

Finance and Major Contracts Management Committee

Monday, 17 July 2017 at 14:00

County Hall, County Hall, West Bridgford, Nottingham, NG2 7QP

AGENDA

- | | | |
|---|--|---------|
| 1 | Minutes of the last meeting 19 June 2017 | 3 - 4 |
| 2 | Apologies for Absence | |
| 3 | Declarations of Interests by Members and Officers:- (see note below)
(a) Disclosable Pecuniary Interests
(b) Private Interests (pecuniary and non-pecuniary) | |
| 4 | Financial Monitoring Report Period 2 2017-18 | 5 - 16 |
| 5 | Contract Management - Presentation | |
| 6 | Contracts in Excess of £10m or Otherwise of Major Significance | 17 - 24 |
| 7 | Work Programme | 25 - 32 |

None

Notes

- (1) Councillors are advised to contact their Research Officer for details of any Group Meetings which are planned for this meeting.

- (2) Members of the public wishing to inspect "Background Papers" referred to in the reports on the agenda or Schedule 12A of the Local Government Act should contact:-

Customer Services Centre 0300 500 80 80

- (3) Persons making a declaration of interest should have regard to the Code of Conduct and the Council's Procedure Rules. Those declaring must indicate the nature of their interest and the reasons for the declaration.

Councillors or Officers requiring clarification on whether to make a declaration of interest are invited to contact Democratic Services (Tel. 0115 977 3141) prior to the meeting.

- (4) Councillors are reminded that Committee and Sub-Committee papers, with the exception of those which contain Exempt or Confidential Information, may be recycled.
- (5) This agenda and its associated reports are available to view online via an online calendar - <http://www.nottinghamshire.gov.uk/dms/Meetings.aspx>

Meeting FINANCE AND MAJOR CONTRACTS MANAGEMENT COMMITTEE

Date 19 June 2017 (commencing at 2pm)

Membership

Persons absent are marked with an 'A'

COUNCILLORS

Richard Jackson (Chair)
Roger Jackson (Vice Chair)
John Ogle (Vice Chair)

John Clarke
Keith Girling
John Longdon
Diana Meale

Mike Pringle
Francis Purdue-Horan
Helen-Ann Smith
Andy Wetton

OFFICERS IN ATTENDANCE

Pete Barker Democratic Services Officer
Jayne Francis-Ward Corporate Director - Resources
Nigel Stevenson Service Director - Finance, Procurement and Improvement

CHAIR AND VICE CHAIRS

RESOLVED 2017/001

That the appointment by the County Council on 25 May 2017 of Councillor Richard Jackson as Chairman and Councillors Roger Jackson and John Ogle as Vice Chairmen, be noted.

TERMS OF REFERENCE AND MEMBERSHIP

RESOLVED 2017/002

That the committee's membership and terms of reference be noted.

MINUTES OF THE LAST MEETING

The minutes of the last meeting of the Finance & Property Committee held on 20 March 2017, having been circulated to all Members, were taken as read and were confirmed, and were signed by the Chair.

APOLOGIES FOR ABSENCE

Councillor Longdon replaced Councillor Kerry, Councillor Purdue-Horan replaced Councillor Quigley and Councillor Smith replaced Councillor Hollis, all for this meeting only.

DECLARATIONS OF INTERESTS

No declarations of interest were made.

DRAFT MANAGEMENT ACCOUNTS 2016/17

RESOLVED: 2017/003

- 1) To note the provisional 2016/17 year end revenue position.
- 2) To recommend the level of County Fund Balances for approval by County Council as set out in Appendix B.
- 3) To note the movements in reserves as detailed in Appendix B.
- 4) To note the final position on contingency requests as detailed in Appendix C.
- 5) To approve the capital variations outlined in Appendix D.
- 6) To note the capital programme and its financing.

FINANCIAL MONITORING REPORT: PERIOD 1 2017/18

RESOLVED: 2017/004

To note the individual Committee revenue budgets for 2017/18.

- 1) To approve the contingency requests received to date.
- 2) To approve the additional use of the 2016/17 underspend.
- 3) To note the Council's Balance Sheet transactions.
- 4) To note the performance of the Procurement Team.
- 6) To note the performance of the Accounts Payable and Accounts Receivable teams.

MANAGEMENT OF MAJOR CONTRACTS – PRESENTATION

Jayne Francis-Ward outlined the options for Committee going forward.

RESOLVED: 2017/005

That the contents of the presentation be noted.

WORK PROGRAMME

A more detailed Work Programme will be brought to the July meeting of the Committee.

RESOLVED: 2017/006

That the Committee's work programme be noted.

The meeting closed at 2.30pm

17 July 2017**Agenda Item: 4****REPORT OF THE SERVICE DIRECTOR – FINANCE, PROCUREMENT AND
IMPROVEMENT****FINANCIAL MONITORING REPORT: PERIOD 2 2017/2018****Purpose of the Report**

1. To provide a summary of the Committee revenue budgets for 2017/18.
2. To request approval for an additional contingency request.
3. To provide a summary of Capital Programme expenditure to date and year-end forecasts
4. To inform Members of the Council's Balance Sheet transactions.

Information and Advice**Background**

5. The Council approved the 2017/18 budget at its meeting on 23 February 2017. As with previous financial years, progress updates will be closely monitored and reported to management and Committee each month.
6. This report is based on upon the revised Committee structure that was approved at Full Council on 25 May 2017.

Summary Revenue Position

7. The table below summarises the revenue budgets for each Committee for the forthcoming financial year. A £2.7m net underspend is currently predicted. In light of the Council's continuing financial challenges, the key message to effectively manage budgets and wherever possible deliver in-year savings is being reinforced.

Table 1 – Summary Revenue Position

Forecast Variance as at Period 1 £'000	Committee	Annual Budget £'000	Actual to Period 11 £'000	Year-End Forecast £'000	Latest Forecast Variance £'000
	- Children & Young People	132,258	9,236	132,052	(206)
	- Adult Social Care & Public Health	222,207	13,838	220,156	(2,051)
	- Community & Place	112,024	12,218	112,068	44
	- Policy	34,907	7,284	34,465	(442)
	- Finance & Major Contracts Management	2,962	1,866	2,807	(155)
	- Governance & Ethics	7,135	988	7,025	(110)
	- Personnel	16,671	2,143	16,644	(27)
	- Net Committee (under)/overspend	528,164	47,573	525,217	(2,947)
	- Central items	(16,108)	1,288	(16,157)	(49)
	- Schools Expenditure	(56)	-	(56)	-
	- Contribution to/(from) Traders	-	(8)	-	-
	- Forecast prior to use of reserves	512,000	48,853	509,004	(2,996)
	- Transfer to / (from) Corporate Reserves	(22,914)	-	(22,914)	-
	- Transfer to / (from) Departmental Reserves	(8,304)	-	(8,003)	301
	- Transfer to / (from) General Fund	(5,500)	-	(5,500)	-
	- Net County Council Budget Requirement	475,282	48,853	472,587	(2,695)

Committee and Central Items

The main variations that have been identified are explained in the following section.

