

Finance Committee

Monday, 28 June 2021 at 14:00

County Hall, West Bridgford, Nottingham, NG2 7QP

AGENDA

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|---|--|---------|
| 1 | To note the appointment by Full Council on 27 May 2021 of Councillor Richard Jackson as Chairman, and Councillor Roger Jackson as Vice Chairman of the Committee for the 2021-22 municipal year. | |
| 2 | Terms of Reference and Membership | 3 - 6 |
| 3 | Minutes of the last meeting of the Finance & Major Contracts Management Committee held on 19 April 2021 | 7 - 8 |
| 4 | Apologies for Absence | |
| 5 | Declarations of Interests by Members and Officers:- (see note below)
(a) Disclosable Pecuniary Interests
(b) Private Interests (pecuniary and non-pecuniary) | |
| 6 | Draft Management Accounts 2020-21 | 9 - 28 |
| 7 | Financial Monitoring Report Period 1 2021-22 | 29 - 40 |
| 8 | Work Programme | 41 - 44 |

Notes

- (1) Councillors are advised to contact their Research Officer for details of any Group Meetings which are planned for this meeting.
- (2) Members of the public wishing to inspect "Background Papers" referred to in the reports on the agenda or Schedule 12A of the Local Government Act should contact:-

Customer Services Centre 0300 500 80 80

- (3) Persons making a declaration of interest should have regard to the Code of Conduct and the Council's Procedure Rules. Those declaring must indicate the nature of their interest and the reasons for the declaration.

Councillors or Officers requiring clarification on whether to make a declaration of interest are invited to contact Peter Barker (Tel. 0115 977 4416) or a colleague in Democratic Services prior to the meeting.

- (4) Councillors are reminded that Committee and Sub-Committee papers, with the exception of those which contain Exempt or Confidential Information, may be recycled.
- (5) This agenda and its associated reports are available to view online via an online calendar - <http://www.nottinghamshire.gov.uk/dms/Meetings.aspx>

**REPORT OF THE SERVICE DIRECTOR, CUSTOMERS, GOVERNANCE AND
EMPLOYEES****TERMS OF REFERENCE AND MEMBERSHIP****Purpose of the Report**

1. To set out the membership and terms of reference of the Finance Committee.

Information

2. The following Councillors have been appointed to the committee:

Chairman: Councillor Richard Jackson

Vice-Chairman: Councillor Roger Jackson

Councillor Reg Adair (C)
Councillor André Camilleri (C)
Councillor John Clarke (L)
Councillor Jim Creamer (L)
Councillor Tom Hollis (I)
Councillor Bruce Laughton (C)
Councillor Mike Pringle (L)
Councillor Mike Quigley (C)
Councillor Lee Waters (I)

3. At its meeting on Thursday 27 May 2021, the Council agreed the terms of reference for the Finance Committee, as set out below:

The exercise of the powers and functions set out below are delegated by the Full Council to the Committee in relation to finance:

- a. All decisions within the control of the Council including but not limited to those listed in the Table below
- b. Policy development and approval in relation to finance, subject to any necessary approval by the Policy Committee or the Full Council

- c. Review of performance in relation to the services provided on a regular basis
- d. Review of day to day operational decisions taken by officers
- e. Approval of consultation responses except for responses to day-to-day technical consultations which will be agreed with the Chairman and reported to the next available Committee following their submission.
- f. Approval of departmental staffing structures as required
- g. Approving all Councillor attendance at conferences, seminars and training events within the UK mainland for which a fee is payable including any expenditure incurred, within the remit of this Committee and to receive quarterly reports from Corporate Directors on departmental officer travel outside the UK within the remit of this Committee.

If any report comes within the remit of more than one committee, to avoid the report being discussed at several committees, the report will be presented and determined at the most appropriate committee. If this is not clear, then the report will be discussed and determined by the Policy Committee.

As part of the detailed work programme the Committee will receive reports on the exercise of powers delegated to officers.

The Committee will be responsible for its own projects and may establish steering groups to consider projects. Where it considers it appropriate, projects will be considered by a cross-committee project steering group that will report back to the most appropriate Committee.

Table
Responsibility for the financial management of the Authority including recommending to Council the financial strategy, annual revenue budget, annual capital budget, and precept on billing authorities
Responsibility for corporate procurement
Responsibility for the Council's internal trading organisations except where reported elsewhere
Responsibility for the strategic overview and management of all Council contracts in excess of £10 million or otherwise of major significance
Responsibility for developing and implementing a Commercial Strategy for the Council subject to Policy Committee approval
Responsibility for ICT
Responsibility for considering performance reports in relation to the Council's ICT strategy

Other Options Considered

- 4. None.

Reason/s for Recommendation/s

5. To inform the committee of its membership and terms of reference.

Statutory and Policy Implications

6. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATION

That the Committee membership and terms of reference are noted.

Marjorie Toward

Service Director, Customers, Governance and Employees

For any enquiries about this report please contact:

Pete Barker, Democratic Services Officer

Email: peter.barker@nottscg.gov.uk

Tel: 0115 977 4416

Constitutional Comments (KK 27/05/21)

7. The proposal in this report is within the remit of the Finance Committee.

Financial Comments (SES 26/05/2021)

8. There are no specific financial implications arising directly from this report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- Report to full Council on 27 May 2021 (published)

Electoral Division(s) and Member(s) Affected

- All

minutes

Meeting FINANCE AND MAJOR CONTRACTS MANAGEMENT COMMITTEE
– VIRTUAL MEETING

Date 19 April 2021 (commencing at 2.00pm)

Membership

Persons absent are marked with an 'A'

COUNCILLORS

Richard Jackson (Chair)
Roger Jackson (Vice Chair)
John Ogle (Vice Chair)

John Clarke	Diana Meale
Keith Girling	Mike Pringle
Tom Hollis	Alan Rhodes
Eric Kerry	Gordon Wheeler

OFFICERS IN ATTENDANCE

Rebecca Atchinson	Senior Public Health and Commissioning Manager
Pete Barker	Democratic Services Officer
Phil Berrill	Team Manager, Property Commissioning
Michael Fowler	Category Manager, Procurement
Kaj Ghattaora	Group Manager, Procurement
Derek Higton	Service Director, Place & Communities
Nigel Stevenson	Service Director, Finance, Infrastructure & Improvement

1. MINUTES OF THE LAST MEETING

The minutes of the last meeting held on 15 March, having been circulated to all Members, were taken as read and were confirmed, and were signed by the Chair.

2. APOLOGIES FOR ABSENCE

There were no apologies for absence.

3. DECLARATIONS OF INTEREST

There were no declarations of interest.

4. FINANCIAL MONITORING REPORT 11 2020-21

RESOLVED: 2021/014

- a) That the variations to the capital programme be approved.
- b) That the additional contingency requests be approved.

5. 2020-21 SCHOOLS BUILDING IMPROVEMENT PROGRAMME – LATEST ESTIMATED COSTS

RESOLVED: 2021/015

- a) That the Latest Estimated Costs of the School Building Improvement Programme be approved.
- b) That the projects proceed to the construction phase based on the approved Latest Estimated Costs.

6. COMMENCEMENT AND FIRST YEAR OF THE DOMESTIC ABUSE SUPPORT SERVICES 2020-21

RESOLVED: 2021/016

That an update report be brought to a future meeting of the Committee.

7. WORK PROGRAMME

RESOLVED: 2021/017

That the Work Programme be updated to reflect Members' requests.

The meeting closed at 2.36pm

CHAIR

REPORT OF THE SERVICE DIRECTOR – FINANCE, INFRASTRUCTURE & IMPROVEMENT**DRAFT MANAGEMENT ACCOUNTS 2020/21****Purpose of the Report**

For Committee to consider:-

1. The year-end position for the 2020/21 draft Management Accounts.
2. The year-end position of the Authority's reserves.
3. The final position on 2020/21 contingency requests.
4. The year-end position for the 2020/21 Capital Programme and its financing.

This report also seeks that Committee:-

5. Recommends that the transfer to the General Fund Balance of £10.2m is submitted for approval by County Council.
6. Approves variations to the Capital Programme.

Information and Advice**Background**

7. It is important to note that this draft Management Accounts report has been put together at a time when the Council is continuing to respond to the consequences of COVID-19. A large number of services, resources, functions, plans and programmes have been re- and de-prioritised as the Council has had to respond to a rapidly changing and fluid situation that has included the regular provision of new guidance and legislation.
8. Throughout the year, all Local Authorities have been required by the Ministry for Housing, Communities and Local Government to report their forecast financial impact of the COVID19 emergency on a monthly basis. The most recent DELTA12 submission from Nottinghamshire County Council was submitted on 30 April 2021 and identified a total gross forecast financial impact of £82.9m in the current financial year. These costs have been offset by a number of COVID19 specific grants that have been received from Central Government.
9. In addition, all Authorities received a letter from the Department of Health and Social Care (DHSC) on 4 September 2020 which explained revised arrangements for the distribution of PPE. It set out that the DHSC had procured sufficient PPE to meet national demand and would be able to supply Authorities directly with PPE for all COVID19 use, over and above any Business as Usual use, until 31 March 2021. This supply is being made available free of charge and is now expected to be extended into the 2021/22 financial year.

10. The Government also announced a scheme that helped those Local Authorities that lost income during the pandemic. The total value of funding allocated to Nottinghamshire totals £2.2m and relates to lost income up to the end December 2020. This scheme will continue into the first quarter of 2021/22.
11. It is important to note that considerable uncertainty remains regarding the longer-term implications of responding to the emergency. On 22 February, the Government announced a four-step plan to ease England's lockdown which could see all legal limits on social contact lifted by 21 June, subject to strict conditions being met. In addition, information continues to be developed regarding significant areas of the Authority's budget including Home to School Transport, Looked After Children (LAC) and Adult Social Care and Health services.
12. Despite the COVID19 pandemic, the financial position of the County Council has continued to be monitored throughout the financial year, with monthly reports to the Corporate Leadership Team and the Finance and Major Contracts Management Committee providing an update of progress, thus ensuring decision makers had access to financial information on a timely basis. This report is the draft out-turn for 2020/21 with final figures to be confirmed at a future County Council meeting.

