

Fraud Response Plan Progress

Action	Timescale	Responsibility	Progress & revised timescale
Respond to any issues identified by the data-washing exercise with Nottinghamshire Police.	March 2019	Internal Audit Team Manager	Achieved –Protocol established with GAIN to submit datasets every six months. No concerns were identified in the first extract for matching.
Pro-active work with the Travel & Transport team to review the controls in place to manage Blue Badge fraud.	January 2019	Head of Internal Audit	Partially Achieved – Further analysis on Blue Badges using the latest NFI value and for recording cancellations undertaken. Use of the NFI recheck facility to continue to be able to match data and check matches. Ongoing work to follow up audit findings around implementing quality assurance checks and internal controls.
Review of the Counter Fraud and Corruption Strategy and the Fraud Response Plan.	April 2020	Head of Internal Audit with the assistance of the Head of Legal Services	Ongoing – both documents will be subject to review and refresh and reference to the item above.
Work with Legal Services to develop a proposed protocol for the pursuit of private and civil prosecutions.	April 2020	Head of Internal Audit with the assistance of the Head of Legal Services	Partially Achieved – Initial feedback with legal services. This will be taken forward as part of the Fraud Response Plan review.
Pro-active work with the Group Manager – Procurement to assess vulnerability to contract management fraud.	November 2019	Head of Internal Audit	Achieved –Contract Management audit complete with Limited Assurance. Internal Audit are working closely with Procurement on improvements the control environment.

Appendix A

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			The National focus on Procurement Fraud Risk and recent audit outcomes will be considered further as part of our risk assessment process.
Update of the self-assessment for the Council's defences against cyber fraud and review progress against the action plan.	July 2019	ICT Service Manager	Achieved - Updated report to G&E June 2019.
Continue to support the Direct Payments Team to map and review processes for fraud/overpayment referrals.	September 2019	Head of Internal Audit & Service Director Strategic Commissioning, Adult Access and Safeguarding	Achieved - Revised mapping has been completed.
Develop e-learning materials to respond to tax evasion risks arising from the Criminal Finances Act Policy.	August 2019	Head of Internal Audit	Partially Achieved – Risk assessment undertaken but additional module required for e-learning materials.
Disseminate insight and responses to fraud alerts through 'Team Talk' to coincide with International Fraud Awareness Week.	March 2020	Head of Internal Audit	Achieved to date – Team Talk article completed and updates planned every 6 months.