Adult Social Care & Health (forecast £2.1m underspend)

8. The underlying forecast is an underspend of £2.1m (before any adjustments for new savings proposals or budget reductions).
9. Throughout the County, the significant variances across the service types relating to community care packages are as follows:
 - Residential and Nursing Care is forecasting a £0.5m underspend primarily in Older Adults.
 - Supported accommodation is forecasting a £0.9m overspend across Younger and Older Adults
 - Homecare is reporting an overspend of £1.8m primarily in Older Adults.
 - External Day services are forecasting an underspend of £0.5m across Younger and Older Adults
 - Direct Payments are reporting a combined overspend of £0.8m across Older and Younger Adults.
 - Staffing budgets are now forecasting an overspend of £1.5m across Older and Younger adults. This will be rectified with budget virements for the temporary money.
 - Continuing Health Care income is forecasting £4.6m more than budget due to the improved processes.

- Predicted Needs and Transitions are now forecasting a £0.8m underspend.
10. In addition there are further forecast underspends within the market development team (£0.2m), a potential overachievement of client contribution income (£0.3m) and Public Health forecasting an underspend (£0.2m).
11. £1.0m of savings associated with the reviews of packages have not yet been factored into this forecast as the reviews have not been completed. In addition, a detailed review of budgets is being undertaken with an anticipation that the budget will be adjusted to reflect underlying budget underspends and areas of pressure

Requests for contingency

12. The Council's budget includes a contingency budget of £5.1m to cover redundancy costs, slippage of savings, the November increase of the Living Wage Foundation rates paid to Authority employees, Business Rates Revaluations, the Apprenticeship Levy and unforeseen events.
13. As reported to Policy Committee in June 2017, a request for contingency has been submitted to provide up to £0.16m of funding in 2017/18 to meet the cost of establishing and resourcing an Information Governance Improvement Plan and Programme to deliver improvements in this key area

Progress with savings and risks to the forecast

14. Council on 23 February 2017 approved savings proposals of £1.6m for delivery over the four year period 2017-21. These proposals are in addition to those approved previously by County Council. Officers will continue to monitor the deliverability of individual schemes and targets as part of the budget monitoring process and reflect achievability in the forecast outturn.
15. Issues associated with the achievement of savings relating to Adult Social Care Transport are being reviewed. The outcome of the reviews will be reported to the Corporate Leadership Team and subsequently to Finance and Major Contracts Management Committee.

Balance Sheet

General Fund Balance

16. Members were asked to approve the 2016/17 closing General Fund Balance of £27.7m at Council on 13 July 2017. The 2017/18 budget approves utilisation of £4.5m of balances which will result in a closing balance of £23.2m at the end of the current financial year. This is 4.9% of the budget requirement.
17. Following approval at Finance and Major Contracts Management in June 2017, a further £1.0m of General Fund balance is now earmarked for use to repair potholes across the County.

Capital Programme

18. Table 2 summarises changes in the gross Capital Programme for 2017/18 since approval of the original programme in the Budget Report (Council 23/02/17):

Table 2 – Revised Capital Programme for 2017/18

	2017/18 £'000 £'000	
Approved per Council (Budget Report 2017/18)		102,520
Variations funded from County Council Allocations : Net slippage from 2016/17 and financing adjustments	23,426	
		23,426
Variations funded from other sources : Net variation from 2016/17 and financing adjustments	(2,956)	
		(2,956)
Revised Gross Capital Programme		122,990

19. Table 3 shows actual capital expenditure to date against the forecast outturn at Period 2.

Table 3 – Capital Expenditure and Forecasts as at Period 2

Committee	Revised Capital Programme £'000	Actual Expenditure to Period 2 £'000	Forecast Outturn £'000	Expected Variance £'000
Children & Young People	42,419	2,310	39,056	(3,363)
Adult Social Care & Health	7,212	52	7,180	(32)
Community & Place	48,338	250	48,227	(111)
Policy	23,506	1,021	23,302	(204)
Finance and Major Contracts	220	(193)	220	-
Personnel	295	-	295	-
Contingency	1,000	-	1,000	-
Total	122,990	3,440	119,280	(3,710)

Children and Young People

20. In the Children and Young People's capital programme, an underspend of £3.4m has been identified. This is mainly due to a £2.9m forecast underspend against the Schools Capital Refurbishment Programme. Following scrutiny and challenge of final accounts by the commissioning and delivery property teams, the cost of completed projects are coming in lower than previously forecast. It is also anticipated that an element of the 2017/18 programme will slip into the next financial year as a result of the late notification of grant. A further £0.4m underspend is forecast against the Beardall Street Phase 2 project.

Financing the Approved Capital Programme

21. Table 4 summarises the financing of the overall approved Capital Programme for 2017/18.

Table 4 – Financing of the Approved Capital Programme for 2017/18

Committee	Capital Allocations £'000	Grants & Contributions £'000	Revenue £'000	Reserves £'000	Gross Programme £'000
Children & Young People	31,477	10,803	-	139	42,419
Adult Social Care & Health	6,165	984	-	63	7,212
Community & Place	18,920	28,383	600	435	48,338
Policy	22,420	817	-	269	23,506
Finance & Major Contracts	-	-	-	220	220
Personnel	295	-	-	-	295
Contingency	1,000	-	-	-	1,000
Total	80,277	40,987	600	1,126	122,990

22. It is anticipated that borrowing in 2017/18 will increase by £19.9m from the forecast in the Budget Report 2017/18 (Council 23/02/2017). This increase is primarily a consequence of:

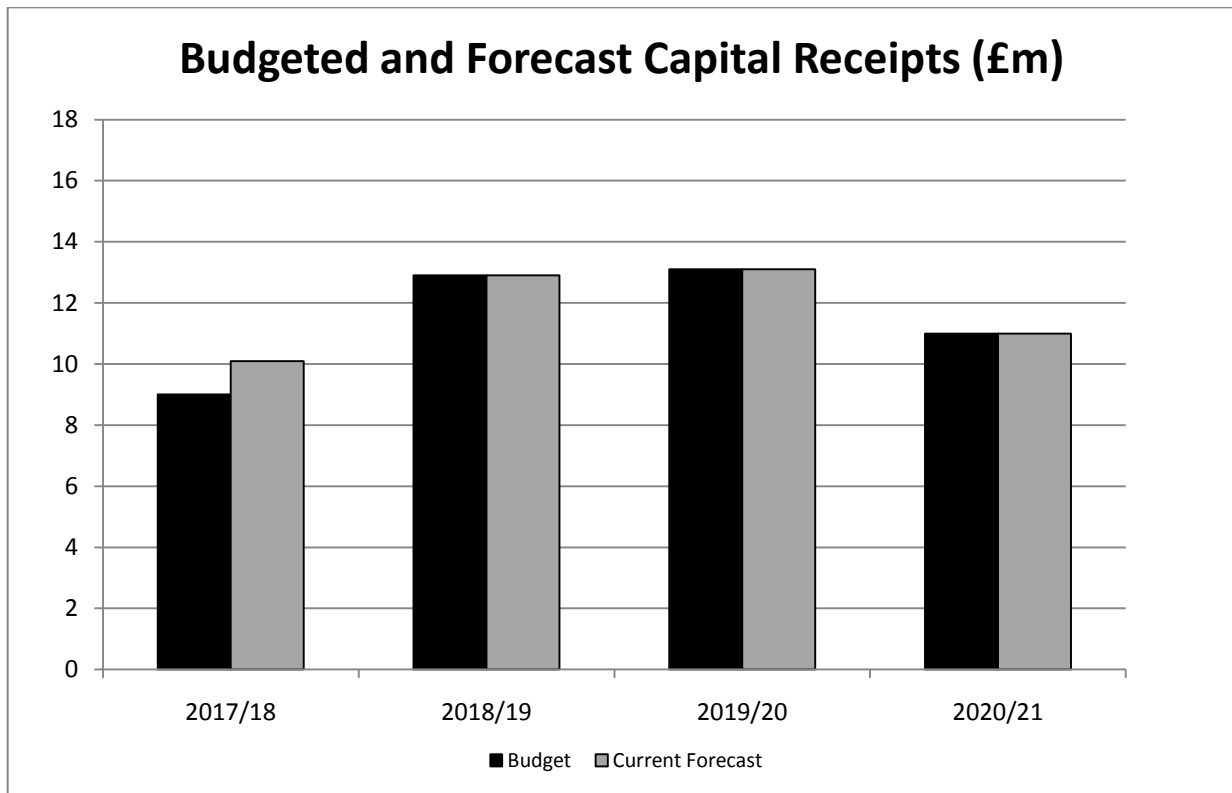
- £23.4m of net slippage from 2016/17 to 2017/18 and financing adjustments funded by capital allocations.
- Net slippage in 2016/17 of £3.5m of capital expenditure funded by capital allocation identified as part of the departmental capital monitoring exercise.

Prudential Indicator Monitoring

23. Performance against the Council's Prudential Indicators is regularly monitored to ensure that external debt remains within both the operational boundary and the authorised limit.

Capital Receipts Monitoring

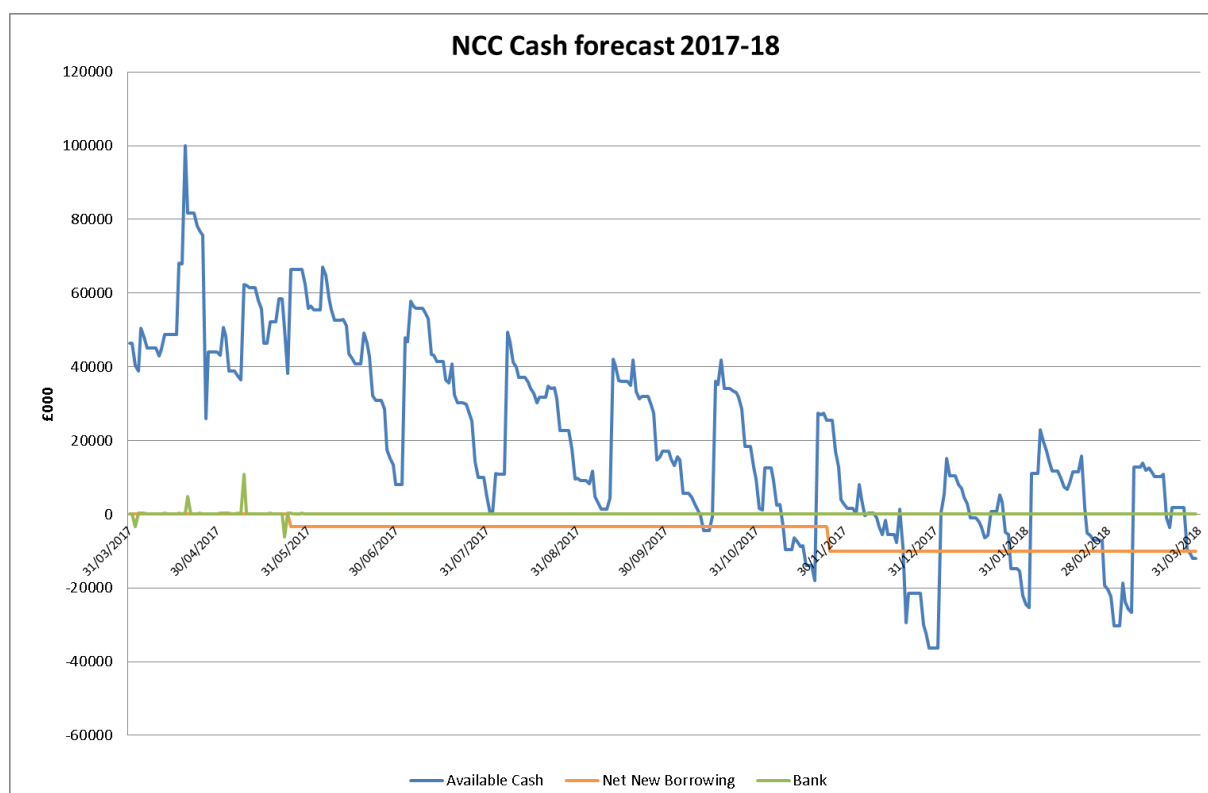
24. Anticipated capital receipts are regularly reviewed. Forecasts are currently based on estimated sales values of identified properties and prudently assume a slippage factor based upon a review of risk associated with each property.
25. The chart below shows the budgeted and forecast capital receipts for the four years to 2020/21.