Summary Financial Position

13. Committee budgets are showing a net underspend of £21.2m or 3.8% of net Committee budgets. This compares to a Period 11 forecast underspend of £12.5m.
14. The level of General Fund balances, subject to approval by County Council, will increase by £10.2m to £32.1m.
15. The detailed figures are summarised in the appendices to this report. Table 1 shows the summary revenue position of the County Council.

Table 1 – Summary Financial Position

Committee	Final Budget £'000	Draft Out-turn £'000	Draft Variance £'000	Percentage Variance to Annual Budget
Children & Young People	149,400	147,369	(2,031)	(1.4%)
Adult Social Care & Public Health	202,539	186,471	(16,068)	(7.9%)
Communities & Place	135,769	135,581	(188)	(0.1%)
Policy	37,730	37,197	(533)	(1.4%)
Finance & Major Contracts Management	3,927	3,009	(918)	(23.4%)
Governance & Ethics	7,751	7,841	90	1.2%
Personnel	16,317	14,791	(1,526)	(9.4%)
Net Committee (under)/overspend	553,433	532,259	(21,174)	(3.8%)
Central items	(31,388)	(61,909)	(30,521)	
Contribution to Schools Expenditure	886	886	-	
Contribution to/(from) Traders	3,330	3,028	(302)	
Forecast prior to use of reserves	526,261	474,264	(51,997)	
Transfer to / (from) Corporate Reserves	(22,736)	12,020	34,756	
Transfer to / (from) Departmental Reserves	9,654	16,095	6,441	
Transfer to / (from) General Fund	(631)	10,169	10,800	
Net County Council Budget Requirement	512,548	512,548	-	

Net Committee Spend

16. The overall net underspend within the Committees is £21.2m and the principal reasons for the variations are detailed below.

Children & Young People (£2.0m underspend, 1.4% of Committee budget)

17. The major contributing variances are:

- A £0.2m overspend in the Social, Families and Social Works Division due to a £0.8m overspend on social work staffing. The overspend has arisen due to a combination of additional staff capacity to respond to increased workloads and to maintain manageable caseloads, temporary cover for new posts to be established and the continued need for agency workers in some areas. This is offset by £0.6m underspends elsewhere in the Division.
- £1.4m net underspend on Children's Centres. The majority of the underspend is temporary i.e. £0.3m gainshare income from the Nottinghamshire Children and Families Partnership, approximately £0.6m on direct staffing due to vacancies and a 3.5% temporary subsidy on the NHS Employers pension rate for 2020-21.
- £0.6m net underspend on External Looked After Children Placements (LAC), although it should be noted that this is after the addition of £6.4m of temporary COVID19 funding. Since the beginning of April 2020 external LAC numbers have grown by a net of 55 from 445 to 500 at the end of March 2021. The original pre-COVID19 estimate was for numbers to reach 505 by 31 March 2021 (also before any positive effects of the Social Impact Bond and increased internal fostering which were still at early stages). During 2020, numbers grew rapidly during the pandemic and at one point were projected to reach 550.
- There is a net underspend of £0.2m across a range of other budgets.

Adult Social Care & Public Health (£16.1m underspend, 7.9% of Committee budget)

18. Within Adult Social Care and Public Health the main variances were:

- An underspend of £1.5m on Day Services due primarily to staffing underspends.
- An underspend of £10.1m on Ageing Well due to reductions within long term Residential / Nursing care. Homecare and Short Term Residential/Nursing care were predicted to exceed budget considerably, however many of the COVID19 related costs borne by the Council have been funded by specific Government grants and by contributions from Health.
- An underspend of £1.4m across the Maximising Independence Service, mainly on staffing due to vacancies arising from the delayed implementation of the workforce review and staff being redirected from Direct Services into this service during the first part of the pandemic.
- There is a net underspend of £1.6m across a range of other budgets,

19. In addition, there was a £1.5m underspend in Public Health. This was primarily due to redirecting staff to work on the COVID19 response rather than their usual work. As the Public Health Grant is ringfenced, this underspend has been transferred to reserves for use in future years.

Communities & Place (£0.2m underspend, 0.1% of Committee budget)

20. There is an overspend of £0.5m against the Highways contract with Via due mainly to additional winter maintenance charges of £0.4m and flooding costs due to storm Christoph of £0.1m. This was offset by a Highways Retained Client underspend of £1.2m mainly due to additional S38/S278 income of £0.7m due to post lockdown improvements in the building trade and energy savings of £0.3m due to ongoing replacement of old street lighting bulbs with energy efficient bulbs.
21. There was a £0.9m overspend against Waste Services as a result of additional waste collected from residential properties as more residents were working from home. Counter to this, there was a reduction in trade waste income as offices were closed.
22. The out-turn on Concessionary Fares is £0.7m below budget, due to reduced payments to bus and tram operators for concessionary travel, in line with agreed reimbursements.
23. Emergency Planning & Registration was overspent by £0.4m due to lost income in the Registration Service and additional costs within Coroners.
24. There is a net underspend of £0.1m across a range of other budgets.

Policy (£0.5m underspend, 1.4% of Committee budget)

25. The underspend mainly relates to staffing savings across a range of services and an underspending of £0.2m in the Economic Development Initiative budget due to reprioritisation/slippage of projects due to the effects of the pandemic.

Finance & Major Contracts Management (£0.9m underspend, 23.4% of Committee budget)

26. The underspend has arisen due to staffing savings within Procurement, Internal Audit and Risk and Insurance teams and savings in staff related budgets such as travel, room hire etc. In addition, some COVID19 related costs initially budgeted within this Committee have been funded by grants or recharged to other areas.

Governance & Ethics (£0.1m overspend, 1.2% of Committee budget)

27. There are no major variances to report.

Personnel (£1.5m underspend, 9.4% of Committee budget)

28. The underspend is mainly due to staffing vacancies and proactive efficiency savings across Business Support, Business Services Centre service and Corporate HR, where there was a proactive strategy to hold vacant posts to ensure the delivery of future known savings requirements.

Traders Services (£0.3m underspend)

29. Traders are expected to be cost neutral each year, with any underspend being transferred to reserves to fund future expenditure and any overspend being covered by a use of reserve. 2020/21 was a difficult trading year as a result of the COVID19 pandemic. However, with the help of grant funding received from Central Government a small reported surplus has been achieved.

Central Items (£30.5m underspend)

30. Central Items primarily consists of interest on cash balances and payments on borrowing, contingency allocations, capital charges and various general grants. The key variances relate to unspent contingency budget (£2.3m) and Other Government Grants (£25.3m) and are

summarised below. Other additional variations (net total £2.9m underspend) within the central items category are detailed in Appendix A.

- **Contingency (£2.3m underspend) (for detail please refer to Appendix C)**

As reported to Finance and Major Contracts Management Committee throughout the year, the contingency base budget was increased by £2.6m to reflect a number of demand and inflationary pressures with a high degree of uncertainty with regard to likelihood, value and profiling. Whilst a number of these pressures have materialised and were subsequently released to Committee budgets, there remained an overall underspend of £2.3m against a total 2020/21 budget of £6.6m. A detailed breakdown of how this budget was utilised is provided in Appendix C of this report.

- **Government Grants (£25.3m underspend)**

Several non-ringfenced grants sit centrally, however values are not normally confirmed until after the budget is set in February of each year resulting in year-end variances to budget. Within this underspend, any COVID19 related grant not allocated to Committees during 2020/21 has been set aside to fund future pandemic related issues. This includes mitigation of associated shortfalls in collection rates for both Council Tax and Business Rates.

- **Statutory Provision for Debt Redemption (£0.2m overspend)**

The Council is under a statutory duty “to determine for the current financial year an amount of Minimum Revenue Provision (MRP) which it considers to be prudent”. The MRP charged to the General Fund in 2020/21 has been determined at £11.6m. This higher than expected charge is due mainly to higher than forecast borrowing in 2019/20.

- **Interest and Dividends (£1.3m underspend)**

Interest payments depend upon Treasury Management decisions taken in relation to expectations of future rates and anticipated slippage on the capital programme. Variances against each of these factors coupled with other interest and dividends received in year have achieved an underspend of £1.3m in 2020/21.

Movements on Balances and Reserves (for detail please refer to Appendix B)

Reserves Strategy

31. The Authority’s reserves strategy was approved as part of the 2021/22 Annual Budget Report to Full Council in February 2021. The strategy included planned contributions to reserves to fund specific future priorities as well as planned use of reserves to fund in-year expenditure. To reflect the approved strategy in the 2020/21 accounts together with other emerging factors the necessary adjustments have been made. The level of reserves will continue to be reviewed on a regular basis as part of the Authority’s Financial Management processes. Further detail of the movement on balances and reserves are set out below.

General Fund Balances

32. The Council meeting on 27 February 2020 approved the use of £0.6m of General Fund Balances. Given the events that have emerged throughout 2020/21, the Council’s funding position has been impacted by a significant range of temporary funding provided to assist the response to the COVID19 pandemic. This includes four tranches of Core COVID19 grant funding totalling £47.1m which was not factored into projections when setting the 2020/21 budget. As a consequence of reasons provided above, the Council has achieved a favourable closing position so that no contribution from the General Fund is required. However, there are still significant challenges facing the Council over the medium-term and as such the closing balance of the Council’s General Fund is proposed to increase from £22.0m to £32.1m.

