



**22<sup>nd</sup> July 2013**

**Agenda Item: 8**

**REPORT OF THE CORPORATE DIRECTOR FOR ADULT SOCIAL CARE,  
HEALTH AND PUBLIC PROTECTION**

**SECONDMENT OF COMPLIANCE MANAGER FROM THE CARE QUALITY  
COMMISSION TO NOTTINGHAMSHIRE COUNTY COUNCIL - PROGRESS  
UPDATE**

**Purpose of the Report**

1. The purpose of the report is to provide a progress update to the Committee on the secondment of the Compliance Manager from the Care Quality Commission (CQC) to Nottinghamshire County Council.

**Information and Advice**

2. At the Adult Social Care and Health Committee on 17 January 2013, members approved the secondment of one of CQC's Compliance Managers to Nottinghamshire County Council for a 12 month period. The purpose of the secondment is to share knowledge and expertise about care standards and to further support the development of the care market. This initiative is part of a wider number of measures to enhance the joint working between the Council and the CQC with a specific focus on improving quality of care services and supporting a vibrant and sustainable social care market across Nottinghamshire.
3. At the same time, members also approved one of the Department's Market Development Officers to be seconded to the local CQC office to undertake the role of an inspector. This opportunity will enable a better understanding of how the role of the Council's Market Development Team can complement the regulatory responsibilities of the CQC.
4. The Compliance Manager commenced her secondment with the Council on 23 January 2013. Since this time the officer has been co-ordinating a programme of work which looks at the roles and responsibilities of the relevant statutory agencies, including health partners, with a specific focus on improving quality to ensure good outcomes for the people who receive regulated care services.
5. One of the officer's key tasks is the co-ordination of a task focussed project called the 'Strategic Review of the Care Home Market'. This project has been sponsored by the County Council's Corporate Director of Adult Social Care, Health and Public Protection, the City Council's Interim Director of Adult Social Care, the Chief Officer of Newark and Sherwood and Ashfield and Mansfield Clinical Commissioning Groups, and the Chief Officer of the Nottingham City Clinical Commissioning Group. The Strategic Review is being

chaired by Allan Breeton, the Independent Chair of the Nottinghamshire Safeguarding Adults Board. The aim of the review is to consider the mechanisms in place to promote and support a diverse and robust market of care home provision across the different service areas which provide high quality outcomes for users of services. The work includes:

- reviewing the measures that are currently in place to identify and monitor risks to service users arising from poor quality provision
- ensuring there are processes in place to enable the identification and management of risk in order to ensure continuity of care for people who live in care homes
- identifying any gaps in existing processes across health and social care with a view to making recommendations on how these measures can be improved

6. The Compliance Manager is also involved in the following work:

- a review of the effectiveness of current quality monitoring processes
- reviewing and revising the audit framework, descriptors and supporting methodology to ensure that the annual audits undertaken of care services is outcomes based
- the development of a risk tool which collates and holds qualitative intelligence about care providers and which is used as the basis of information sharing with partner agencies and which enables work to be undertaken with providers to enable them to make improvements in the services they provide
- the refinement of a multi-agency escalation process to enable the effective oversight of failing providers
- involvement in the development of the Dementia Quality Mark Award which is to be implemented for care homes that provide dementia care

7. The above work is being undertaken jointly with staff within the Joint Commissioning Unit, and the Safeguarding Adults' Team, staff from operational teams and in partnership with health commissioners and officers from the City Council. Some of the work outlined above has also been discussed with the Nottinghamshire Care Association and care home providers.

### **Reason for Recommendations**

8. This report is to inform members of the progress made in the work undertaken by the Compliance Manager and is for information only. A report will be brought to Committee in January 2014 on the outcomes of the secondments.

### **Statutory and Policy Implications**

9. This report has been compiled after consideration of implications in respect of finance, the public sector equality duty, human resources, crime and disorder, human rights, the safeguarding of children, sustainability and the environment and those using the service and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

## **Financial Implications**

10. The salary of the Compliance Manager, plus on-costs, will be £49,000 for a 12 month period. This is being met by the Department through the use of NHS Support to Social Care Funding (Section 256). The Department already has an allocated budget within the Market Development Team to fund the backfill of the Market Development Officer post.

## **RECOMMENDATION/S**

It is recommended that the Adult Social Care and Health Committee:

- 1) Note the progress made by the Compliance Manager over the past six months.
- 2) Receive a further report on the outcomes of the secondments in January 2014.

**DAVID PEARSON**

**Corporate Director for Adult Social Care, Health and Public Protection**

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## **Constitutional Comments**

11. As the report is for noting only, no constitutional comments are required.

## **Financial Comments**

12. The financial implications are contained within paragraph 10 of the report.

## **Background Papers**

None.

## **Electoral Division(s) and Member(s) Affected**

All.

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