26. The dark bars in the chart show the budgeted capital receipts included in the Budget Report 2017/18 (Council 23/02/2017). These capital receipts budgets prudently incorporated slippage, giving a degree of “protection” from the risk of non-delivery.
27. The capital receipt forecast for 2017/18 is £10.1m. To date in 2017/18, capital receipts totalling £0.2m have been received.
28. The number and size of large anticipated receipts increase the risk that income from property sales will be below the revised forecasts over the next three years. Although the forecasts incorporate an element of slippage, a delay in receiving just two or three large receipts could result in sales being lower than the forecast.
29. Current Council policy (Budget Report 2017/18) is to use the first £2.3m of capital receipts to fund in-year transformation costs. Any capital receipts in excess of this will set against the principal of previous years’ borrowing. This reduces the amount of Minimum Revenue Provision (MRP) to be set aside each year. It is important to regularly monitor capital receipt forecasts and their effect on the overall revenue impact of the Capital Programme.

Treasury Management

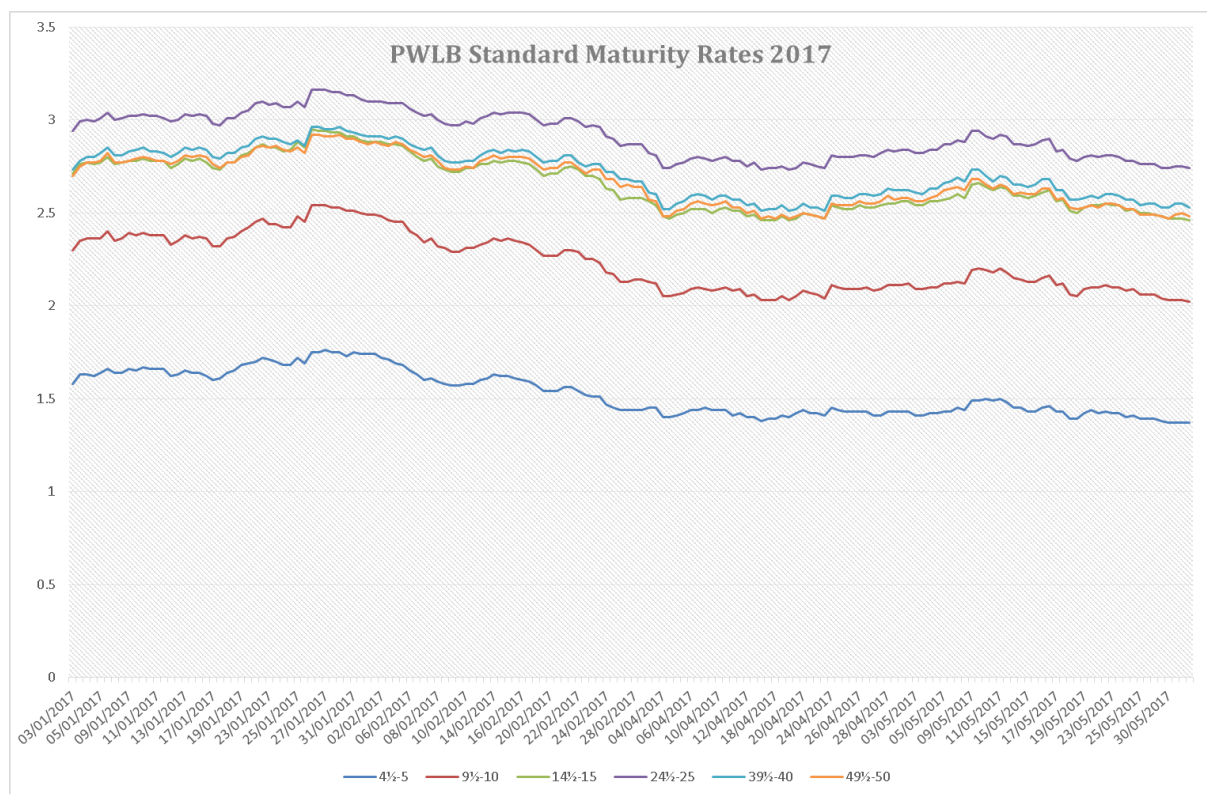
30. Daily cash management aims for a closing nil balance across the Council's pooled bank accounts with any surplus cash invested in accordance with the approved Treasury Management Policy. Cash flow is monitored by the Senior Accountant (Pensions & Treasury Management) with the overall position reviewed quarterly by the Treasury Management Group.
31. The Cash forecast chart below shows the actual cash flow position for the financial year 2017/18. Cash inflows are typically higher at the start of the year due to the front loading receipt of Central Government grants, and the payment profile of precepts. Cash outflows, in particular capital expenditure, tend to increase later in the year, and the chart shows a clear need for the Council to borrow during the course of the year.



32. The chart above gives the following information:

Available cash	Surplus cash (invested in call accounts or money market funds) or a shortfall of cash indicating a need to borrow.
Net new borrowing	New loans taken during the year net of principal repayments on existing borrowing.
Bank	That element of surplus cash held in the Council's Barclays Bank account.

33. The Treasury Management Strategy for 2017/18 identified a need to borrow approximately £30m over the course of the year to (a) fund the capital programme, (b) replenish internal balances and to (c) replace maturing debt. It is likely that the first tranche of this will be taken prior to the end of July (at which point the forecast shown in the chart above gets close to zero). PWLB interest rates continue to be monitored closely to allow dips in rates to feed into decisions on new borrowing. The Council remains able to take advantage of the PWLB “certainty rate” which is 0.2% below the standard rates. The chart below shows the movement in standard PWLB maturity rates over the course of 2017 so far.