Other Earmarked Reserves

33. At the end of 2020/21 other 'earmarked' reserves totalled £139.0m, an increase of £26.0m since 31 March 2020. This consists of the following:

- **PFI Reserves**

34. A total of £28.1m of reserves are held for PFI schemes and this equates to 20.2% of other earmarked reserves. The arrangements for calculating PFI grant result in more grant being received in the early years of a PFI scheme than is needed to meet the payments to providers of the service. These surpluses need to be kept in an earmarked reserve to cover the corresponding deficits in later years. The amounts set aside at the end of 2020/21 are shown in the table below.

Table 2 – PFI set aside as at 31/03/2021

PFI Scheme	£'000
East Leake Schools	2,910
Bassetlaw Schools	876
Waste	24,275
Total	28,061

- **Insurance Reserve**

35. The Authority operates a self-insurance scheme and covers risks up to an agreed amount. External insurers cover risks in excess of this figure. The Insurance Reserve is set aside to cover possible insurance claim losses that are not yet known. The closing balance of this reserve is £36.7m.

- **Capital Projects Reserve**

36. The Capital Projects Reserve supports the Medium-Term Financial Strategy as well as current and future capital commitments. In 2020/21 there was a net contribution to the reserve of £4.4m. As at 31 March 2021, the balance on the Capital Projects Reserve is £7.5m.

- **Strategic Development Fund**

37. It was approved that this reserve supports future year costs associated with the Council's transformation agenda. The balance of this reserve has been increased by £9.6m to £12.4m.

- **Workforce Reserve**

38. It has been approved that this reserve will cover pay related costs including National Living Wage increases and Pension Strain, as well as covering Pension Contributions and Redundancy. The balance as at 31 March 2021 remains at £6.1m.

- **Earmarked for Services Reserves**

39. All departments have various reserves for specifically identified purposes. In addition, International Financial Reporting Standards requires some grant income such as Public Health and Section 256 grants to be carried on the Balance Sheet as a reserve balance. During the year, these departmental balances increased by a net £12.6m to £49.3m.

- **NDR Pool Reserve**

40. The pool was established in April 2013 when a new funding mechanism was introduced with the seven District and Borough Councils. There was a net decrease of £0.8m in this reserve

during 2020/21. Of the £9.3m year-end balance, £8.8m relates to the County's share of the pool surplus, the remaining balance (£0.5m) is funding set aside for N2.

- **COVID-19 Reserve**

41. On 19 March 2020, the Government announced £1.6bn of additional funding for Local Government to help respond to coronavirus pressures across all services. Nottinghamshire County Council's share of this emergency fund totalled £22.3m. This funding was received on 27 March 2020 and, given its un-ringfenced nature, was transferred to a COVID-19 reserve to fund emergency costs in the next financial year. This funding was utilised in full during 2020/21 as part of the Authority's immediate response to the pandemic.

- **COVID Recovery Reserve**

42. In 2020/21, the Authority received three further tranches of un-ringfenced COVID19 core funding totalling £24.8m. The unspent element of this funding has been appropriated to a specific COVID Recovery Reserve. This reserve will assist the Authority in its continued response to the pandemic by helping to mitigate against significant uncertainty in relation to both service demand and the economic impact on Council funding. The closing balance on this reserve is £19.3m.

- **Council Tax Equalisation Reserve**

43. As a result of pressures associated with the Coronavirus pandemic, billing authorities have estimated a larger-than-normal deficit on the 2020/21 Collection Fund. To alleviate the short-term cost pressure, Central Government has mandated for the estimated deficit to be spread over the three years 2021/22 to 2023/24. To recognise the impact of this phasing arrangement, a reserve has been created with a closing balance of £2.3m.

- **Earmarked Reserves**

44. Earmarked reserves contain balances of reserves previously held under services but have been deemed no longer required for their original purpose. Such reserves will be used to help deliver the Medium-Term Financial Strategy and the balance remains at £3.2m.

Capital Expenditure

45. Capital Expenditure in 2020/21 totalled £104.150m. Table 3 shows the final 2020/21 Capital Programme broken down by Committee.

Table 3 – 2020/21 Capital Expenditure

Committee	Revised Budget £'000	Total Outturn £'000	Variance £'000
Children & Young People	25,565	22,343	(3,222)
Adult Social Care & Public Health	1,312	1,115	(197)
Communities & Place	63,546	56,437	(7,109)
Policy	25,474	24,014	(1,460)
Finance & MCM	180	38	(142)
Personnel	354	203	(151)
Total	116,431	104,150	(12,281)

Note: These figures exclude any expenditure incurred directly by schools.

46. The major areas of investment in 2020/21 are listed in Table 4 below.

Table 4 – Major investment areas 2020/21

Committee	Scheme	2020/21 Capital Expenditure £'000
Children & Young People	School Places Programme	5,271
	School Capital Refurbishment Programme	5,146
	Orchard Special School	5,196
	Sharphill Primary New School	5,124
Communities & Place	Road Maintenance & Renewals	24,528
	Gedling Access Road	18,364
	Integrated Transport Measures	4,337
	Street Lighting	2,244
Policy	Flood Drainage and Alleviation	2,331
	Top Wighay Farm Infrastructure Project	6,439
	Various IT Capital Projects	4,220

Capital Programme Variations

47. The changes in the gross Capital Programme for 2020/21, since its approval at Council (27/02/20) are summarised in Table 5 below.

Table 5 2020/21 Capital Programme

	£'000
Approved per Council (Budget Report 2020/21)	117,384
Variations funded from County Council Allocations : Net slippage from 2019/20 and financing adjustments	(23,583)
Variations funded from other sources : Net slippage from 2019/20 and financing adjustments	10,349
Revised Gross Capital Programme	104,150

48. To comply with financial regulations, every item of capital expenditure incurred by the Council must be approved, irrespective of how it is funded. Specific variations require approval by Committee as set out in the following paragraph.

49. A Major Infrastructure Programme is already approved within the Communities and Places capital programme. This programme of work is available to advance necessary works required to ensure that major infrastructure improvement schemes are ready for funding opportunities as they arise. A contribution of £0.5m from the Business Rates Pool has been agreed with Districts and a further £1.0m external funding contribution has been received to further this programme of work.

It is proposed that that the Communities and Place Committee capital programme is varied to reflect the additional £1.5m additional funding.

50. In addition, in the Communities and Place Committee has secured an additional £0.8m of external funding to carry out flood alleviation and drainage works at Titchfield.

It is proposed that that the Communities and Place Committee capital programme is varied to reflect the additional £0.8m of external funding to carry out further drainage works at Titchfield.

51. Also, in the capital programme, a number of section 106 contributions have been received to fund capital works. Contributions to the value of £0.6m have been received to carry out works across the county's highways infrastructure and a further £0.3m have been received to carry out works at schools to increase capacity as result of local developments.

It is proposed that that the Communities and Place Committee capital programme is varied by £0.6m and the Children and Young people's capital programme is varied by £0.3m to reflect the additional section 106 contributions received by the Authority.

52. A small number of minor variations to the Capital Programme also require approval from the Service Director – Finance, Infrastructure and Improvement. These variations are set out in Appendix D.

Capital Financing

53. The following Table outlines how the 2020/22 capital expenditure has been financed.

Table 6 - 2020/21 Capital Financing

	Revised Budget (£'000)	Out-turn (£'000)	Variance (£'000)
Funding Source:			
Prudential Borrowing	32,115	29,822	(2,293)
Capital Grants	81,049	72,087	(8,962)
Revenue / Reserves	3,267	2,241	(1,026)
Gross Capital	116,431	104,150	(12,281)

54. Capital receipts for 2020/21 totalled £9.4m with £1.8m of this being deferred into 2021/22, leaving £7.6m to be utilised in 2020/21. This is £0.7m more than anticipated in the 2019/20 budget report. These capital receipts have been used, per the capital flexibility directive, to fund one-off transformational costs incurred during the year and to repay prior year borrowing.

55. Total borrowing for the year is £29.8m, which is £2.3m less than the revised budget for 2020/21 of £32.1m.

56. The Capital Programme for 2021/22 will be monitored to ensure that borrowing for 2021/22 is managed within the prudential limits for the year. Funding by borrowing in 2021/22 is now projected to be £77.4m and the size of the revised Capital Programme for 2020/21 is £142.0m.

Statement of Accounts

57. The pre-audited Statement of Accounts will be certified by the Service Director – Finance, Infrastructure and Improvement before 1st August to meet the statutory requirements. They will subsequently be published on the Council's website. The external audit will then take place and therefore figures will be provisional, pending the completion of the audit which has to be completed by 30 November 2021.

Statutory and Policy Implications

58. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATIONS

That Committee considers:-

- 1) The provisional 2020/21 year-end revenue position.
- 2) The year-end position and movement of the Authority's reserves as detailed in paragraphs 31 to 44 and Appendix B.
- 3) The final position on 2020/21 contingency requests as detailed in Appendix C.
- 4) The year-end position for the 2020/21 Capital Programme and its financing.

This report also seeks that Committee:-

- 5) Recommends that the transfer to the General Fund Balances of £10.2m, as set out in paragraph 32 and Appendix B, is submitted for approval by County Council.
- 6) Approves the capital variations as set out in paragraph 49 and 51 and Appendix D.

Nigel Stevenson

Service Director – Finance, Infrastructure and Improvement

For any enquiries about this report please contact:

Keith Palframan – Group Manager, Financial Services

Constitutional Comments (GR 01/06/2021)

Pursuant to the Nottinghamshire County Council Constitution this Committee has the delegated authority to receive this report and make the recommendations contained within it.

Financial Comments (GB 13/05/2021)

The financial implications are set out in the report.

