<u>TRANSPORT PROPERTY AND ENVIRONMENT</u>		
Concessionary Fares	High	15
Waste Management	High	15
Energy Management	High	10
Transport Planning and Strategy	High	15
Contracted Local Bus Services	High	15
Estate Management	High	15
Bus Service Operators Grant	Low	6
<u>SUB-TOTAL</u>		91
<u>HUMAN RESOURCES</u>		
Job Evaluation	High	15
Recruitment and Selection	Medium	15
<u>SUB-TOTAL</u>		30

AUDIT	RISK LEVEL	2011/12
<u>ICT - GENERAL</u>		
Disposal of IT Equipment	Medium	10
Operating Systems - LINUX	High	15
Service Delivery	Medium	15
Intranet Security and Maintenance	Medium	15
Virtual Machine Environment	High	15
County Supplies	Medium	10
Information Management	High	5
<u>ICT - APPLICATIONS</u>		
Mobile Phones	Medium	15
Government Connect	Low	10
Social Care Records (Framework, Abacus, SOCS)	High	10
Active Directory	Medium	15
Geographical Information Systems	Medium	15
Capita One (Education Management System)	Medium	15
SUB-TOTAL		165

AUDIT	RISK LEVEL	2011/12
<u>HIGHWAYS</u>		
Civil Parking Enforcement	High	10
Highway maintenance	High	15
Highways Insurance (B fwd)	Medium	3
Highways Asset Management System (B fwd)	Medium	6
SUB-TOTAL		34

AUDIT	RISK LEVEL	2011/12
<u>GENERAL AREAS</u>		
Counter Fraud and Corruption	High	10
New Business Management System	High	135
Business Continuity	Medium	15
Departmental Budgetary Control	High	15
Departmental Purchasing	Medium	10
Business Planning (B fwd)	Medium	15
National Fraud Initiative	Medium	25
Irregularity Investigations	High	20
Advice		10
Client liaison		10
Ext Audit liaison		3
MCCIAG/CAPG/CCAN		6
Liquidations		15
Tender Opening		4
Financial Regulations Waivers		10
<u>SUB-TOTAL</u>		303
TOTAL DAYS		680