34. Borrowing decisions will take account of a number of factors including:

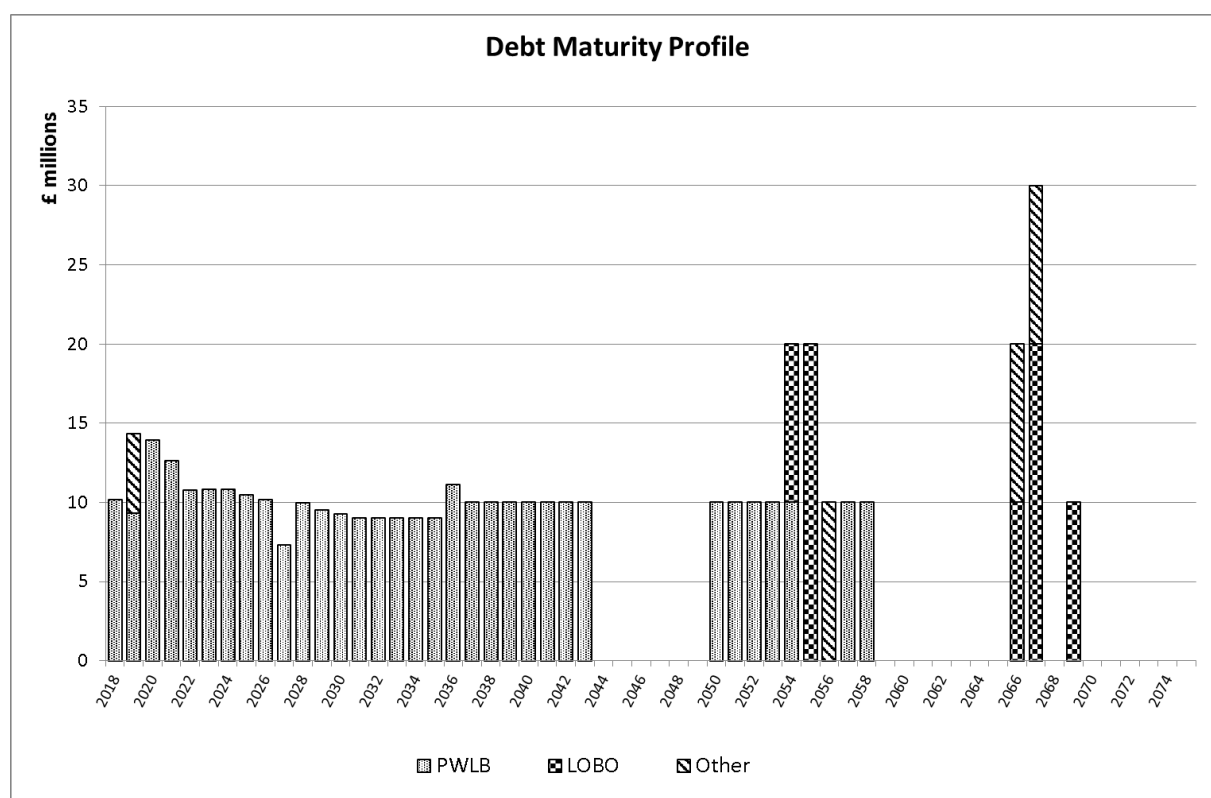
- expected movements in interest rates
- current maturity profile
- the impact on revenue budgets and the medium term financial strategy
- the treasury management prudential indicators.

35. The maturity profile of the Council’s debt portfolio is shown in the chart below. The PWLB loans are reasonably well distributed and have a maximum duration of 41 years. When deciding on the lengths of future loans the Council will factor in any gaps in its maturity profile, with a view to minimising interest rate risk, but will consider this alongside other financial factors.

36. Longer-term borrowing (maturities up to 52 years) was obtained from the market some years ago in the form of ‘Lender’s Options, Borrower’s Options’ loans (LOBOs). These loans are treated as fixed rate loans (on the basis that, if the lender ever opts to increase the rate, the Council will repay the loan) and were all taken at rates lower than the prevailing PWLB rate at

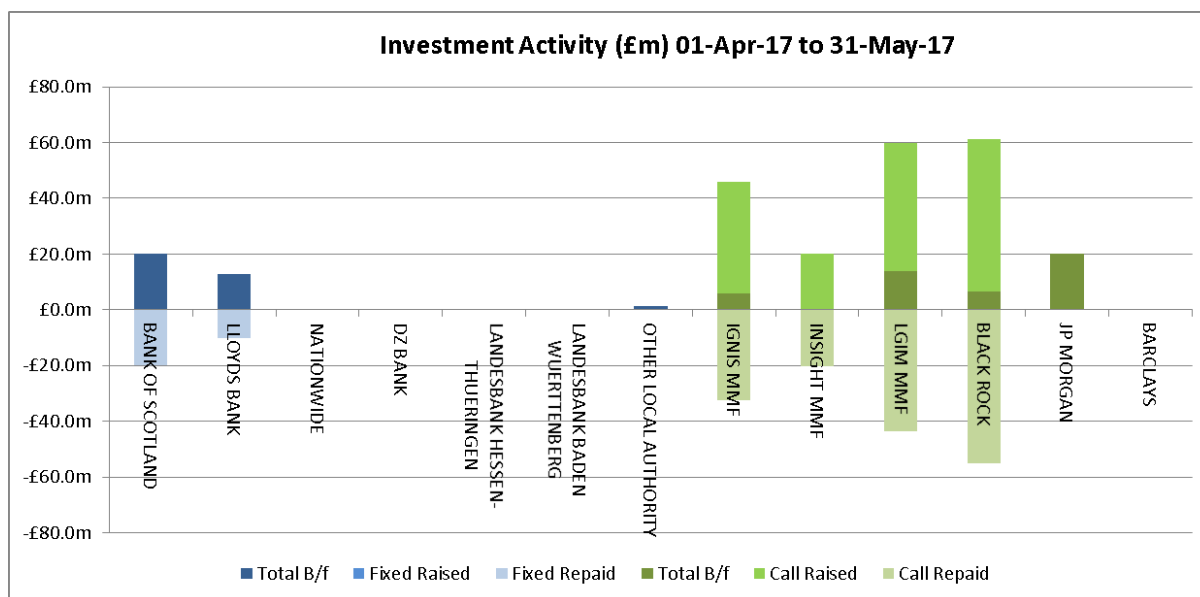
the time. However, LOBOs could actually mature at various points before then, exposing the Council to some refinancing risk.

37. The 'other' loans denote borrowing from the money markets where the main objective was to minimise interest costs, and also includes loans from Barclays Bank that were converted from LOBOs to fixed-term loans.



38. The investment activity for 2017/18 to the end of May 2017 is summarised in the chart and table below. Outstanding investment balances totalled £81m at the start of the year and approximately £61m at the end of the period. This reduction includes the effect of making a £39m contribution to the Nottinghamshire Pension Fund in order to reduce the deficit.

	Total B/F £ 000's	Raised £ 000's	Repaid £ 000's	Outstanding £ 000's
Bank of Scotland	20,000	-	(20,000)	-
Lloyds Bank	13,000	-	(10,000)	3,000
Other Local Authority	1,500	-	-	1,500
IGNIS MMF	6,000	40,000	(32,550)	13,450
Insight MMF	-	20,200	(20,200)	-
LGIM MMF	13,950	45,800	(43,500)	16,250
Black Rock	6,500	54,900	(55,000)	6,400
JP Morgan	20,000	-	-	20,000
Total	80,950	160,900	(181,250)	60,600



39. As part of the Council's risk management processes all counterparty ratings are regularly monitored and lending restrictions changed accordingly.

Statutory and Policy Implications

40. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the NHS Constitution (Public Health only), the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATIONS

- 1) To note the revenue budget expenditure to date and year end forecasts.
- 2) To approve the contingency request.
- 3) To note the Capital Programme expenditure to date and year end forecasts.
- 4) To note the Council's Balance Sheet transactions.