Background Papers

None

Electoral Division(s) and Member(s) Affected

All

MANAGEMENT ACCOUNTS SUMMARY 2020/21

	2020/21 Final Budget £'000	2020/21 Final Out-turn £'000	Variance £'000
Committee			
Children & Young People	149,400	147,369	(2,031)
Adult Social Care & Public Health	202,539	186,471	(16,068)
Communities & Place	135,769	135,581	(188)
Policy	37,730	37,197	(533)
Finance & Major Contracts Management	3,927	3,009	(918)
Governance & Ethics	7,751	7,841	90
Personnel	16,317	14,791	(1,526)
Net Committee Total	553,433	532,259	(21,174)
Schools Budget (after Dedicated Schools Grant)	886	886	-
Net Schools total	886	886	-
Trading Services	3,330	3,028	(302)
Central Items Managed through Finance & Property Committee			
Capital Charges included in Committees	(44,072)	(44,072)	-
Statutory Provision for Debt Redemption	11,370	11,594	224
Interest and Dividends	21,073	19,763	(1,310)
Contingency	2,306	-	(2,306)
Flood Defence Levies	291	293	2
Pension Enhancements	2,050	1,874	(176)
Trading Organisations	1,300	620	(680)
Miscellaneous Inc and Exp / Write Offs	-	(939)	(939)
New Homes Bonus	(1,873)	(1,873)	-
Other Government Grants	(3,446)	(28,782)	(25,336)
Adult Social Care Support Grant	(20,387)	(20,387)	-
Central Items	(31,388)	(61,909)	(30,521)
Expenditure prior to Use of Reserves	526,261	474,264	(51,997)

Reserves and Balances

Transfer to /(from) Corporate Reserves

PFI Reserves:

East Leake PFI	4	16	12
Bassetlaw PFI	53	3	(50)
Waste PFI	110	131	21
COVID-19 Reserve	(22,346)	(22,346)	-
Strategic Dev Fund	(145)	9,601	9,746
Historic Abuse Enquiry	(82)	(83)	(1)
Capital Projects	-	3,618	3,618
NDR pool projects	(330)	42	372
Council Tax Equalisation Reserve	-	2,350	2,350
COVID Recovery Reserve	-	18,688	18,688
Net transfer to /(from) Corporate Reserves	(22,736)	12,020	34,756

Transfer to /(from) Departmental Reserves

Children & Young People	1,953	1,953	-
Adult Social Care & Public Health	6,600	11,048	4,448
Community & Place	(10)	1,961	1,971
Policy	1,111	1,133	22
Finance & Major Contracts Management	-	-	-
Governance & Ethics	-	-	-
Personnel	-	-	-
Traders Reserves	-	-	-
Net transfer to /(from) Departmental Reserves	9,654	16,095	6,441

Transfer to/(from) General Fund

(631)	10,169	10,800
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Funding Required

512,548	512,548	-
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Funding

Council Tax/Surplus on Collection	(389,085)	(389,085)	-
Revenue Support Grant/Business Rates	(123,463)	(123,463)	-

Total Funding

(512,548)	(512,548)	-
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SUMMARY OF REVENUE RESERVES

	Brought Forward 01/04/2020 £'000	Use (-) in 2020/21 £'000	Contribution (+) 2020/21 £'000	Transfers 2020/21 £'000	Carry Forward 31/03/2021 £'000
General Fund Balances	21,961	-	10,169	-	32,130
Schools Reserves	22,920	-	5,608	-	28,528
Insurance Reserves	34,093	-	2,586	-	36,679
Other Earmarked Reserves					
Corporate Reserves					
Earmarked Reserves	3,204	-	-	-	3,204
Capital Projects Reserve	3,160	(721)	3,618	1,481	7,538
NDR Pool Reserve	10,156	(346)	372	(842)	9,340
East Leake PFI	2,836	-	74	-	2,910
Bassetlaw Schools PFI	95	-	781	-	876
Waste PFI	24,143	-	132	-	24,275
Strategic Development Fund	2,815	-	9,601	-	12,416
Covid-19 Reserve	22,346	(22,346)	-	-	-
Workforce Reserve	6,150	-	-	-	6,150
IICSA Reserve	1,477	(83)	-	-	1,394
Council Tax Equalisation Reserve	-	-	2,350	-	2,350
COVID Recovery Reserve	-	-	19,283	-	19,283
Earmarked for Services Reserves					
Trading Activities	242	-	528	(145)	625
Earmarked for Services Reserves	9,108	(445)	1,247	(501)	9,409
Revenue Grants	13,710	(4,607)	7,496	7	16,606
Section 256 Grants	13,604	(1,542)	10,567	-	22,629
Subtotal Other Earmarked Reserves	113,046	(30,090)	56,049	-	139,005
Total Usable Revenue Reserves	192,020	(30,090)	74,412	-	236,342

EARMARKED FOR SERVICES RESERVES DETAIL

	Brought Forward 01/04/2020 £'000	Use (-) in 2020/21 £'000	Contribution (+) 2020/21 £'000	Transfers 2020/21 £'000	Carry Forward 31/03/2021 £'000
Adult Social Care and Public Health					
Trading Activities	-	-	-	-	-
Earmarked for Services Reserves	6,089	-	-	-	6,089
Revenue Grants	10,267	(3,666)	5,486	-	12,087
Section 256 Grants	13,604	(1,542)	10,567	-	22,629
Children and Family Services					
Trading Activities	181	-	528	(145)	564
Earmarked for Services Reserves	1,093	(147)	557	(494)	1,009
Revenue Grants	1,625	(391)	1,406	-	2,640
Section 256 Grants	-	-	-	-	-
Place and Communities					
Trading Activities	61	-	-	(61)	-
Earmarked for Services Reserves	1,890	(298)	690	(7)	2,275
Revenue Grants	1,818	(550)	604	7	1,879
Section 256 Grants	-	-	-	-	-
Chief Executives					
Trading Activities	-	-	-	61	61
Earmarked for Services Reserves	36	-	-	-	36
Revenue Grants	-	-	-	-	-
Section 256 Grants	-	-	-	-	-
Total Earmarked For Services Reserves	36,664	(6,594)	19,838	(639)	49,269

ALLOCATIONS FROM CONTINGENCY

	2020/21	
	£000	£000
Opening Contingency Budget		6,599
Approved contingency requests		
Knife Crime	(100)	
D2N2	(63)	
Pay Award 0.75%	(1,315)	
Comm Older Adults Care - Savings w/off	(199)	
Delivery & Assuring Major Projects (Capital)	(650)	
Victory in Europe Day	(5)	
Local Government Reorganisation	(100)	
Social Work Staffing and Standards	(156)	
Transformation	(193)	
SRA - COVID Resilience Committee	(23)	
Social Impact Bond Savings Slippage	(500)	
Fostering Development	(283)	
Democratic Services Staffing	(87)	
Graduate Trainees	(19)	
SEND Transport Growth	(600)	
Total Approved contingency requests		(4,293)
Reported under/ (over) spend on contingency		2,306

VARIATIONS TO THE CAPITAL PROGRAMME

APPENDIX D

Committee	Project/ Programme	Value (£000)	Funded by:
Policy	Building Works	(16)	Capital Allocation
ASCH&PH	County Horticulture	16	Capital Allocation
Communities & Place	Library Modernisation Programme	17	External Funding

The above variations to the capital programme have been approved by the Service Director - Finance, Infrastructure & Improvement

**REPORT OF THE SERVICE DIRECTOR – FINANCE, INFRASTRUCTURE AND
IMPROVEMENT****FINANCIAL MONITORING REPORT: PERIOD 1 2021/2022****Purpose of the Report**

1. To provide a summary of the Committee revenue budgets for 2021/22.
2. To inform Members of contingency schemes submitted to date.
3. To inform Members of the Council's Balance Sheet transactions.
4. To provide Members with an update from the Procurement Team.
5. To provide Members with an update from the Accounts Payable and Accounts Receivable teams.

Information**Background**

6. The Council approved the 2021/22 budget at its meeting on 25 February 2021. As with previous financial years, progress updates will be closely monitored and reported to management and Committee each month.
7. It is important to note that this Financial Monitoring report has been put together at a time when the Council is continuing to respond to the consequences of COVID-19. Central Government has recognised that although there are good reasons to be optimistic about overcoming the virus it also acknowledges that many of the challenges posed by COVID19 will not go away immediately. As such, the Council has been awarded a £16.1m COVID19 grant in 2021/22 to help fund the on-going challenges associated with the virus.
8. All Local Authorities will continue to be required by the Ministry for Housing, Communities and Local Government to report their forecast financial impact of the COVID-19 emergency on a monthly basis. As in the previous financial year, the outcome of these forecasts will be reported to Finance Committee on a regular basis.
9. Departments continue to identify and monitor both additional costs arising from the COVID-19 crisis as well as lost income. Where costs have been incurred but may need to be shared with other organisations, sufficient documentation will be maintained to evidence the recharge at a future date.

Summary Revenue Position

10. The table below summarises the revenue budgets for each Committee for the forthcoming financial year.

Table 1 – Summary Revenue Position

Committee	Annual Budget £'000	Actual to Period 01 £'000	Year-End Forecast £'000	Latest Forecast Variance £'000
Children & Young People's	149,466	6,254	149,466	-
Adult Social Care & Public Health	220,378	6,387	220,378	-
Communities & Place	131,873	(1,441)	131,873	-
Policy	37,454	1,628	37,454	-
Finance & Major Contracts Management	2,948	553	2,948	-
Governance & Ethics	7,727	487	7,727	-
Personnel	16,052	657	16,052	-
Net Committee (under)/overspend	565,898	14,525	565,898	-
Central items	(31,864)	(8,531)	(31,864)	-
Schools Expenditure	5	-	5	-
Contribution to/(from) Traders	(550)	379	(550)	-
Forecast prior to use of reserves	533,489	6,373	533,489	-
Transfer to / (from) Corporate Reserves	(1,333)	-	(1,333)	-
Transfer to / (from) Departmental Reserves	(1,839)	-	(1,839)	-
Transfer to / (from) General Fund	-	-	-	-
Net County Council Budget Requirement	530,317	6,373	530,317	-

11. From the Period 2 Financial Monitoring Report onwards, the above table will reflect the new Committee structure as approved at Full Council in May 2021.

