

# SUMMARY OF WORK CARRIED OUT BY THE GOVERNANCE & ETHICS COMMITTEE AGAINST ITS TERMS OF REFERENCE

2017/18	May 2018	Jun 2018	Jul 2018	Sep 2018	Nov 2018	Dec 2018	Jan 2019	Mar 2019
<b>Annual statement of accounts</b>								
Mandatory enquiries and Statement of Accounts 2016/17	Mandatory enquiries		Statement of accounts 2017/18					
Certification of grants and returns 2016/17								
<b>Annual Governance Statement (AGS)</b>								
AGS 2016/17	AGS 2017/18							
<b>Local code corporate governance</b>								
Review of Local Code	Review of Local Code		IA Charter					LGA Corporate Peer Challenge
<b>Internal control &amp; external audit</b>								
Internal Audit reports: - Follow-up of recs - Plan - Progress reports	Follow-up of IA recs	IA Annual Report 2017/18	IA Term 2 Plan  Assurance mapping update	External Audit annual letter 2017/18 & progress report	IA Term 1 Report & Term 3 Plan	NAO Cyber Security & Information Risk Guidance for Audit Cttees  External Audit progress report	PSAA Local Audit Quality Forum – feedback  Assurance mapping update  Follow-up of IA recs  External residential placements for looked after children	External Audit Plan 2018/19  IA Term 2 report & Term 1 Plan
External Audit plans and progress reports			Vacant property management	DP misuse, fraud & deprivation of assets				
Assurance mapping								
Updates with IA limited assurance opinions: Deprivation of assets controls ASCH & PH External placements								

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for LAC								
<b>Financial Regulations and counter-fraud</b>								
Annual Fraud Report 2016/17	LGA Bribery & Fraud Prevention workbook for councillors	Annual Fraud Report 2017/18  Annual Whistleblowing Report 2017/18	Fraud Response Plan			Counter Fraud progress report		
<b>Risk management</b>								
Corporate risk management update				Corporate risk management update				
<b>Information Governance – Data Protection &amp; Freedom of Information</b>								
IGIP progress update		IGIP progress update				IGIP progress update		
ICO monetary penalty notice								
NHS Digital Audit of data sharing with PH								
<b>Legal, democratic, complaints</b>								
LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	Legal Services Lexcel accreditation	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions	LG Ombudsman decisions
RIPA annual report								Outcomes from Complaints Process 2017/18
Outcomes from Complaints Process 2016/17								

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<b>Conduct standards</b>								
		Review of outside bodies		Petitions scheme	Member training	Update on use of resources by councillors	Update on use of resources by councillors	
<b>Implement revised codes of conduct</b>								
Code of Conduct for Councillors & co-opted members								
<b>Alleged breaches of conduct</b>								
<b>Issues from members' allowances</b>								
Joint civic reception at Notts Cricket Club								
<b>Councillors' divisional funds</b>								
		CDF revised policy				Update on use of CDF		
<b>Statutory independent member recruitment</b>								
							Appointment of independent persons - recruitment & selection process	