Nigel Stevenson Service Director – Finance, Procurement and Improvement

For any enquiries about this report please contact:
 Keith Palframan, Group Manager, Financial Strategy and Compliance
 Tamsin Rabbitts - Senior Accountant, Pensions and Treasury Management

Constitutional Comments (KK 05/06/2017)

41. The proposals in this report are within the remit of the Finance and Major Contracts Management Committee.

Financial Comments (GB 22/06/2017)

42. The financial implications are stated within the report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

17 July 2017**Agenda Item: 6****REPORT OF SERVICE DIRECTOR – FINANCE, PROCUREMENT &
IMPROVEMENT****CONTRACTS IN EXCESS OF £10 MILLION OR OTHERWISE OF MAJOR
SIGNIFICANCE****Purpose of the Report**

1. To provide Members with information relating to contracts that the authority has in place that are in excess of £10 million or otherwise of major significance.
2. To provide members with information for consideration when determining the work plan of the committee.

Information and Advice

3. The Council procures goods, works and services from a variety of providers, the value of contracts procured vary, and this report focuses on those contracts that have a life time value of over £10m, or that are considered to be of major significance.
4. Major significance has been categorised as those contracts that deliver key strategic products or services with a reliance on their long term availability. Including those with strategic partnership arrangements.
5. Each contract has a contract type as defined below;

Framework Agreements:

A framework agreement is an 'umbrella agreement' that sets out the terms (particularly relating to price, quality and quantity) under which individual contracts (call-offs) can be made throughout the period of the agreement.

National Framework Agreement

Is an arrangement that has been put into place by a professional buying organisation acting on a National basis managing the procurement of common goods and services, utilising these contracts allows public sector organisations with similar needs to achieve value by buying as a single customer.

NCC framework Agreement

NCC agreement that has been specifically procured for the needs of the authority to call off requirements as they arise during the agreement term.

Standalone contract

Unlike a framework agreement a standalone contract has specific goods or service deliverables defined for the period of the agreement.

Dynamic Purchasing Systems (DPS)

Is similar to a framework agreement however unlike a framework agreement, suppliers can apply to join the DPS at any point during its cycle, making this a flexible approach to contracting in buoyant markets.

Joint venture

Is an organisation created by two or more parties, generally characterised by shared ownership, shared returns and risks.

Community Benefit Society

An organisation that conduct business for the benefit of their community.

Public Finance Initiative

Is a method of providing funds for major capital investments where private firms are contracted to complete and manage public projects.

6. The contracts are listed are shown by department.

Statutory and Policy Implications

7. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATION/S

- 1) Members to consider the list of contracts in determining the work plan of the committee.
- 2) Members to consider the criteria for contracts of major significance.

Nigel Stevenson

Service Director – Finance, Procurement & Improvement

For any enquiries about this report please contact:

Clare Winter - Group Manager, Procurement

Constitutional Comments (KK 06/07/2017)

8. The proposals in this report are within the remit of the Finance & Major Contracts Management Committee

Financial Comments (SES 05/07/17)

9. There are no specific financial implications arising directly from this report.

Background Papers and Published Documents

10. Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

Title	Supplier	Department	Description	End date	Contract period (years)	Contract Value	Annual Value	Contract Manager	Joint Contract	Comments	Contract Type
Managed Service Provision for Agency Staff	Reeds	Resources	A call off arrangement that covers the majority of agency staff in the authority	31.08.2017	3	£60,000,000	£20,000,000.00	Gill Elder	No	Extension taken, new contract end date 31.08.2018. Next steps meeting 21.09.2017, re procure 2018.	National Framework Agreement
Software Reseller	Specialist Computer Centre	Resources	A framework agreement that enables us to call off software licensing and software as a service (SAAS)	31.08.2020	4	£10,000,000	£2,500,000.00	Various in ICT depending on software being called off	Available to other authorities in the East Midlands		NCC framework Agreement
Managed WAN service	Virgin Media Business	Resources	The provision and management of our wide area network which includes internet.	27.11.2020	6	£25,000,000	£4,166,666.67	Yacine Zerdazi	Available to other authorities in the east midlands, all EM police have bought through it and Nott's HC trust have bought some links through it. 1.75% contract management fee.	Extension only available for transition if moving supplier	National Framework Agreement
Supply of Grocery and Frozen foods	Brakes	Place	Supply and delivery of Frozen and Grocery food stuffs	30.09.2019	2	£28,000,000	£14,000,000.00	John Hughes/Donna Baines	Yes with Nottingham City Council, but they have signed a separate contract		National Framework Agreement
Utilities - Gas	Corona	Place	Gas supply	Ongoing	8	£20,000,000	£2,500,000.00	Mick Allen	No	Doing a review shortly and market test to gain savings	National Framework Agreement
Utilities - Electric - Street lighting	EDF	Place	Electric, street lights	Ongoing	8	£36,000,000	£4,500,000.00	Mick Allen	No	Doing a review shortly and market test to gain savings	National Framework Agreement
Utilities - Electric - Buildings NON half hourly	British Gas	Place	No Half Hourly	Ongoing	8	£32,000,000	£4,000,000.00	Mick Allen	No	Doing a review shortly and market test to gain savings	National Framework Agreement
Utilities - Electric - Buildings half hourly	EDF	Place	Half Hourly	Ongoing	8	£40,000,000	£5,000,000.00	Mick Allen	No	Doing a review shortly and market test to gain savings	National Framework Agreement
Utilities - Wood fuels	High Park Industries	Place	Wood pellets, Biomass	04.07.2017	2	£2,400,000	£1,200,000.00	Mick Allen	No	12 month extension agreed to 2018.	Standalone contract
Utilities - Liquid Fuels	Certas	Place	Supply of Bulk Liquid Fuels	30.09.2018	2	£600,000	£300,000.00	Various Depts.	No		National Framework Agreement
Transport and Travel - Fixed Term, incl SEN	Various, approx. 112	Place	Fixed route Transport	31.09.2017	3	£7,585,000	£2,528,333.33	TTS	No, but new contract will have buy in from other Public Bodies	Fixed route transport over 6 weeks duration, taxis, buses etc new one will be DPS	Standalone contract
Transport and Travel - AD-HOC	Various, approx. 100	Place	AD-HOC taxi	31/12/2017	3	£2,100,000	£700,000.00	TTS	No, but new contract will have buy in from other Public Bodies	Short term transport provision, School meal distribution, want to finish Fixed route before starting this. DPS going forward	NCC framework Agreement
ARC	SCAPE, ex NCC Property	Place	Buildings repair and Maintenance, new Builds etc.	31.5.2026	10	£440,000,000	£44,000,000.00	Andrew Stevens/Mick Allen	No	Total Building control	Joint Venture
VIA	Cornwall County Council/NCC	Place	Fleet and Highways	31.03.2026	10	£470,000,000	£47,000,000.00	Gary Wood	No	highways and Fleet control	Joint Venture
PFI - East Leake	East Leake Schools Ltd	Place	PFI	31.12.2027	25	£76,750,000	£3,070,000.00	Mick Allen	No	PFI	PFI
PFI - Bassetlaw	Transform Schools Ltd Bassetlaw	Place	PFI	31.12.2027	25	£77,000,000	£3,080,000.00	Mick Allen	No	PFI	PFI
PFI - Waste	Veolia	Place	PFI	31.12.2032	32	£832,000,000	£26,000,000.00	Mick Allen	No	PFI	PFI
Provision of Children Centre Services	Nottinghamshire Healthcare Trust	CFCS	Delivery of children centre services across Nottinghamshire Children Centres	01.05.2018	3	£59,000,000	£19,666,666.67	Irene Kakoulis	No	The contract has been extended until 2020 with reduced budget for June 2018 to March 2020 - budget reduction being negotiated	Standalone contract
National Water Sports Centre	Serco	CFCS	Delivery of services and activities at the Water Sports Centre	31.03.2034	21	£6,750,000	£321,428.57	Mark Croxton	No	Under 10 million, but of strategic importance	Standalone contract