Main Areas of Risk within the 2020/21 budget

12. As well as the implications arising from the COVID-19 emergency the usual budget monitoring process will continue to take place throughout the year to identify all major variations to budget. Progress updates will be closely monitored and reported to management and to Committee on a monthly basis.

13. The approved 2021/22 budget was set against a background of assumptions and on-going risks, specifically with regard to the demand for Council services in the areas of Children and Adult Social Care where safeguarding takes priority. In Children's Social Care specifically, early indications suggest that significant pressures are continuing to be experienced in relation to the Children's Social Work staffing budget due to the need to employ agency staff as well as the rise in costs associated with Looked After Children external placements due to accommodation issues and sustained high numbers. In addition, the average weekly cost of placements are rising due to complexity of need, market conditions, inflation and limited capacity within the Authority's own internal residential and foster care provision. These high-

risk areas will continue to be monitored closely during the year through the robust monthly budget management process and reported back to Committee.

14. The 2020/21 Local Government Finance Settlement set out a one-year settlement only. As such, further considerable uncertainty beyond 2021/22 will remain until the outcome of the future Comprehensive Spending Review is known.

Requests for contingency

15. The Council's budget includes a base contingency budget of £4.0m to cover redundancy costs, slippage of savings and other potential unforeseen events.
16. Also, in 2021/22 further demand and inflationary pressures have been identified that have a degree of uncertainty with regard to likelihood, value and profiling. As such, an additional provision of £3.6m has been made within the contingency to fund these pressures should they arise. The Finance Committee or the Section 151 Officer are required to approve the release of contingency funds.
17. There is already a call on the 2021/22 contingency budget from requests that have been previously approved by Finance and Major Contracts Management Committee or the Section 151 Officer. These are as follows: -
- Delivering and Assuring Major Programmes of Work - Policy Committee £430,000
 - Write off of Adult Social Care and Health Income Maximisation savings option £130,000
 - Social Impact Bond savings slippage - Children and Young People Committee £250,000
 - Transformation and Change Restructure – Improvement and Change Committee £270,000
 - Graduate Trainees – Personnel Committee - £77,000

Balance Sheet

General Fund Balance

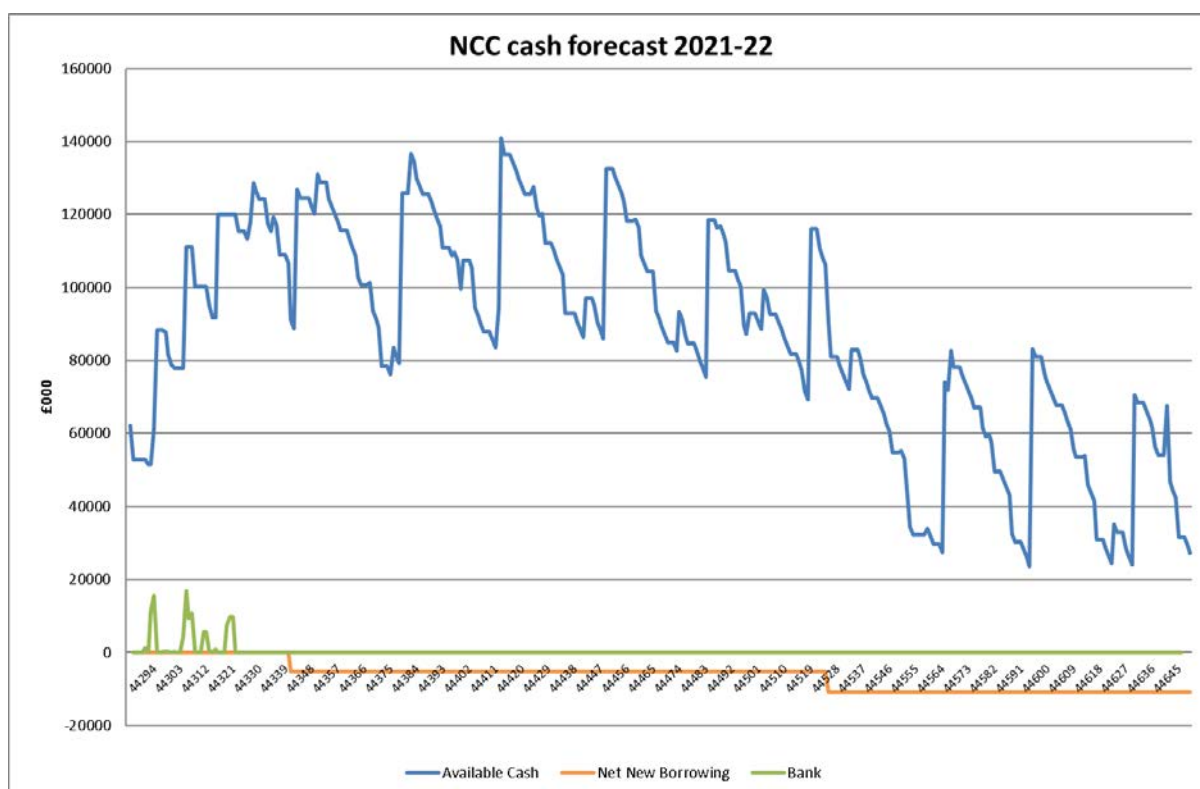
18. Members will be asked to approve the 2020/21 closing General Fund Balance of £32.1m at Full Council on 22 July 2021. This balance represents 5.7% of the net budget requirement.

Capital Programme

19. The 2020/21 capital programme out-turn will be reported to Policy Committee on 21 June 2021 as part of the Draft 2020/21 Management Accounts report. All slippage, acceleration and other adjustments to the capital programme are subject to the approval of this report. The revised 2021/22 capital programme will be reported to Committee in July 2021 as part of the Period 2 Financial Monitoring Report.

Treasury Management

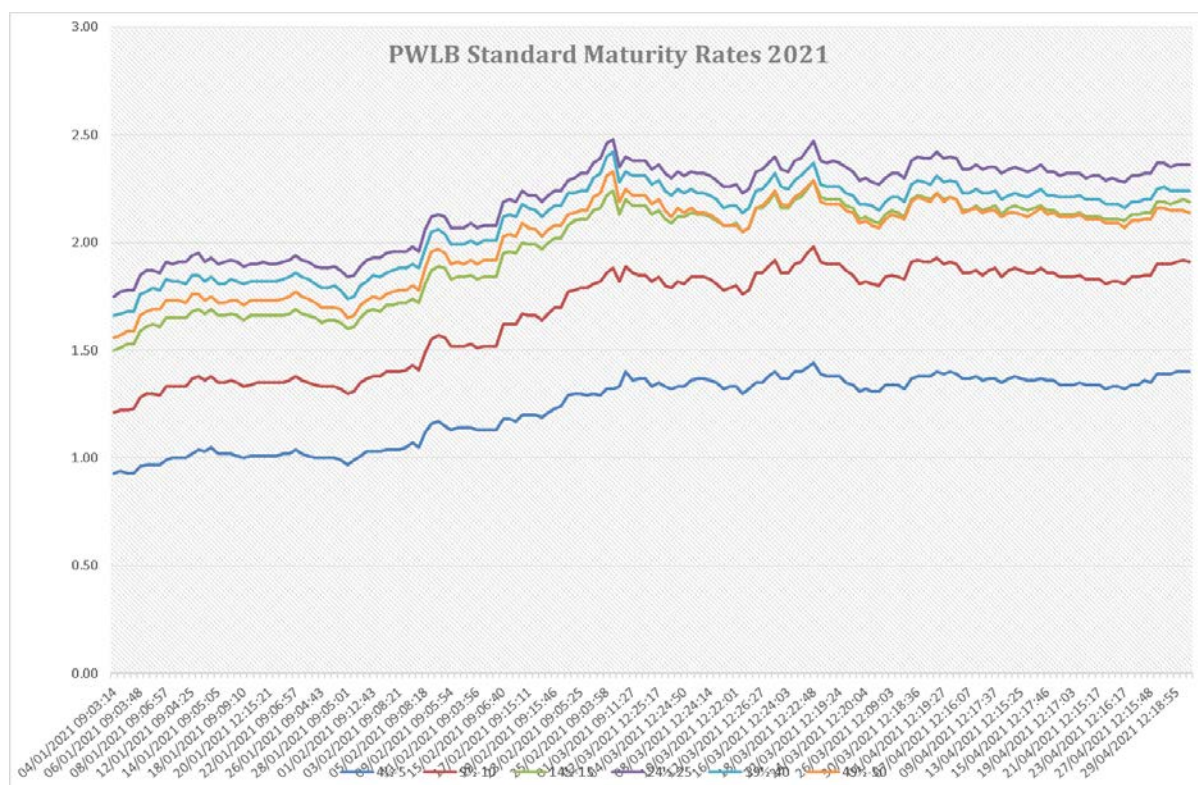
20. Daily cash management aims for a closing nil balance across the Council's pooled bank accounts with any surplus cash invested in accordance with the approved Treasury Management Policy. Cash flow is monitored by the Senior Accountant (Pensions & Treasury Management) with the overall position reviewed quarterly by the Treasury Management Group.
21. The cash forecast chart below shows the current estimated cash flow position for the financial year 2021/22. Cash inflows are typically higher at the start of the year due to the front-loading receipt of Central Government grants, and the payment profile of precepts. Cash outflows, in particular capital expenditure, tend to increase later in the year, and the chart below reflects this. Also, expected borrowing in support of capital expenditure is not included in the forecast. The chart thereby helps highlight the points in the year when such borrowing will be necessary, and it is monitored daily so that treasury management staff can act comfortably in advance of the cash being required, the aim being to maintain adequate but not excessive liquidity.



