

## Appendix 1

### Waiver Details

No.	Ref	Subject	Value	Department	Waiver Details	Approval/Rejection
1	396	CareCubed funding calculator	£36,384	ASCH	CareCubed is a dynamic and sustainable tool with +10 years of experience in calculating the fair cost of care for different care provider settings. Used in more than 100 organisations across the UK, the tool is available for use in both Adults and Children's services.	Approved - There are no known alternative providers in the marketplace which offer a like for like placement of the tool, particularly in relation to benchmarking, provider and LA joint usage and reporting. Without this tool, the department may reduce its ability to scrutinise residential care fees. Procurement category manager is engaged to source a compliant method to contract with this provider.
2	397	Homecare and Care Home Analytics	£140,000	ASCH	The DHSC requirements for the cost of care exercise split between Homecare 18+ and Care Homes 65+. There is not sufficient time to undertake a procurement exercise ready for work to start May 22.	Approved - Care analytics have advance knowledge due to work theyre already doing for other authorities and due to the tight deadlines, no time for a procurement exercise.
3	398	Education Software Solutions Ltd	£50,062	Chief Execs	This is the sole provider from which we can purchase the SSU Team charge and Lesson Monitor module. ESS own the SIMS, FMS and Lesson Monitor products which are necessary for the support provided to schools.	Approved - There are no frameworks available to procure the Team Charge and Lesson monitor module. Schools finance and ICT teams are working with procurement to ensure a compliant contract is in place.
4	399	Extension of the CareCubed (Care funding calculator) annual subscription	£36,384	ASCH	There are no known alternative providers in the marketplace which offer a like for like replacement of the tool, particularly in relation to LW benchmarking, provider and LA joint usage and reporting	Approved - There are no known alternative providers in the marketplace which offer a like for like replacement. Without this tool, the department may reduce its ability to scrutinise residential care fees. Procurement category manager is engaged to source a

						compliant method to contract with this provider.
5	400	Kinship Service	£42,000	Children & Families	This waiver for one year allows the department to assess the impact of the Kinship Care Service to decide whether the service should continue or taken inhouse.	Rejected - Kinship care is an ongoing service. The understanding of the need was set out as part of the budget process for determining the Budget in February 2022 so plenty of time to set out a commissioned ask to the market.
6	401	Household support fund	£6,475	Chief Execs	Print and distribute household support fund cheques. The stock of cheques within the council will not be sufficient to meet the demand and the cheque printer is out of service. There is a hard date of 30th September which cannot be made by printing and distributing cheques in house.	Approved - Alternatives were explored however no other viable option to meet the time frame.
7	402	CIPD	£19,000	Chief Execs	Nationally recognised body overseeing HR profession to undertake workforce review which will enable members and senior officers to make informed decisions in respect of budget and resourcing requirements.	Approved - CIPD are not on any frameworks so the only other option would be to go out for 3 quotes which would delay the work being carried out and unlikely to result in another supplier
8	403	NDS	£18,000	ASCH	Waiver to cover one year whilst the retender of the contract goes ahead, NDS provide a service for deaf service users and receive funding to do so.	Approved - temporary measure to allow compliant procurement to take place.
9	404	Princes Trust	£238,176	CFCS	Waiver to allow inspire to deliver Princes Trust TEAMS programme, no other provider has the contract to do it through Nottinghamshire	Rejected - No initial assessment of the most appropriate programme was done.

10	405	Rufford Sluice Gate	£21,516	Place	Survey of the surrounding structure and civils of the Rufford sluice gate to identify what remedial works are required in line with the 1975 Reservoir Act.	Approved - urgent work to ensure no further environmental impact and cost.
11	406	Metal Detector-Clayfields	£11,275	CFCS	Walk through metal detector with Mi2 sensors plus 2 engineers to build, test and calibrate on site. Model recommended by HMPS	Rejected - Two further quotes required
12	407	Cost of Living	£86,945	Place	The scheme will provide an in home service in addition to remote advice to support the following public health outcomes: reduce fuel poverty, reduce excess winter mortality and morbidity; and improve independent living and mental wellbeing in vulnerable and older people. Programme extended to work into summer 2023 in preparation from winter 2023.	Approve - critical programme to support vulnerable residents. Due to the urgency and no other provider in the market approved for 6 months.
13	408	LA Waiver - Caunton Dean Hole School	£52,772	CFCS	To provide and secure strategic leadership at Caunton Dean Hole Cof E in the absence of a permanent headteacher. To support the temporary arrangements to ensure the school continues to make rapid progress following a period of turbulence.	Rejected – Headteacher to be hired through a recruitment process or via the agency contract.
14	409	Sustainable Warmth Programme	£117,450	Place	Provide customer support for the Retrofit - Midlands Net Zero Hub. Provider will be paid through secured grant funding.	Approved - Midlands energy hub ran a tender where the vendor was awarded the contract. To fulfil funding agreement for the sustainable warmth fund, NCC needs to work with NEP therefore a direct award is required
15	410	Victim and Reparation Services	£37,500	CFCS	Extension to current contract of 3 months as procurement includes aspect of work which is currently undertaken by NCC and therefore may involve possible TUPE implications. 3 months will ensure continuation of statutory service whilst all HR implications are considered	Approved - temporary pause to retender process whilst TUPE is resolved.

16	411	PEN Guide	£9,279	Chief Execs	Extension to pension fund subscription with Pen Guide which covers all guidance and regulations from LGPS.	Approved - PEN Guide is a key repository for governing the Local Government Pension Scheme. There is no other provider that exists that brings together LGPS regulations, and the interpretation of the regulations. The waiver is therefore approved as there are no other options available.
17	412	Recruitment Funding	£50,000	ASCH	To undertake a joint social care recruitment campaign with Nottingham City Council. Utilising £90,000 from the Adult Social Care Discharge Fund to increase workforce capacity in our external provider market and promote the sector and career opportunities across the ICB.	Approved - Shortness of timeframes for grant funding and immediate pressure on the care sector.
18	413	MyNotts App	£160,000	Chief Execs	3 year contract for app was signed pre Covid, a year of contract was lost due to covid and lack of resource. Reprocurement of the app would cost significantly more	Approved - continue working with the existing supplier for licence costs, maintenance and continued delivery. Any further development work is not included in this approval. Procurement team is closely working with the department and discussions have taken place with the current Supplier who has agreed to apply for G Cloud 14 when it opens for applications. This would enable NCC to then follow a compliant route for future procurement.
19	414	Hilton Gr Rushcliffe Extra Care	£288,358	ASCH	Extra care services in Rushcliffe to bridge between current contract ended and new contract commencement	Approved - Continuation of service provision is required with a set process for the new contract to commence Oct 2024
20	415	Cricketers Ct Rush Extra Care	£528,656	ASCH	Extra care services in Rushcliffe to bridge between current contract ended and new contract commencement	Approved - Continuation of service provision is required with a set process for the new contract to commence Oct 2024

21	416	GB Connexus IQ Investigate	£9,500	Place	Provides essential intelligence development tool for trading standards and is the only provider in the market. Going through Gcloud would cost significantly more.	Approved - No other options available and this is the most cost effective route
22	417	Governor Hub	£56,569	CFCS	Online platform used by schools governors to access and share information.	Rejected - Retrospective
23	418	AVC Wise	£0.00	Chief Execs	SCAVC scheme linked to the LGPS allowing both employee and employer to benefit from national insurance savings.	Approved - Only provider to cover this scheme and there is no cost to the council.