Title	Supplier	Department	Description	End date	Contract period (years)	Contract Value	Annual Value	Contract Manager	Joint Contract	Comments	Contract Type
Supply of Care and Accommodation	Prospects Group and The Priory Group	CFCS	Delivery of children's homes across Nottinghamshire	31.03.2022	7	£98,000,000	£14,000,000.00	Ty Yousef	Joint procurement with City but individual contracts		Standalone contract
Supporting Young People 16-21 to live independently	Framework Housing and Nottinghamshire Housing Association	CFCS	Semi Independent Living support Framework agreements across Nottinghamshire	31.07.2020	3	£20,000,000	£8,000,000.00	Beth Downing	No		NCC framework Agreement
Inspire Culture, Learning & Libraries	Inspire	CFCS	Provision of library, learning and cultural services	31.04.2021	5	£100,000,000	£20,000,000.00	Mark Croxton	No	In addition Inspire receive grant funding from the Education Funding Agency and Skills Funding Agency of approx. £3m per annum	Community Benefit Society
Rufford Country Park	Parkwood	CFCS	Delivery of Commercial Operations across the Park	02.01.2027	10	£30,000,000	£3,000,000.00	Mark Croxton	No		Standalone contract
Sherwood Visitor Centre and County Park	RSPB	CFCS	Provision of visitor centre and activities	31.03.2035	20	£4,300,000	£215,000.00	Mark Croxton		Under 10 million, but of strategic importance	Standalone contract
Provision of information, advice, guidance and support for young people	Futures	CFCS	Information, advice, guidance and support for young people	31.03.2018	5	£9,500,000	£1,900,000.00	Denis McCarthy	Yes with Nottingham City Council,	Council owned company. Contract will be reviewed in the Autumn 2017	Standalone contract
Access to Advocacy and Statutory Advocacy	POhWER	ASCH	<ul style="list-style-type: none"> Independent Mental Capacity Advocates (IMCA) Independent Mental Health Advocates (IMHA) Paid Representatives NHS Complaints Advocacy (ICA) Care Act Advocacy 	30.09.2019	3	£12,500,000	£4,166,666.67	Cherry Dunk	Yes CCG's and Nottingham City Council	CCG's Contribution may reduce after year 1 of the Contract.	Standalone contract
Care, Support & Enablement Services for Younger Adults	Community Integrated Care, Fitzroy, Nottingham Community Housing, United Response	ASCH	This is for CQC registered Providers to deliver care and support within a geographically based area, to Younger Adults with Disabilities and/or Complex Needs and who have chosen a 'managed budget',	31.08.2017	3	£30,000,000	£10,000,000.00	Cherry Dunk	No	1st extension applied is for 6 months only to February 2018.	NCC framework Agreement
Dynamic Provider List Housing for People with Care and Support Needs	Multiple	ASCH	This Dynamic Purchasing System will enable commissioners to procure a sufficient supply of suitable accommodation with housing management services for people in need of care and support.	08.02.2023	7	£150,000,000	£21,428,571.43	Cherry Dunk	Yes Rutland County, Nottingham City, Derby City, Lincolnshire County, Derbyshire County	Call Off Mini Competitions put Contracts in place.	DPS
Dynamic Provider List - Residential Care for Younger Adults	Multiple	ASCH	This Dynamic Purchasing System will enable the Authority to procure appropriate residential care services offering accommodation, care and support, which promote independence and help people move into supported accommodation.	31.08.2026	10	£100,000,000	£10,000,000.00	Cherry Dunk	No	Call Off Mini Competitions put Contracts in place.	DPS
Home Based Care and Support Services	Agincare, Comfort Call, Direct Health, Mears	ASCH	Delivery of Care and Support needs in users own homes	30.06.2018	3	£75,000,000.00	£0.00	Cherry Dunk	Yes CCG's	1st extension 12 months period.	Standalone contract
Integrated Community Equipment Services (ICELS)	British Red Cross	ASCH	The aim of the service is to enable Service Users and/or their carers to access a range of equipment and minor adaptations according to assessed need. A fundamental principle of the service is to promote and enable Service Users to continue living independently and in their own homes.	31.03.2021	5	£48,300,000	£9,660,000.00	Sarah Docksey	Yes CCG's, and Nottingham City Council		Standalone contract