22. The chart above gives the following information:

Available cash	Surplus cash (invested in call accounts or money market funds) or a shortfall of cash indicating a need to borrow.
Net new borrowing	New loans taken during the year net of principal repayments on existing borrowing.
Bank	That element of surplus cash held in the Council's Barclays Bank account.

23. The Treasury Management Strategy for 2021/22 identified a need to borrow approximately £70m over the course of the year to (a) fund the capital programme, (b) replenish internal balances and to (c) replace maturing debt. PWLB interest rates continue to be monitored closely to allow changes - or potential changes - in rates to feed into decisions on new borrowing. The Council remains able to take advantage of the PWLB “certainty rate” which is 0.2% below the standard rates. The chart below shows the movement in standard PWLB maturity rates over the course of 2021 so far.



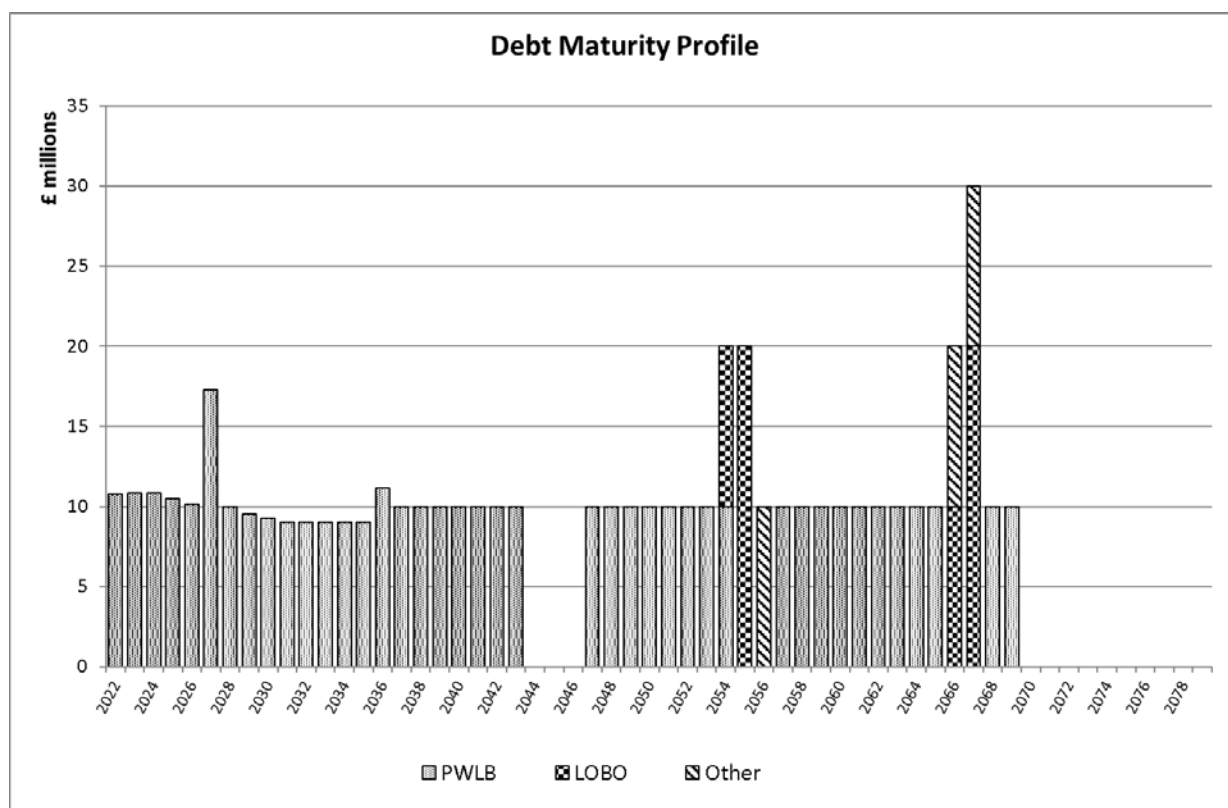
24. Borrowing decisions will take account of a number of factors including:

- expected movements in interest rates
- current maturity profile
- the impact on revenue budgets and the medium term financial strategy
- the treasury management prudential indicators.

25. The maturity profile of the Council’s debt portfolio is shown in the chart below. The PWLB loans are reasonably well distributed and have a maximum duration of 50 years. When deciding on the lengths of future loans the Council will factor in any gaps in its maturity profile, with a view to minimising interest rate risk, but will consider this alongside other financial factors.

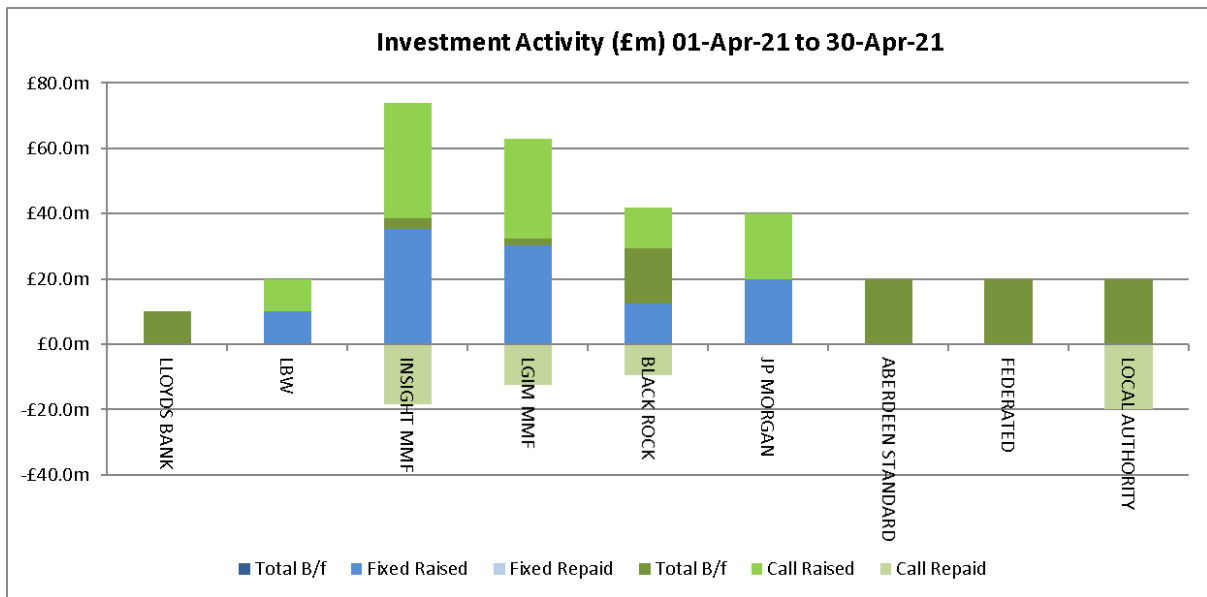
26. Long-term borrowing was also obtained from the market some years ago in the form of ‘Lender’s Options, Borrower’s Options’ loans (LOBOs). These loans are treated as fixed rate loans (on the basis that, if the lender ever opts to increase the rate, the Council will repay the loan) and were all taken at rates lower than the prevailing PWLB rate at the time. However, LOBOs could actually mature at various points before then, exposing the Council to some refinancing risk.

27. The 'other' loans shown in the chart consists of fixed-term loans from Barclays Bank.



28. The investment activity for 2021/22 to date is summarised in the chart and table below. Outstanding investment balances totalled approximately £92m at the start of the year and £140m at the end of April.

	Total B/f £000	Total Raised £000	Total Repaid £000	Outstanding £000
LLOYDS BANK	10,000	0	0	10,000
LBW	0	10,000	0	10,000
INSIGHT MMF	3,100	35,400	-18,500	20,000
LGIM MMF	2,000	30,400	-12,400	20,000
BLACK ROCK	17,100	12,350	-9,450	20,000
JP MORGAN	0	20,000	0	20,000
ABERDEEN STANDARD	20,000	0	0	20,000
FEDERATED	20,000	0	0	20,000
LOCAL AUTHORITY	20,000	0	-20,000	0
	92,200	108,150	-60,350	140,000



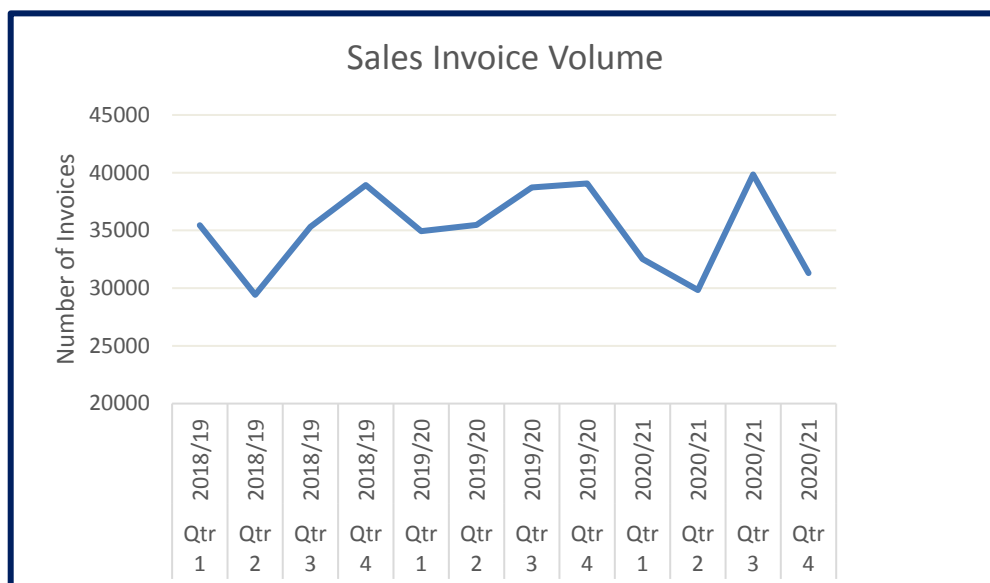
29. As part of the Council's risk management processes all counterparty ratings are regularly monitored and lending restrictions changed accordingly.

