

12 December 2019

Agenda Item: 4

## **REPORT OF SERVICE DIRECTOR – CUSTOMERS, GOVERNANCE AND EMPLOYEES.**

### **NOTTINGHAMSHIRE LOCAL PENSION BOARD – PUBLIC SERVICE GOVERNANCE AND ADMINISTRATION SURVEY 2019**

#### **Purpose of the Report**

1. To provide the Pensions Board with a copy of the draft response to the Public Service Governance and Administration Survey 2019 issued by the Pension Regulator (TPR).
2. To consult the Pension Board on the draft response to the survey prior to the final submission.

#### **Information and Advice**

3. Each year the Pension Regulator issues all LGPS Pension Funds with a Survey that focuses on Governance and Administration of the Pension Fund and in line with the Pension Regulators Section 14 Administration standards for the LGPS.
4. The Pension Regulator hopes that all public service pension schemes will take part and complete the online questionnaire.
5. The questionnaire focuses on a number of main areas and these are:
  - a. Governance - this focuses on how the pension Board works, and the number of board meetings, and the governance of the board.
  - b. Managing Risk – this focuses on how the fund manages risk, and the process to review the risk register.
  - c. Administration and Record-Keeping Processes – the TPR is interested in scheme data and scheme records.
  - d. Cyber Security – this is a high priority for the TPR and for the Administration authority, as security of data is taken very seriously, as is outlined in the survey responses.
  - e. Data Review – the fund has been working on a data improvement exercise over the last year, along with a further data review strategy that was reported to the Pension Board in September.

- f. Further areas of response relate to annual benefit statements, issue resolution, reporting breaches to the TPR, and finally questions on perceptions of the TPR from an Administration point of view.
6. A copy of the survey and completed responses is attached in Appendix 1, the response date is the 29 November, however to enable the survey to be considered by the Pension Board an extension of the submission date has been granted..

## **Statutory and Policy Implications**

7. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the NHS Constitution (Public Health only), the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

## **RECOMMENDATION/S**

- 1) That Pension Board members consider the survey and whether there are any changes that they wish to make to the survey prior to submission.

**Marjorie Toward**

**Service Director – Customers, Governance, and Employees**

**For any enquiries about this report please contact:**

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## **Constitutional Comments (KK28.11.2019)**

8. The proposal in this report is within the remit of the Nottinghamshire Local Pension Board.

## **Financial Comments (KP 28.11.2019)**

9. There are no direct financial implications arising from the report.

## **Background Papers and Published Documents**

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- 'None'