Title	Supplier	Department	Description	End date	Contract period (years)	Contract Value	Annual Value	Contract Manager	Joint Contract	Comments	Contract Type
Partnership Homes for Adults with Learning Disabilities	Royal Mencap Society	ASCH	To deliver Care and Support Services to Younger Adults in Registered Care Homes	30.06.2017	4	£21,212,040	£5,303,010.00	Cherry Dunk	No	Contract ceases on the 1st July 2017. A newCare, Support and Enablement Framework Agreement is in place and commissioners are currently contacting services users to inform them that they have a choice in provider.	NCC Framework
Home Based Care Dynamic Provider List	Multiple successful applicants	ASCH	Establish a Dynamic Provider List, using a Dynamic Purchasing System (DPS), of organisations able to provide home based care and support services in Nottinghamshire County and Nottingham City. This Dynamic Purchasing System will enable the Commissioners to procure appropriate Home Based Care and Support Services	01.01.2027	10	Not Disclosed	£0.00	Cherry Dunk	Yes CCG's and Nottingham City Council	Contracts only awarded after a Mini Competition. Value of DPS lifetime expected to be in the region £999m	DPS
Older Adults Residential Care Home	Multiple	ASCH	Provision of Older Adult Care Homes for Service Users to select where they wish to stay	Ongoing	Ongoing	£0	£ 44,681,921.00 Per Annum	Cherry Dunk	No	Payments set of a Banded Rate. Service user selection	Standalone contract
Day Services	Multiple	ASCH	Provision of Day Care Services	31.03.2018	3	£15,878,007	£5,292,669	Cherry Dunk	No	Payments set of a Banded Rate. Service user selection	Standalone contract
Integrated Tobacco Control and Smoking Cessation Services	Solutions 4 Health	Public Health	The delivery of outcome focused, high quality and person centred tobacco control services for adults and children across Nottinghamshire County is required, which will form an integral part of the local comprehensive tobacco control strategy.	31.03.2020	4	£10,000,000	£2,500,000.00	Tanya Makins	No		Standalone contract
Integrated Sexual Health Services	Doncaster and Bassetlaw Hospital NHS Trust, Sherwood Forest NHS Trust, Nottingham University Hospital NHS Trust	Public Health	To Provide Sexual Health advice, Screening and treatment services	31.03.2021	5	£41,136,000	£8,227,200.00	Rachel Topless	Yes Nottingham City Council		Standalone contract
Substance Misuse	Crime Reduction Initiatives, Nott's Healthcare NHS Trust	Public Health	To provide Substance Misuse Recovery	30.09.2018	4	£36,000,000	£9,000,000.00	Tanya Makins	Yes Nott's Police Crime Commissioner		Standalone contract
0-19	Nottinghamshire Healthcare Trust	Public Health	Healthy Families Services	31.03.2021	4	£60,000,000	£15,000,000.00	Tanya Makins	No		Standalone contract

17 July 2017

Agenda Item: 7

REPORT OF CORPORATE DIRECTOR, RESOURCES

WORK PROGRAMME

Purpose of the Report

1. To consider the Committee's work programme for 2017/18.

Information and Advice

2. The County Council requires each committee to maintain a work programme. The work programme will assist the management of the committee's agenda, the scheduling of the committee's business and forward planning. The work programme will be updated and reviewed at each pre-agenda meeting and committee meeting. Any member of the committee is able to suggest items for possible inclusion.
3. The attached work programme has been drafted in consultation with the Chair and Vice-Chairs, and includes items which can be anticipated at the present time. Other items will be added to the programme as they are identified.
4. As part of the transparency introduced by the revised committee arrangements from 2012, committees are expected to review day to day operational decisions made by officers using their delegated powers. It is anticipated that the committee will wish to commission periodic reports on such decisions. The committee is therefore requested to identify activities on which it would like to receive reports for inclusion in the work programme.

Other Options Considered

5. None.

Reason/s for Recommendation/s

6. To assist the committee in preparing its work programme.

Statutory and Policy Implications

7. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the NHS Constitution (Public Health only), the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required

RECOMMENDATION/S

- 1) That the committee's work programme be noted, and consideration be given to any changes which the committee wishes to make.

Jayne Francis-Ward
Corporate Director, Resources

For any enquiries about this report please contact: Pete Barker, x 74416

Constitutional Comments (HD)

8. The Committee has authority to consider the matters set out in this report by virtue of its terms of reference.

Financial Comments (NS)

9. There are no direct financial implications arising from the contents of this report. Any future reports to Committee on operational activities and officer working groups, will contain relevant financial information and comments.

Background Papers

None.

Electoral Division(s) and Member(s) Affected

All

FINANCE & MAJOR CONTRACTS MANAGEMENT COMMITTEE – WORK PROGRAMME

<u>Report Title</u>	<u>Brief summary of agenda item</u>	<u>For Decision or Information ?</u>	<u>Lead Officer</u>	<u>Report Author</u>
18 September 2017				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Local Expenditure	Contracts placed with Nottinghamshire firms	Info	Nigel Stevenson	Clare Winter
BCF Q1 Reconciliation (TBC)		Info	Joanna Cooper	Joanna Cooper
Inspire Contract	Update report	Info	Peter Gaw	Peter Gaw
Contract Update(>£10m)	Standard item	Info	Various	Various
16 October 2017				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
VIA Contract	Update report	Info	Doug Coutts	Doug Coutts
Contract Update(>£10m)	Standard item	Info	Various	Various

FINANCE & MAJOR CONTRACTS MANAGEMENT COMMITTEE – WORK PROGRAMME

20 November 2017				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
BCF Q2 Reconciliation (TBC)		Info	Joanna Cooper	Joanna Cooper
Waste PFI Contract	Update report	Info	Mick Allen	Mick Allen
Risk and Insurance	Performance report	Info	Nigel Stevenson	Anne Hunt
Contract Update(>£10m)	Standard item	Info	Various	Various
18 December 2017				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Arc Contract	Update report	Info	Arc Chief Exec	Arc Chief Exec
Contract Update(>£10m)	Standard item	Info	Various	Various

FINANCE & MAJOR CONTRACTS MANAGEMENT COMMITTEE – WORK PROGRAMME

15 January 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Contract Update(>£10m)	Standard item	Info	Various	Various
5 February 2018				
Annual Budget Meeting	To recommend to Full Council the financial strategy, annual revenue budget, annual capital budget, and precept on billing authorities	Decision	Nigel Stevenson	Glen Bicknell
26 February 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
BCF Q3 Reconciliation (TBC)		Info	Joanna Cooper	Joanna Cooper
Contract Update(>£10m)	Standard item	Info	Various	Various
19 March 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Contract Update(>£10m)	Standard item	Info	Various	Various

FINANCE & MAJOR CONTRACTS MANAGEMENT COMMITTEE – WORK PROGRAMME

23 April 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Contract Update(>£10m)	Standard item	Info	Various	Various
21 May 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
BCF Q4 Reconciliation (TBC)		Info	Joanna Cooper	Joanna Cooper
Contract Update(>£10m)	Standard item	Info	Various	Various
18 June 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Contract Update(>£10m)	Standard item	Info	Various	Various

FINANCE & MAJOR CONTRACTS MANAGEMENT COMMITTEE – WORK PROGRAMME

16 July 2018				
Monthly Budget & Capital Monitoring Report 2017/18	Budget Capital Monitoring, Capital Receipts, Capital Variations	Decision	Nigel Stevenson	Glen Bicknell
Contract Update(>£10m)	Standard item	Info	Various	Various
TO BE PLACED				
Local Government Finance	Overview report	Info	Nigel Stevenson	Nigel Stevenson
Commercial Development Unit	Details of Commercial Strategy	Info	Martin Done	Martin Done
Trading Organisations	Update report	Info	Jas Hundal / Ian Hardy	Jas Hundal / Ian Hardy