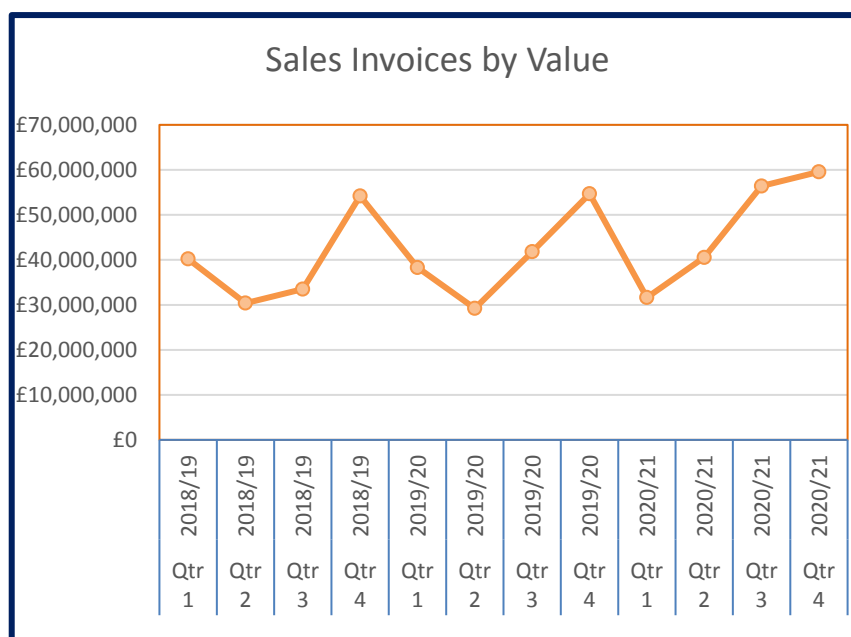
Debt Recovery Performance

30. Sales Invoicing trends during Quarter 4 show an increase in activity with the cumulative invoicing volumes and values now showing normal levels. 2020/21 sees an overall increase in income of £24m.

	Quarter 4	Year to date
Number	31,309	133,513
Value	£59,554,394	£188,112,539

Invoice Trends





Debt Position Quarter 4

31. Throughout Quarter 4, the debt recovery team have continued to perform pre-COVID19 work processes with regular dunning and debtor contacting strategies. This has shown an improved collection performance and a reduction in debt levels.

	Residential & Domiciliary Care (Statutory Debtors)	All Other (Non-Statutory Debtors)	Total
Total	£13,336,014	£30,014,508	£43,350,522
Over 6 months	£7,362,678	£884,783	£8,247,460
% over 6 months	55.2%	2.9%	19.0%

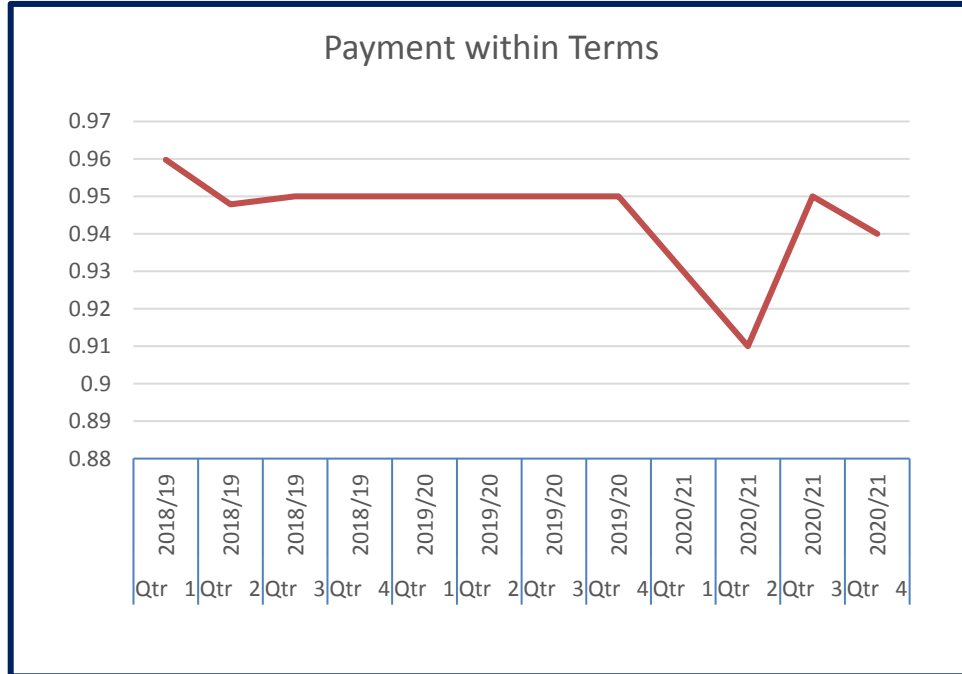
32. The residential and domiciliary debts debt figures continue to be influenced by full cost invoices to service users that have not yet joined the deferred payments scheme. The resulting debts are a direct effect of the changes brought about by the Care Act. These users are charged full costs for their care which they have no available funds to make payments.

No of Accounts	Total Debt
95	£1.88m

33. The debtor write-off total during Quarter 4 was £385k for 194 accounts, making the write off total to date £674k against 534 accounts.

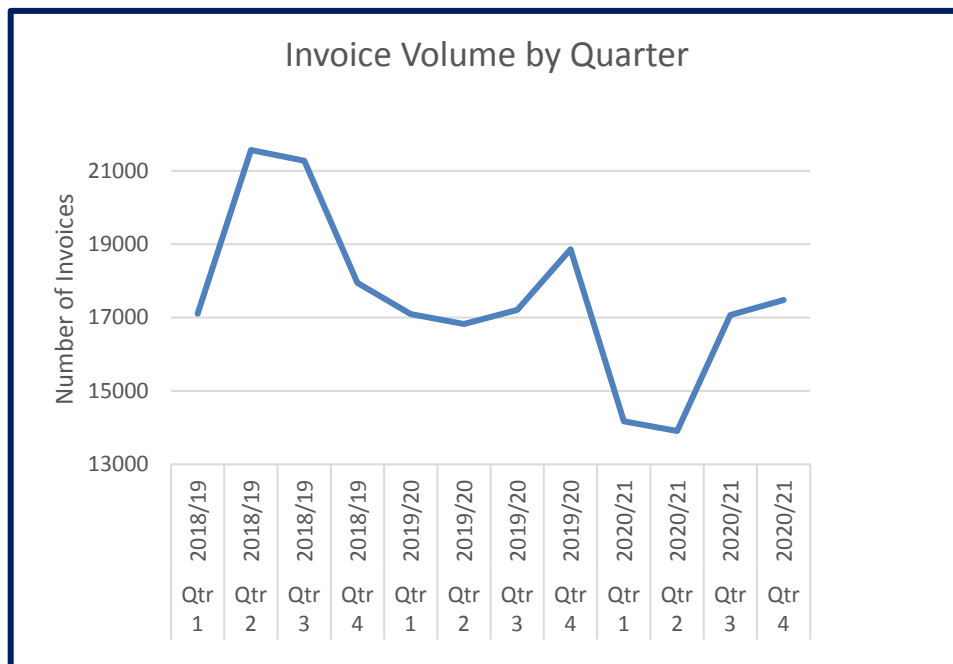
Accounts Payable (AP) Performance

34. Payment performance for Quarter 4 has been recorded at 94%. This takes account of any known disputed invoices have were paid during Quarter 4 and therefore not counted as a failure against payment terms.



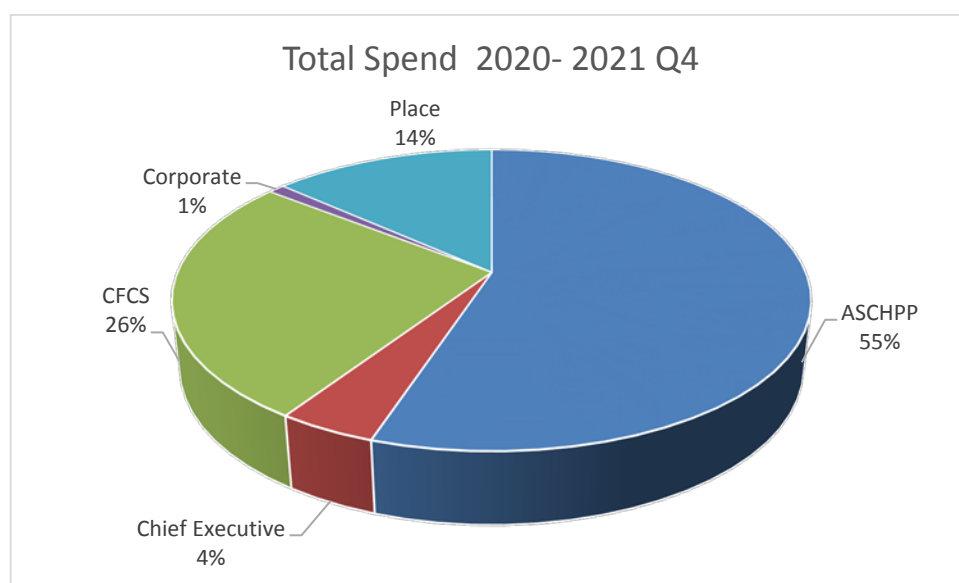
35. The volume of commercial invoices processed for Quarter 4 shows an increase in commercial invoicing volumes, but the overall totals for 2020/21 are still around 7k less than 2019/20 volumes.

Commercial Invoices Processes



Procurement Performance

36. As an organisation, NCC has spent £142m in the fourth quarter of the financial year 2020/21 with external suppliers. This represents a decrease of £6m when compared with the same period of the previous financial year. The top 12.5% (429) of suppliers account for 80% (113.6m) of the total supplier spend. The remaining 87.5% (3015 suppliers) have a total expenditure of £29.6m with an average spend of £9,421.69.
37. The chart below shows the total amount spent in the period, by Directorate. ASCH has the highest level of expenditure at 55%, followed by Children and Families which makes up a further 26%.

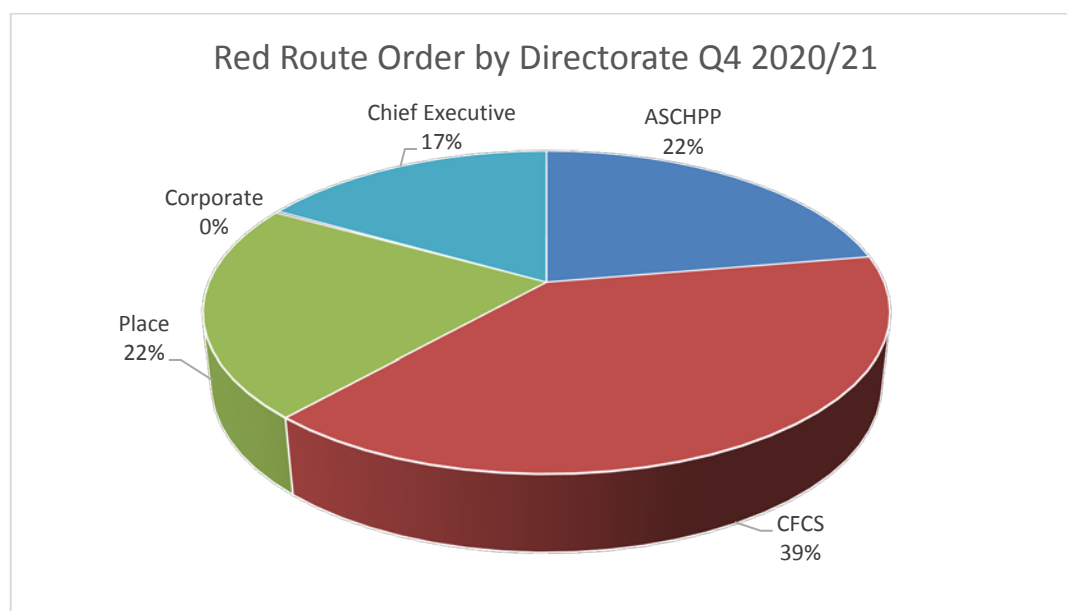


38. The Council's primary ordering route is through BMS. Orders that are processed through BMS are classified as 'Compliant', whilst purchases made outside of the Council's systems are deemed to be 'Non-Compliant'. Retrospective orders are also classified as non-compliant, as they are typically raised after delivery of goods/services. Services commissioned and managed through other Corporate Systems (e.g. Frameworki/Mosaic) are out of scope. Purchase Orders are beneficial to the organisation as they provide visibility of what we spend.

39. The table below shows the number of retrospective orders on a monthly basis by department.

Profit Centre	PO Volume JAN 2021	PO Volume FEB 2021	PO Volume MAR 2021	Total Q4 2020/21	Total Q4 2019/20
ASCHPP	20	27	41	88	149
Children, Families a	120	130	154	404	471
Place	110	113	188	411	673
Corporate	2	1	6	9	9
Chief Executive	87	73	127	287	336
Total	339	344	516	1,199	1,638

40. Purchase orders themselves are split into Green and Red orders. Green orders are those which are raised with the Procurement Centre's pre-arranged agreements or contracted suppliers. Red orders are those that do not have approved suppliers or contracts set up on BMS and require additional work. When compared with the same period in the previous financial year, the volume of 'Red' orders has decreased from 5719 to 5416. The chart below identifies the percentage of Red Route orders by Directorate in Q4 of the 2020/21 financial year. The Procurement Team continue to work with stakeholders to improve these figures.



Statutory and Policy Implications

41. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

RECOMMENDATIONS

- 1) To comment on the individual Committee revenue budgets for 2021/22.
- 2) To comment on the contingency schemes submitted to date.
- 3) To comment on the Council's Balance Sheet transactions.
- 4) To comment on the performance of the Procurement Team.
- 5) To comment on the performance of the Accounts Payable and Accounts Receivable teams.

Nigel Stevenson Service Director – Finance, Infrastructure and Improvement

For any enquiries about this report please contact:

Keith Palframan, Group Manager, Financial Strategy and Compliance

Tamsin Rabbitts - Senior Accountant, Pensions and Treasury Management

Constitutional Comments (GR 01/06/2021)

42. Pursuant to the Nottinghamshire County Council Constitution this Committee has the delegated authority to receive this report and make the recommendations contained within it.

Financial Comments (GB 20/05/2021)

43. The financial implications are stated within the report.

Background Papers and Published Documents

Except for previously published documents, which will be available elsewhere, the documents listed here will be available for inspection in accordance with Section 100D of the Local Government Act 1972.

- None

Electoral Division(s) and Member(s) Affected

- All

28 June 2021**Agenda Item: 8****REPORT OF THE SERVICE DIRECTOR - CUSTOMERS, GOVERNANCE AND
EMPLOYEES****WORK PROGRAMME****Purpose of the Report**

1. To consider the Committee's work programme for 2021/22.

Information

2. The County Council requires each committee to maintain a work programme. The work programme will assist the management of the committee's agenda, the scheduling of the committee's business and forward planning. The work programme will be updated and reviewed at each pre-agenda meeting and committee meeting. Any member of the committee is able to suggest items for possible inclusion.
3. The attached work programme has been drafted in consultation with the Chair and Vice-Chairs, and includes items which can be anticipated at the present time. Other items will be added to the programme as they are identified.
4. As part of the transparency introduced by the revised committee arrangements from 2012, committees are expected to review day to day operational decisions made by officers using their delegated powers. It is anticipated that the committee will wish to commission periodic reports on such decisions. The committee is therefore requested to identify activities on which it would like to receive reports for inclusion in the work programme.
5. The meeting dates and agenda items are subject to review in light of the ongoing COVID-19 pandemic.

Other Options Considered

6. None.

Reason/s for Recommendation/s

7. To assist the committee in preparing its work programme.

Statutory and Policy Implications

8. This report has been compiled after consideration of implications in respect of crime and disorder, finance, human resources, human rights, the NHS Constitution (Public Health only), the public sector equality duty, safeguarding of children and vulnerable adults, service users, sustainability and the environment and ways of working and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required

RECOMMENDATION/S

That the Committee considers whether any amendments are required to the Work Programme.

Marjorie Toward
Customers, Governance and Employees

For any enquiries about this report please contact: Pete Barker, x74416

Constitutional Comments (HD)

9. The Committee has authority to consider the matters set out in this report by virtue of its terms of reference.

Financial Comments (NS)

10. There are no direct financial implications arising from the contents of this report. Any future reports to Committee on operational activities and officer working groups, will contain relevant financial information and comments.

Background Papers

None.

Electoral Division(s) and Member(s) Affected

All.

FINANCE COMMITTEE – WORK PROGRAMME

<u>Report Title</u>	<u>Brief summary of agenda item</u>	<u>Lead Officer</u>	<u>Report Author</u>
September 2021			
Monthly Budget & Capital Monitoring Report 2021/22	Budget Capital Monitoring, Capital Receipts, Capital Variations	Nigel Stevenson	Glen Bicknell
LEC New Primary, Chapel Lane Bingham	LEC report for a New Primary at the Chapel Lane development, Bingham	Derek Higton	Phil Berrill
Top Wighay Office LEC	Latest Estimated Cost Report	Derek Higton	Phil Berrill
2021/22 SBIP Programme – Additional Projects	Latest Estimated Cost Report	Derek Higton	Phil Berrill
Mill Adventure Base Phase 2	Latest Estimated Cost Report	Derek Higton	Phil Berrill
Lowmoor & Caudwell LEC	Latest Estimated Cost Report	Derek Higton	Phil Berrill
Property Planned Maintenance Programme (PPMP) 2021/22 LEC	Latest Estimated Cost report of the Property Planned Maintenance programme	Derek Higton	Phil Berrill
Agency Contract Update	Update report	Kaj Ghattaora	Lorraine Dennis

FINANCE COMMITTEE – WORK PROGRAMME

October 2021			
Monthly Budget & Capital Monitoring Report 2021/22	Budget Capital Monitoring, Capital Receipts, Capital Variations	Nigel Stevenson	Glen Bicknell
Basic Need Bracken Hill Special School Expansion	Latest Estimated Cost Report	Derek Higon	Phil Berrill
TO BE PLACED			
Lindhurst and Eastwood LEC	Latest Estimated Cost Report	Derek Higon	Phil Berrill
Demolition Programme Report	Update Report	Derek Higon	Phil Berrill
Gedling Access Road (GAR) Scheme	Progress report	Adrian Smith	Mike Barnett
Covid 19 Cultural Service Contract Variations	Progress report	Derek Higon	Mick Allen
Children in Care Framework for Residential and Foster Care Placements	Progress report	Kaj Ghattoara	Lynn Brammer
Risk & Insurance Update	Report detailing exceptional years, timeframe for settling claims and comparison with other Authorities.	Rob Disney	Claire Dyson
Domestic Abuse Support Services	Update report	Kaj Ghattaora	Michael Fowler